



Contract Review Sheet

HE-5997-24

Intergovernmental Agreement #: HE-5997-24 Amendment #: _____

Contact: Meuy Saechao

Department: Health and Human Services

Phone #: (503) 584-4897

Analyst: Toby Giddings

Title: Mental Health IGA# PO-44300-00026019

Contractor's Name: Oregon Health Authority

Term - Date From: January 1, 2024

Expires: June 30, 2025

Original Contract Amount: \$ 20,231,766.16

Previous Amendments Amount: \$ -

Current Amendment: \$ -

New Contract Total: \$ 20,231,766.16

Amd% 0%

☒ Incoming Funds ☒ Federal Funds ☐ Reinstatement ☒ Retroactive ☐ Amendment greater than 25%

Source Selection Method: ORS190 Intergovernmental Agreement

Description of Services or Grant Award

Oregon Health Authority ## PO-44300-00026019 Intergovernmental Agreement for Financing of Community Mental Health, Addiction Treatment Recovery & Prevention, and Problem Gambling Services.

Desired BOC Session Date: 3/20/2024

Files submitted in CMS for Approval: _____

Agenda Planning Date: _____

Printed packets due in Finance: _____

Management Update: _____

BOC upload / Board Session email: _____

BOC Session Presenter(s) Ryan Matthews

FOR FINANCE USE

Comments: Y

REQUIRED APPROVALS

DocuSigned by:

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3/13/2024

Finance - Contracts _____ Date _____

Contract Specialist _____ Date _____

Legal Counsel _____ Date _____

Chief Administrative Officer _____ Date _____



MARION COUNTY BOARD OF COMMISSIONERS

Board Session Agenda Review FormMeeting date: March 20, 2024Department: Health & Human ServicesTitle: Mental Health IGA# PO-44300-00026019Management Update/Work Session Date: n/a Audio/Visual aids ☐Time Required: 10 Contact: Meuy Saechao Phone: 4897**Requested Action:**

Marion County Health and Human Services (MCHHS) recommends approval of OHA IGA# PO-44300-0002601910.

**Issue, Description
& Background:**

Oregon Health Authority # PO-44300-00026019 Intergovernmental Agreement (IGA) for Financing of Community Mental Health, Addiction Treatment Recovery and Prevention Services.

Total contract amount not to exceed \$20,231,766.16.

Financial Impacts:

Health and Human Services anticipates no financial impact to other departments.

**Impacts to Department
& External Agencies:**

N/A

List of attachments:

Mental Health IGA# PO-44300-00026019

Presenter:

Ryan Matthews

**Department Head
Signature:**

A handwritten signature in black ink, appearing to be "JL" or similar, written over a horizontal line.

REQUEST FOR AUTHORIZATION OF CONTRACT HE-5997-24

Date: March 13, 2024
To: Chief Administrative Officer
Cc: Contract File
From: Meuy Saechao

I. Subject: Retroactive

The Marion County Health and Human Services (MCHHS) is requesting approval of a retroactive contract as described in Section 10-0580 of the Marion County Public Contracting Rules. The contract is with Oregon Health Authority (OHA) for Mental Health Intergovernmental Agreement (IGA) # PO-44300-00026019 with a value of \$20,231,766.16 and will be effective retroactive to 1/1/2024 upon approval.

A. BACKGROUND

This is an incoming funds through Intergovernmental Grant Agreement from OHA to MCHHS to provides financing of Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services. This Agreement shall become effective on January 1, 2024.

B. As required in Section 10-0580(2)(a), Department staff will provide an explanation of why the contract was not submitted before performance began:

MCHHS received the IGA # PO-44300-00026019 from OHA on January 10, 2024. The intergovernmental agreement was not submitted on time before the performance began because County legal department are reviewing the agreement and statement of works to ensure adequate funding has been provided to perform the service element tasks. On March 13, 2023 was approved to move forward with the agreement and signature process for Board Session March 20, 2024.

C. As required in Section 10-0580(2)(b), Department staff will provide a description of the steps being taken to prevent similar occurrences in the future:

It is the goal of the MCHHS to follow up with all necessary departments and contractors as part of the contract review and drafting process. MCHHS will continue to work with OHA to ensure agreements are received by the County with sufficient time to process in order to avoid retroactive implementation of agreements.

Submitted by:

Meuy Saechao

Meuy Saechao
Health and Human Services

Reviewed by:

DocuSigned by:
Jeff D White
D1ECCAACCD814CB...

Contracts & Procurement

Acknowledged by:

DocuSigned by:
Ryan Matthews
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Department Head

Acknowledged by:

Jan Fritz, CAO

In compliance with the Americans with Disabilities Act, this document is available in alternate formats such as Braille, large print, audio recordings, Web-based communications, and other electronic formats. To request an alternate format, please send an e-mail to dhs-oha.publicationrequest@dhs.oha.state.or.us or call 503-378-3486 (voice) or 503-378-3523 (TTY) to arrange for the alternative format.

AGREEMENT # PO-44300-00026019

**2024-2025 INTERGOVERNMENTAL AGREEMENT
FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

This 2024-2025 Intergovernmental Agreement for the Financing of Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services (the “Agreement”) is between the State of Oregon acting by and through its Oregon Health Authority (“OHA”) and **Marion County**, a political subdivision of the State of Oregon (“County”).

RECITALS

WHEREAS, **ORS 430.610(4) and 430.640(1)** authorize OHA to assist Oregon counties and groups of Oregon counties in the establishment and financing of Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, and Problem Gambling programs operated or contracted for by one or more counties;

WHEREAS, County has established and proposes, during the term of this Agreement, to operate or contract for the operation of Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, and Problem Gambling programs in accordance with the policies, procedures, and administrative rules of OHA;

WHEREAS, County has requested financial assistance from OHA to operate or contract for the operation of its Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, and Problem Gambling programs;

WHEREAS, in connection with County's request for financial assistance and in connection with similar requests from other counties, OHA and representatives of various counties requesting financial assistance, including the Association of Oregon Counties, have attempted to conduct agreement negotiations in accordance with the Principles and Assumptions set forth in a Memorandum of Understanding that was signed by both parties;

WHEREAS, OHA is willing, upon the terms and conditions of this Agreement, to provide financial assistance to County to operate or contract for the operation of its Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, and Problem Gambling programs;

WHEREAS, various statutes authorize OHA and County to collaborate and cooperate in providing for basic Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, and Problem Gambling programs and incentives for community-based care in a manner that ensures appropriate and adequate statewide service delivery capacity, subject to availability of funds; and

WHEREAS, within existing resources awarded under this Agreement and pursuant to ORS 430.630(9)(b) through 430.630(9)(h), each Local Mental Health Authority that provides Community Mental Health, Addiction Treatment, Recovery, & Prevention, or Problem Gambling Services, or any

combination thereof, shall determine the need for local Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, or Problem Gambling Services, or any combination thereof, and adopt a comprehensive Local Plan for the delivery of Community Mental Health, Addiction Treatment, Recovery, & Prevention Services, or Problem Gambling Services, or any combination thereof, for children, families, adults and older adults that describes the methods by which the Local Mental Health Authority shall provide those services. The Plan shall be consistent with content and format to that of OHA's Local Plan guidelines located at <https://www.oregon.gov/oha/hsd/amh/Pages/index.aspx>. County shall provide services per the most recently submitted and approved Local Plan as agreed upon between OHA and County.

NOW, THEREFORE, in consideration of the foregoing premises and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

AGREEMENT

1. **Effective Date and Duration.** This Agreement shall become effective on January 1, 2024. Unless terminated earlier in accordance with its terms, this Agreement shall expire on June 30, 2025.
2. **Agreement Documents, Order of Precedence.** This Agreement consists of the following documents:

This Agreement without Exhibits

Exhibit A	Definitions
Exhibit B-1	Service Descriptions
Exhibit B-2	Specialized Service Requirements
Exhibit C	Financial Assistance Award
Exhibit D	Payment, Settlement, and Confirmation Requirements
Exhibit E	Special Terms and Conditions
Exhibit F	General Terms and Conditions
Exhibit G	Standard Terms and Conditions
Exhibit H	Required Federal Terms and Conditions
Exhibit I	Required Provider Contract Provisions
Exhibit J	Provider Insurance Requirements
Exhibit K	Startup Procedures
Exhibit L	Catalog of Federal Domestic Assistance (CFDA) Number Listing


In the event of a conflict between two or more of the documents comprising this Agreement, the language in the document with the highest precedence shall control. The precedence of each of the documents comprising this Agreement is as follows, listed from highest precedence to lowest precedence: (a) this Agreement without Exhibits, (b) Exhibit H, (c) Exhibit A, (d) Exhibit C, (e) Exhibit D, (f) Exhibit E, (g) Exhibit B-1, (h) Exhibit B-2, (i) Exhibit G, (j) Exhibit F (k) Exhibit I, (l) Exhibit J, (m) Exhibit K, (n) Exhibit L.

EACH PARTY, BY EXECUTION OF THIS AGREEMENT, HEREBY ACKNOWLEDGES THAT IT HAS READ THIS AGREEMENT, UNDERSTANDS IT, AND AGREES TO BE BOUND BY ITS TERMS AND CONDITIONS.

3. Signatures.

Marion County

By:

	Ryan Matthews	Administrator	3/13/24
Authorized Signature	Printed Name	Title	Date

State of Oregon, acting by and through its Oregon Health Authority

By:

_____	_____	_____	_____
Authorized Signature	Printed Name	Title	Date

Approved by: Director, OHA Health Systems Division

By:

_____	_____	_____	_____
Authorized Signature	Printed Name	Title	Date

Approved for Legal Sufficiency:

Approved by Joseph M. Callahan, Assistant Attorney General on December 21, 2023; email in Agreement file.

SIGNATURE PAGE FOR
MENTAL HEALTH IGA# PO-44300-00026019 - HE-5997-24
between
MARION COUNTY and OREGON HEALTH AUTHORITY

MARION COUNTY SIGNATURES
BOARD OF COMMISSIONERS:

Chair	Date
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Commissioner	Date
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Commissioner	Date
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Authorized Signature:	
Chief Administrative Officer	Date

Reviewed by Signature:	
Marion County Legal Counsel	Date

Reviewed by Signature:	
<div><div>DocuSigned by:</div><div></div><div>D1FCCAACCB14CB...</div></div>	3/13/2024
Marion County Contracts & Procurement	Date

**2024-2025 INTERGOVERNMENTAL AGREEMENT
FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

**EXHIBIT A
DEFINITIONS**

As used in this Agreement, the following words and phrases shall have the indicated meanings. Certain additional words and phrases are defined in the Service Descriptions, Specialized Service Requirements and Special Conditions in the Financial Assistance Award. When a word or phrase is defined in a particular Service Description, Specialized Service Requirement, or Special Condition in the Financial Assistance Award, the word or phrase shall not have the ascribed meaning in any part of the Agreement other than the particular Service Description, Specialized Service Requirement, or Special Condition in which it is defined.

1. **“Addiction Treatment, Recovery, & Prevention Services”** means treatment Services for Individuals diagnosed with disorders related to the taking of a drug of abuse including alcohol, to the side effects of a medication, and to a toxin exposure. The disorders include substance use disorders such as substance dependence and substance abuse, and substance-induced disorders, including substance intoxication, withdrawal, delirium, and dementia, as well as substance induced psychotic disorder, mood disorder, etc., as defined in DSM criteria.
2. **“Aging and People with Disabilities” or “APD”** means a division within the Department of Human Services that is responsible for management, financing, and regulation services for aging adults and people with disabilities.
3. **“Agreement Settlement”** means OHA’s reconciliation, after termination or expiration of this Agreement, of amounts OHA actually disbursed to County with amounts that OHA is obligated to pay to County under this Agreement from the Financial Assistance Award, as determined in accordance with the financial assistance calculation methodologies set forth in the Service Descriptions. OHA reconciles disbursements and payments on an individual Service basis as set forth in the Service Descriptions and in accordance with Exhibit F, Section 1., “Disbursement and Recovery of Financial Assistance.”
4. **“Allowable Costs”** means the costs described in 2 CFR Part 200 or 45 CFR Part 75, as applicable, except to the extent such costs are limited or excluded by other provisions of this Agreement, whether in the applicable Service Descriptions, Specialized Service Requirements, Special Conditions identified in the Financial Assistance Award, or otherwise.
5. **“Behavioral Health”** refers to mental/emotional wellbeing and/or actions that affect wellness. Behavioral health problems include substance abuse and misuse, Problem Gambling, and Mental Health disorders as well as serious psychological distress and suicide.
7. **“Community Mental Health Program” or “CMHP”** means an entity that is responsible for planning and delivery of Services for Individuals with mental or emotional disturbances, drug abuse, alcohol abuse, or gambling addiction problems in a specific geographic area of the state under an agreement with OHA or a Local Mental Health Authority.
8. **“Community Mental Health”** means programs and Services, delivered in the community, for Individuals diagnosed with Serious and Persistent Mental Illness (SPMI) or other mental or emotional disturbances.
9. **“Coordinated Care Organizations” or “CCO”** means a corporation, governmental agency, public corporation, or other legal entity that is certified as meeting the criteria adopted by the

Oregon Health Authority under ORS 414.572 to be accountable for care management and to provide integrated and coordinated health care for each of the organization's members.

10. **"County Financial Assistance Administrator"** means a County appointed officer to administer this Agreement and amend the Financial Assistance Award on behalf of County, by execution and delivery of amendments to this Agreement in the name of County, in hard copy or electronically.
11. **"DHS"** means the Department of Human Services of the State of Oregon.
12. **"Federal Funds"** means all funds paid to County under this Agreement that OHA receives from an agency, instrumentality, or program of the federal government of the United States.
13. **"Financial Assistance Award" or "FAA"** means the description of financial assistance set forth in Exhibit C, "Financial Assistance Award," attached hereto and incorporated herein by this reference; as such Financial Assistance Award may be amended from time to time. Disbursement of funds identified in the FAA is made by OHA using procedures described in Exhibit B-1, "Service Descriptions," and Exhibit B-2, "Specialized Service Requirements," for each respective Service.
14. **"Gambling Disorder"** means persistent and recurrent problematic gambling behavior leading to clinically significant impairment or distress.
15. **"Health Systems Division" or "HSD"** means for the purpose of this Agreement, the division of OHA that is responsible for the functions described in ORS 430.021(2), including but not limited to coordinating, assisting, and directing a community mental health program in cooperation with local government units and integrate such a program with the state Community Mental Health Program, and direct and coordinate Addiction Treatment, Recovery, & Prevention Services and Problem Gambling Services.
16. **"Individual" or "Client"** means, with respect to a particular Service, any person who is receiving that Service, in whole or in part, with funds provided under this Agreement. For purposes of this Agreement and all attachments hereto, the terms "Client" and "Individual" shall have the same meaning and shall be interchangeable.
17. **"Interim Services"** as described in 45 CFR §96.121, means:
 - a. Services provided, until an Individual is admitted to substance abuse treatment program, for reducing the adverse health effects of such abuse, promoting the health of the Individual, and reducing the risk of transmission of disease. At a minimum Services include counseling and education about HIV and tuberculosis, the risks of needle sharing, the risks of transmission of disease to sexual partners and infants, and steps that can be taken to ensure that HIV and tuberculosis transmission does not occur;
 - b. Referral for HIV or TB treatment Services, where necessary; and
 - c. Referral for prenatal care, if appropriate, until the Individual is admitted to a Provider's Services.
 - d. If County treats recent intravenous drug users (those who have injected drugs within the past year) in more than one-third of its capacity, County shall carry out outreach activities to encourage individual intravenous drug users in need of such treatment to undergo treatment and shall document such activities.
18. **"Local Mental Health Authority" or "LMHA"** means one of the following entities:
 - a. The board of county commissioners of one or more counties that establishes or operates a Community Mental Health Program;

- b. The tribal council, in the case of a federally recognized tribe of Native Americans, that elects to enter into an agreement to provide mental health services; or
 - c. A regional local mental health authority comprised of two or more boards of county commissioners.
- 19. **“Local Plan” or “Plan”** means a comprehensive plan, adopted by the Local Mental Health Authority and approved by OHA, that describes the delivery of Services and the methods by which the Services will be provided to the community. The Local Plan must be directed by and responsive to the Behavioral Health needs of the community and consistent with the requirements identified in ORS 430.630.
- 20. **“Medicaid”** means federal funds received by OHA under Title XIX of the Social Security Act and Children’s Health Insurance Program (CHIP) funds administered jointly with Title XIX funds as part of state medical assistance programs by OHA.
- 21. **“Misexpenditure”** means funds, other than an Overexpenditure, disbursed to County by OHA under this Agreement and expended by County that are:
 - a. Identified by the federal government as expended contrary to applicable statutes, rules, OMB Circulars, or 45 CFR Part 75, as applicable, or any other authority that governs the permissible expenditure of such funds, for which the federal government has requested reimbursement by the State of Oregon, whether in the form of a federal determination of improper use of federal funds, a federal notice of disallowance, or otherwise; or
 - b. Identified by the State of Oregon or OHA as expended in a manner other than that permitted by this Agreement, including without limitation any funds expended by County contrary to applicable statutes, rules, OMB Circulars, or 45 CFR Part 75, as applicable, or any other authority that governs the permissible expenditure of such funds; or
 - c. Identified by the State of Oregon or OHA as expended on the delivery of a Service that did not meet the standards and requirements of this Agreement with respect to that Service.
- 22. **“Measures and Outcomes Tracking System” or “MOTS”** means the OHA data system that stores data submitted by OHA contractors and subcontractors.
- 23.
- 24. **“Overexpenditure”** means funds disbursed to County by OHA under this Agreement and expended by County that is identified by the State of Oregon or OHA, through Agreement Settlement or any other disbursement reconciliation permitted or required under this Agreement, as in excess of the funds County is entitled to as determined in accordance with the financial assistance calculation methodologies set forth in the applicable Service Descriptions or in Exhibit E, “Special Terms and Conditions.”
- 25. **“Problem Gambling Services”** means prevention, treatment, maintenance, and recovery Services for Individuals diagnosed with Gambling Disorder or are at risk of developing Gambling Disorder including or inclusive of any family and or significant other impacted by the problem gambler for access to treatment.
- 26. **“Program Area”** means any one of the following: Community Mental Health Services, Addiction Treatment, Recovery, & Prevention Services, or Problem Gambling Services.
- 27. **“Provider”** has the meaning set forth in section 5 of Exhibit F, “General Terms and Conditions.” As used in a Service Description and elsewhere in this Agreement where the context requires, Provider also includes County if County provides the Service directly.

28. **“Provider Contract”** has the meaning set forth in Exhibit F, “General Terms and Conditions,” section 5.
29. **“Serious and Persistent Mental Illness (SPMI)”** means the current DSM diagnostic criteria for at least one of the following conditions as a primary diagnosis for an adult age 18 or older:
- Schizophrenia and other psychotic disorders;
 - Major depressive disorder;
 - Bipolar disorder;
 - Anxiety disorders limited to Obsessive Compulsive Disorder (OCD) and Post Traumatic Stress Disorder (PTSD);
 - Schizotypal personality disorder; or
 - Borderline personality disorder.
30. **“Service(s)”** or **“Service Element(s)”** means any one of the following services or group of related services as described in Exhibit B-1, “Service Descriptions,” in which costs are covered in whole or in part with financial assistance pursuant to Exhibit C, “Financial Assistance Award,” of this Agreement. Only Services in which costs are covered in whole or in part with financial assistance pursuant to Exhibit C, “Financial Assistance Award,” as amended from time to time, are subject to this Agreement.

Service Name	Service Code
Start-Up – Addiction Treatment, Recovery, & Prevention Services	A&D 60
Adult Addiction Treatment, Recovery, & Prevention Residential Treatment Services	A&D 61
Supported Capacity for Dependent Children Whose Parents are in Adult Addiction Treatment, Recovery, & Prevention Residential Treatment	A&D 62
Peer Delivered Services – Addiction Treatment, Recovery, & Prevention Services	A&D 63
Housing Assistance – Addiction Treatment, Recovery, & Prevention Services	A&D 64
Community Behavioral and Addiction Treatment, Recovery, & Prevention Services	A&D 66
Addiction Treatment, Recovery, & Prevention Residential and Day Treatment Capacity	A&D 67
Youth Addiction, Recovery, & Prevention Residential Treatment Services	A&D 71
Problem Gambling Prevention Services	A&D 80
Problem Gambling Treatment Services	A&D 81
Problem Gambling Residential Services	A&D 82
Problem Gambling Respite Treatment Services	A&D 83
Problem Gambling Client Finding/Referral Pathways Outreach Services	A&D 84
System Management and Coordination – Community Mental Health	MHS 01
Aid and Assist Client Services	MHS 04
Assertive Community Treatment Services	MHS 05

Service Name	Service Code
Jail Diversion Services	MHS 09
Mental Health Promotion and Prevention Services	MHS 10
Rental Assistance Program Services	MHS 12
School-Based Mental Health Services	MHS 13
Young Adult Hub Programs (YAHP)	MHS 15
Tribal-based Mental Health	MHS 14
Non-OHP Community and Residential Assistance	MHS 17
Non-Residential Community Mental Health Services For Child, Youth, and Adults	MHS 20
Civil Commitment Services	MHS 24
Mobile Crisis Intervention Services	MHS 25
Non-Residential Community Mental Health Services For Youth and Young Adults In Transition	MHS 26
Residential Community Mental Health Treatment Services for Youth and Young Adults In Transition	MHS 27
Residential Community Mental Health Treatment Services For Adults	MHS 28
Monitoring, Security, and Supervision Services for Individuals Under the Jurisdiction of the Adult and Juvenile Panels of the Psychiatric Security Review Board	MHS 30
Enhanced Care And Enhanced Care Outreach Services	MHS 31
Adult Foster Care Services	MHS 34
Older or Disabled Adult Community Mental Health Services	MHS 35
Pre-Admission Screening and Resident Review Services (PASARR)	MHS 36
Start-Up – Community Mental Health Services	MHS 37
Supported Employment Services	MHS 38
Projects For Assistance In Transition From Homelessness (PATH) 3Services	MHS 39

31. **“Service Description”** means the description of a Service or Service Element as set forth in Exhibit B-1, “Service Descriptions.”
32. **“Specialized Service Requirement”** means any one of the following specialized service requirements as described in Exhibit B-2, “Specialized Service Requirements,” in which costs are covered in whole or in part with financial assistance pursuant to Exhibit C, “Financial Assistance Award,” of this Agreement. Only Services in which costs are covered in whole or in part with financial assistance pursuant to Exhibit C, “Financial Assistance Award,” as amended from time to time, are subject to this Agreement.

<u>Specialized Service Requirement Name</u>	<u>Specialized Service Requirement Code</u>
Veterans Peer Delivered Services	MHS 16A
Mobile Response and Stabilization Services (MRSS) for Children, Young Adults, and their Families	MHS 25A
Early Assessment and Support Alliance (EASA)	MHS 26A
Gero-Specialist	MHS 35A
APD Residential	MHS 35B

33. **“Trauma Informed Services”** means Services that are reflective of the consideration and evaluation of the role that trauma plays in the lives of people seeking Community Mental Health and Addiction Treatment, Recovery, & Prevention Services, including recognition of the traumatic effect of misdiagnosis and coercive treatment. Services are responsive to the vulnerabilities of trauma survivors and are delivered in a way that avoids inadvertent re-traumatization and facilitates individual direction of services.
34. **“Underexpenditure”** means funds disbursed by OHA under this Agreement that remain unexpended at Agreement termination or expiration, other than funds County is permitted to retain and expend in the future under Exhibit F, “General Terms and Conditions,” section 3.b

**2024-2025 INTERGOVERNMENTAL AGREEMENT
FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

**EXHIBIT B-1
SERVICE DESCRIPTIONS**

Not all Services described in this Exhibit B-1 may be covered in whole or in part with financial assistance pursuant to Exhibit C, "Financial Assistance Award," of this Agreement. Only Services in which costs are covered in whole or in part with financial assistance pursuant to Exhibit C, "Financial Assistance Award," as amended from time to time, are subject to this Agreement.

a. Service Name: **START-UP**

Service ID Code: **A&D 60**

(1) Service Description

Funds awarded must be used for Start-Up activities as described in a special condition in Exhibit C, "Financial Assistance Award." Description of Start-Up activities are activities necessary to begin, expand, or improve Substance Use Disorder and Problem Gambling Services. These expenses are distinct from routine operating expenses incurred in the course of providing ongoing services. Notwithstanding the description of the Start-Up activities in a special condition, funds awarded from A&D 60 may not be used for real property improvements of \$10,000 and above. When OHA funds in the amount of \$10,000 and above are to be used for purchase or renovation of real property, County shall contact the Social Determinates of Health (SDOH) unit of OHA and follow procedures as prescribed by that unit.

A&D 60 funds are typically disbursed prior to initiation of Services and are used to cover approved allowable Start-up expenditures, as described in Exhibit K, "Start-Up Procedures," that will be needed to provide the Services planned and to be delivered at the specified site(s).

(2) Performance Requirements

The funds awarded for A&D 60 may be expended only in accordance with Exhibit K, "Start-Up Procedures," which is incorporated herein by this reference.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

Using the OHA prescribed "Start-Up Request & Expenditure Form," County shall prepare and submit electronically, to hsd.contracts@odhsoha.oregon.gov, a request for disbursement of allowable Start-Up funds as identified in a special condition in a particular line of Exhibit C, "Financial Assistance Award." The reports must be prepared in accordance with forms prescribed by OHA and procedures described in Exhibit K, "Start-Up Procedures." Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

(5) Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment Start-Up, Section 1.e., and Settlement Start-Up language, Section 1.f.(1)(b).

b. Service Name: **ADULT SUBSTANCE USE DISORDER RESIDENTIAL**

TREATMENT SERVICES

Service ID Code: **A&D 61**

(1) Service Description

Adult Substance Use Disorder Residential Treatment Services (A&D 61) are Services delivered to Individuals 18 years of age or older who are unable to live independently in the community; cannot maintain even a short period of abstinence from substance abuse; are in need of 24-hour supervision, treatment, and care; and meet the treatment placement criteria indicated in the American Society of Addiction Medicine (ASAM) Level 3.1 – 3.7.

The purpose of A&D 61 Services is to support, stabilize, and rehabilitate Individuals and to permit them to return to independent community living. A&D 61 Services provide a structured environment for an Individual on a 24-hour basis, consistent with Level 3.1 – 3.7 treatment, including entry, assessment, placement, service plan, service note, service record, transfer and continuity of care, co-occurring mental health and substance use disorders (COD), residential substance use disorders treatment and recovery services, and residential women's substance use disorders treatment and recovery programs, as set forth in OAR 309-018-0135 through 309-018-0160 and OAR 309-018-0170 through 309-018-0180, as such rules may be revised from time to time, as appropriate to the Individual's needs and include structured counseling, educational services, recreation services, self-help group participation services, and planning for self-directed recovery management to support the gains made during treatment. A&D 61 Services address the needs of diverse population groups within the community with special emphasis on ethnic minorities.

Providers shall have written admission policies and procedures in place for Individuals who appropriately use prescribed medications to treat addiction. Written policies and procedures must include referrals to alternate treatment resources for those not admitted to the program.

A&D 61 Services provided under this Agreement must be provided only to Individuals who are not eligible for Medicaid, who demonstrate a need for financial assistance based on an income below 200% of the current federal poverty level, and obtain insufficient healthcare coverage, including but not limited to, healthcare coverage that does not cover all of the services described herein or are limited to a limited number of days.

(2) Performance Requirements

- (a)** Providers of A&D 61 Services funded through this Agreement must comply with OAR 309-018-0135 through 309-018-0180, as such rules may be revised from time to time. Providers of A&D 61 Services funded through this Agreement must also have a current approval or license issued by OHA in accordance with OAR 415-012-0000 through 415-012-0090.
- (b)** Subject to the preference for pregnant women and intravenous drug users described in Exhibit G, "Required Federal Terms and Conditions," County and Providers of A&D 61 Services funded through this Agreement shall

give priority access to such Services first to Individuals referred by the Department of Human Services and then to Individuals referred by Drug Treatment Courts from within the region, as such region is designated by OHA after consultation with County. For purposes of this Service Description, “Drug Treatment Court” means any court given the responsibility pursuant to ORS 3.450 to handle cases involving substance-abusing offenders through comprehensive supervision, drug testing, treatment services, and immediate sanctions and incentives. A&D 61 Services funded through this Agreement may be delivered to Individuals referred from any county within the State of Oregon and contiguous areas and no priority or preference shall be given to Individuals referred from any particular county, provider, or other entity.

- (c) Providers of A&D 61 Services funded through this Agreement shall be a culturally competent program, able to meet the cultural and linguistic needs of the Individual, and shall also be a co-occurring competent program capable of delivering adequate and appropriate Services. Delivery of such Services must include, but is not limited to the following tasks, all of which must be documented in the Individual’s clinical record:
 - i. Address co-occurring disorders, including gambling, in program policies and procedures, client assessment, treatment and planning, program content, and transition or discharge planning;
 - ii. Gambling disorders will be assessed using OHA Problem Gambling Services GBIRT SUD toolkit. Program will refer Individuals with severe gambling disorder to community services during residential care, and Individuals with moderate or mild gambling disorder to community services during treatment or upon transition.
 - iii. Psychoeducational sessions to discuss gambling disorder and co-addiction shall be provided. Toolkit for presentation materials can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>. For technical assistance and training contact pgs.support@dhsoha.state.or.us.
 - iv. Address the interaction of the substance-related, gambling disorder and mental health disorders in assessing each Individual’s history of psychological trauma, readiness to change, relapse risk, and recovery environment;
 - v. Arrange for, as needed, pharmacological monitoring and psychological assessment and consultation, either on site or through coordinated consultation off site;
 - vi. The provider’s policies and procedures shall prohibit titration of any prescribed medications, including prescribed medications for the treatment of opioid dependence as a condition of receiving or continuing to receive treatment.
 - vii. In addition to all applicable statutory and constitutional rights, every individual receiving services has the right to receive medication specific to the individual’s diagnosed clinical needs, including medications used to treat opioid dependence.

- viii. Involve the family or significant others of the Individual in the treatment process;
 - ix. Obtain clinically appropriate family or significant other involvement and participation in all phases of assessment, treatment planning, and treatment;
 - x. Use treatment methods, appropriate for Individuals with significant emotional disorders, that are based on sound clinical theory and professional standards of care; and
 - xi. Plan the transition from residential to community-based Services and supports that are most likely to lead to successful clinical outcomes for each Individual. This includes scheduling a face-to-face meeting between the Individual and the community-based outpatient provider within seven (7) days of discharge from the residential program.
- (d) Quality of Services provided under this Agreement will be measured in accordance with the following criteria:
- i. **Engagement:** Engagement will be measured by reviewing the number of MOTS enrolled Individuals in treatment; and
 - ii. **Improvement in Life Circumstances:** Improvement in life circumstances will be measured by the number of Individuals participating in court programs (if applicable), enrolled in school or obtaining a GED, obtaining employment, returned to the community, and obtaining secured housing accommodations.
- (3) **Reporting Requirements**
See Exhibit E, 10, "Reporting Requirements for MOTS."
- (4) **Special Reporting Requirements**
None
- (5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures**
See Exhibit D, "Payment, Settlement, and Confirmation Requirements."
Use Payment and Confirmation language, Section 1.f.(1).

- c. Service Name: **SUPPORTED CAPACITY FOR DEPENDENT CHILDREN**
WHOSE PARENTS ARE IN ADULT SUBSTANCE USE DISORDER
RESIDENTIAL TREATMENT

Service ID Code: **A&D 62**

(1) **Service Description**

Supported Capacity for Dependent Children Whose Parents are in Adult Substance Use Disorder Residential Treatment (A&D 62) is housing services (room and board) delivered to Individuals who are dependent children age 18 and younger, of parent(s) who reside in substance use disorder residential treatment facilities, so the child(ren) may reside with their parent in the same substance use disorder residential treatment facility. The parent who is participating in residential treatment may or may not be a custodial parent during part or all of the treatment episode. The Department of Human Services, Child Welfare may have legal custody of the child(ren) but grant formal permission for the child(ren) to be placed with the parent during treatment and to reside in one of the dependent room and board placements.

(2) **Performance Requirements**

Providers of A&D 62 Services funded through this Agreement must comply with OAR 309-018-0100 through 309-018-0180, as such rules may be revised from time to time. Providers of A&D 62 Services funded through this Agreement must also have a current license issued by OHA in accordance with OAR 415-012-0000 through 415-012-0090, as such rules may be revised from time to time, and participate in outcome studies conducted by OHA.

(3) **Reporting Requirements**

See Exhibit E, 10., "Reporting Requirement for MOTS."

(4) **Special Reporting Requirements**

- (a) Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
- (b) County shall prepare and electronically submit to hsd.contracts@odhsoha.oregon.gov written quarterly summary reports on the delivery of A&D 62 Services, no later than the due dates listed below following the end of each subject quarter for which financial assistance is awarded through this Agreement.

Reporting period	Reporting due dates
July – September	due October 21st
October – December	due January 21st
January – March	due April 21st
April – June	due July 21st

- (c) Each report shall provide the following information:
 - i. Number of parents and children residing in the substance use disorder residential treatment facilities, including length of stay; and
 - ii. If the parent of dependent child(ren) are TANF eligible.

(5) **Financial Assistance Calculation, Disbursement and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

d. Service Name: **PEER DELIVERED SERVICES**

Service ID Code: **A&D 63**

(1) **Service Description**

For the purpose of A&D 63 Peer Delivered Services (A&D 63 Services), “Recovery Center,” “Facilitating Center,” “Peer Delivered Services,” and “Peer Support Specialist” shall have the following meanings:

- (a) **Recovery Centers** are comprised of and led by people in recovery from Substance Use Disorders (as defined in OAR 309-019-0105(153)). The Recovery Centers maintain a structured daily schedule of activities where Peer Delivered Services may be delivered. Recovery Centers serve as recovery resources for the local community.
- (b) **Facilitating Centers** provide ongoing technical assistance and training for Recovery Centers and the community. Facilitating Centers provide resources and support for developing, expanding, and sustaining Recovery Centers. People in recovery must be involved in every aspect of program design and implementation.
- (c) **Peer Delivered Services** means an array of agency or community-based services and supports provided by peers, Peer Support Specialists, and Peer Wellness Specialists to Individuals or family members with similar lived experience. These services are intended to support the needs of Individuals and families, as applicable, as they progress through various stages in their recovery from Substance Use Disorders. Peer Delivered Services include, but are not limited to, the following:
 - i. **Emotional support.** Emotional support refers to demonstrations of empathy, caring, and concern that enhance self-esteem and confidence. Peer mentoring, peer coaching, and peer-led support groups are examples of peer-to-peer recovery services that provide emotional support.
 - ii. **Informational support.** Informational support refers to sharing knowledge, information and skills. Peer-led life skills training, job skills training, educational assistance, and health and wellness information are examples of informational support.
 - iii. **Instrumental support.** Instrumental support includes modeling and peer-assisted daily-life tasks that people with Substance Use Disorders may lack. Examples of instrumental support include getting to support groups, accessing childcare, completing job applications, locating alcohol and drug-free housing, and obtaining vocational, educational, and navigating health and social service programs.
 - iv. **Affiliated support.** Affiliational support facilitates contact with other people to promote learning of social and recreational skills, create a

community, and acquire a sense of belonging. Examples of affiliational support include introduction to Recovery Centers, alcohol and drug-free socialization opportunities, and exploring activities.

- v. Family support. Family support includes educational, informational, and affiliational services for family members with relatives (as identified by the family) who are in recovery from Substance Use Disorders. These services are designed to help families develop and maintain positive relationships, improve family functioning, increase understanding of recovery processes, and build connections among family members for mutual support.
- vi. **Peer Support Specialists** are individuals as defined in OAR 309-019-0105(86), as such rules may be revised from time to time. Peer Support Specialists must comply with all requirements in accordance with OAR 410-180-0300 through 410-180-0380.

- (d) **Population to be served, Eligible population, or Participants:** Individuals with Substance Use Disorders and who are seeking recovery are the target population.

(2) **Performance Requirements**

County shall use the financial assistance awarded for A&D 63 Services through this Agreement to provide Peer Delivered Services in a manner that benefits the Population to be served. The Peer Delivered Services must be delivered at Recovery Centers, agencies, or in communities, by Peer Support Specialists or Peer Wellness Specialists.

To the satisfaction of OHA, County shall require that Peer Delivered Services are:

- (a) Delivered by Peer Support Specialists and Peer Wellness Specialists who continuously adhere to the Standards of Professional Conduct in OAR 410-180-0340;
- (b) Delivered by Peer Support Specialists and Peer Wellness Specialists who are jointly supervised by clinical staff with documented training and experience with Peer Delivered Services and a certified Peer Support Specialist or Peer Wellness Specialist;
- (c) Delivered in accordance with a plan developed with or by the Individual receiving Services;
- (d) Documented and regularly reviewed by the Individual receiving Services; and
- (e) Documented either in MOTS or MMIS or comparably reported.

Providers employing Peer Support Specialists and Peer Wellness Specialists must develop and implement quality assurance processes to improve the quality of Peer Delivered Services supported by funds provided through this Agreement. OHA may recommend additional actions to improve quality.

(3) Reporting Requirements

See Exhibit E, 10.

(4) Special Reporting Requirements

Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

- (a) Within 30 calendar days of the County providing A&D 63 Services, County shall prepare and electronically submit a written entry baseline assessment report to amhcontract.administrator@dhsosha.state.or.us.
- (b) County shall prepare and electronically submit, to hsd.contracts@odhsosha.oregon.gov, written quarterly summary reports on the delivery of A&D 63 Services, no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement.
- (c) Each report shall provide the following information:
 - i. The amount of financial assistance spent on A&D 63 Services as of the end of the reporting period;
 - ii. Number of Individuals served by Peer Support Specialist(s), categorized by age, gender, and ethnicity;
 - iii. Breakdown of Service received;
 - iv. Number of Individuals who acquired a safe, permanent, alcohol and drug free place to live in the community during Service participation;
 - v. Number of Individuals who gained employment or engaged in productive educational or vocational activities during Service participation;
 - vi. Number of Individuals who remained crime-free during Service participation; and
 - vii. Number of Individuals served who are being retained from the previous quarter.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

e. Service Name: **HOUSING ASSISTANCE**

Service ID Code: **A&D 64**

(1) Service Description

Housing Assistance Services assist Individuals, who are in recovery from Substance Use Disorders, in locating and paying for housing that supports that recovery. Individuals who receive assistance may be living with other family members (e.g., where a parent is re-assuming custody of one or more children).

All Individuals receiving A&D 64 Services funded through this Agreement must reside in County, be in recovery from Substance Use Disorders, have been previously homeless or at risk of homelessness, and be participating in a verifiable program of recovery. OHA will not provide financial assistance for A&D 64 Services under this Agreement and any succeeding Agreement for more than 24 consecutive months for any particular Individual, unless approved in advance by OHA in writing.

(2) Performance Requirements

Housing Assistance Services include:

- (a) Rental Assistance in the form of cash payments, made on behalf of Individuals recovering from Substance Use Disorders, to cover all or a portion of the monthly rent and utilities for housing.
- (b) Housing Coordination Services in the form of staff support to assist Individuals recovering from Substance Use Disorders in locating and securing suitable housing, and referrals to other resources.
- (c) Residential Costs to pay for move-in and barrier removal costs not to exceed 20% of total funds awarded to support securing and maintaining housing such as payment of rental deposits and fees; moving and storage costs; furnishing, supplies and equipment; payment of past due utility bills and securing a credit report. These must be one-time payments only; no on-going expenses. Housing expenses not eligible are permanent improvements to a building except for minor remodeling to improve accessibility. Barrier removal expenses not eligible are any payments made that do not advance the effort to secure rental housing.
- (d) Utilization requirements for A&D 64 will be identified in a special condition, subject to funds awarded in a particular line of the Financial Assistance Award.
- (e) No funds shall be paid directly to individuals benefiting from A&D 64 Services.

(3) Reporting Requirements

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly summary reports on the delivery of A&D 64 Services no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Each report shall provide the following information:

- (a) Information and data as required on the OHA-provided reporting template;
- (b) For financial settlement purposes, the total amount expended during the subject quarter for the following:
 - i. Amount expended for Housing Coordination and supports including staff positions.
 - ii. Amount expended for Administration.
 - iii. Amount expended for Residential Costs including move-in and barrier removal expenses .
 - iv. Amount expended for Rental Assistance.
- (c) All required reports submitted must be complete and accurate to the satisfaction of OHA. If a report is found to be incomplete or not accurate, it will be returned for correction and resubmission. Failure to submit complete and accurate reports could result in the withholding of future payment of Financial Assistance.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

f. Service Name: **COMMUNITY BEHAVIORAL AND SUBSTANCE USE
DISORDER SERVICES**

Service ID Code: **A&D 66**

(1) Service Description

- (a) Community Behavioral and Substance Use Disorder Services (A&D 66 Services) are Services delivered to youth and adults with Substance Use Disorders or to youth and adults with co-occurring substance use and mental health disorders. These Services shall be provided to Individuals who are not eligible for the Oregon Health Plan (OHP) or who otherwise do not have a benefit that covers the A&D 66 Services described in this Service Description.

The purpose of A&D 66 Services is to build upon resilience, assist Individuals to make healthier lifestyle choices, and to promote recovery from Substance Use Disorders. A&D 66 Services consist of outreach (case finding), early identification and screening, assessment and diagnosis, initiation and engagement, therapeutic interventions, continuity of care, recovery management, and Interim Services.

- (b) It is required that pregnant women receive Interim Services within 48 hours after being placed on a waitlist. At a minimum, 45 CFR §96.121 requires that Interim Services include the following:
- i. Counseling and education about HIV and tuberculosis (TB);
 - ii. Risks of sharing needles;
 - iii. Risks of transmission to sexual partners and infants;
 - iv. Steps to ensure that HIV and TB transmission does not occur;
 - v. Referral for HIV or TB treatment services, if necessary;
 - vi. Counseling on the effects of alcohol and drug use on the fetus; and
 - vii. Referral for prenatal care.
- (c) A&D 66 Services must be evidence-based or promising practices. Services may be reduced commensurate with reductions in funding by OHA. County shall provide the following Services, subject to availability of funds:
- i. Outreach (case finding), early identification and screening, assessment and diagnosis, and education:
 - A. Outreach: Partner with healthcare Providers and other social service partners who provide screening for the presence of behavioral health conditions to facilitate access to appropriate Services.
 - B. Early Identification and Screening: Conduct periodic and systematic screening that identify Individuals with behavioral health conditions and potential physical health consequences of behavioral health conditions which consider epidemiological and community factors, as identified in the Local Plan or Regional Health Improvement Plan (RHIP) as applicable.

- C. Assessment and Diagnosis: Perform multidimensional, biopsychosocial assessments as appropriate based on OAR 309-018-0140 to guide person-centered services and supports planning for behavioral health and co-existing physical health conditions. Identify Individuals who need intensive care coordination. Use the following standardized protocols and tools to identify the level of Service need and intensity of care and coordination, addressing salient characteristics such as age, culture, and language:
 - I. American Society of Addiction Medicine (ASAM) for Individuals receiving Substance Use Disorder Services.
 - II. Level of Care Utilization System (LOCUS) for adults transitioning between the state hospitals, licensed mental health residential services, and Intensive Community Services. **“Intensive Community Services”** are defined as assertive community treatment, intensive case management, and supported or supportive housing.
 - III. Level of Service Intensity Determination for children including use of Child and Adolescent Service Intensity Instrument (CASII) and Early Childhood Service Intensity Instrument (ECSII) for children receiving services with “Intensive Outpatient Services and Supports” or “Intensive Treatment Services,” as defined in OAR 309-022-0105(45) and 309-022-0105(46), respectively.
- D. Education: Partner with other community groups and organizations, including but not limited to schools, community corrections, and other related organizations, to perform education and outreach to potentially at-risk populations for alcohol and drug abuse in order to educate those groups around substance abuse treatment and recovery topics tailored to the individual groups’ needs, in order to educate the broader community on these issues as well as begin the process of promoting potential initiation and engagement in treatment Services within these populations.
- ii. Initiation and Engagement: Promote initiation and engagement of Individuals receiving Services and supports, which may include but are not limited to:
 - A. Brief motivational counseling;
 - B. Supportive Services to facilitate participation in ongoing treatment; and
 - C. Withdrawal management for Substance Use Disorders and supportive pharmacotherapy to manage symptoms and adverse consequences of withdrawal following assessment.
- iii. Therapeutic Interventions:
General community-based Services, which may include:

- A.** Condition management and a whole person approach to single or multiple chronic conditions based on goals and needs identified by the Individual;
 - B.** General outpatient Services;
 - C.** Medication management for:
 - I.** Mental health disorders (when providing Services for Individuals with co-occurring mental and Substance Use Disorders).
 - II.** Substance Use Disorders:
 - (A)** Includes pharmacotherapy for adults diagnosed with opioid dependence, alcohol dependence, or nicotine dependence and without medical contraindications. Publicly funded programs will not discriminate in providing access to Services for Individuals using medications to treat and manage addictions.
 - (B)** Pharmacotherapy, if prescribed, should be provided in addition to and directly linked with psychosocial treatment and support.
 - D.** Detoxification for Individuals with Substance Use Disorders under OAR 415-050-0000 through 415-050-0095. Supportive pharmacotherapy may be provided to manage the symptoms and adverse consequences of withdrawal, based on a systematic assessment of symptoms and risk of serious adverse consequences related to the withdrawal process; and
 - E.** Meaningful Individual and family involvement.
- iv. Continuity of Care and Recovery Management:**
- A.** Continuity of care Services includes:
 - I.** Coordinate and facilitate access to appropriate housing Services and community supports in the Individual's community of choice;
 - II.** Facilitate access to appropriate levels of care and coordinate management of Services and supports based on an Individual's needs in their community of choice;
 - III.** Facilitate access to Services and supports provided in the community and Individual's home designed to assist children and adults with Substance Use Disorders whose ability to function in the community is limited and for whom there is significant risk of higher level of care needed; and
 - IV.** Coordinate with other agencies to provide intensive care coordination sufficient to help Individuals prevent placement in a more restrictive level of care and to be successfully served in their community of choice.
 - B.** Recovery Management Services includes:

- I. Continuous case management;
- II. Monitoring of conditions and ongoing recovery and stabilization;
- III. Individual and family engagement, including provision of childcare for parents actively involved in any of these treatment, education, outreach, or recovery support Services; and
- IV. Transition planning that addresses the Individual's needs and goals.

(2) **Performance Requirements**

- (a) A Provider delivering A&D 66 Services with financial assistance awarded through this Agreement may not use funds to deliver covered Services to any Individual enrolled in the Oregon Health Plan.
- (b) The quality of A&D 66 Services supported with financial assistance through this Agreement will be measured in accordance with the criteria set forth below. These criteria are applied on a countywide basis each calendar quarter (or portion thereof) during the period for which financial assistance is awarded through this Agreement. County shall develop and implement quality assurance and quality improvement processes to improve progressively, as measured by the criteria set forth below, the quality of Services supported with financial assistance awarded through this Agreement. OHA may assign performance payments to some or all of these standards and measures and may recommend additional actions to improve quality.
 - i. **Access:** Access is measured by OHA as the percentage of residents estimated by OHA surveys to need treatment who are enrolled in A&D 66 Services.
 - ii. **Treatment Service Initiation:** Treatment service initiation is measured as the percentage of Individuals served within 14 calendar days of their original assessment, also known as the index date. The index date is a start date with no Services in the prior 60-calendar days.
 - iii. **Utilization:** Utilization requirements for Individuals receiving continuum of care services (non-detox) will be identified in a Special Condition, subject to a particular line in Exhibit C, "Financial Assistance Award."
 - iv. **Engagement:** Engagement is measured by OHA as the percentage of Individuals receiving A&D 66 Services under this Agreement who enter treatment following positive assessment.
 - v. **Treatment Service Retention:** Treatment Service retention is measured by OHA as the percentage of Individuals receiving A&D 66 Services under this Agreement who are actively engaged in treatment for 90 consecutive calendar days or more.
 - vi. **Reduced Use:** Reduced use is measured by OHA as the percentage of Individuals engaged in and receiving A&D 66 Services under this Agreement who reduce their use of alcohol or other drugs during treatment, as reported in the MOTS data system, upon planned interruption in Services or 90 calendar day retention, whichever comes first.

- vii. **Completion:** Completion is measured as the percentage of Individuals engaged in and receiving A&D 66 Services under this Agreement who complete two thirds of their treatment plan and are engaged in recovery support or services at the time treatment Services are terminated. Providers of A&D 66 Services funded through this Agreement must participate in client outcome studies conducted by OHA.
- viii. **Facility-Based Care Follow-Up:** Facility-based care follow-up is measured by the percentage of Individuals with a follow-up visit completed within 7 calendar days after: (A) hospitalization for mental illness; or (B) any facility-based Service defined as residential.
- ix. **Hospital and Facility-Based Readmission rates:** Hospital and facility-based readmission rates are measured by the number of Individuals returning to the same or higher levels of care within 30 and 180 calendar days against the total number of discharges.
- x. **Parent-Child Reunification:** Parent-child reunification is measured by the number of parents reunited with their child (or multiple children) against the number of parents served who have children in an out-of-home placement or foster care due to the Department of Human Service, Child Welfare Program's involvement.
- xi. **Functional Outcomes - Housing Status; Employment Status; School Performance; Criminal Justice Involvement:** The 4 functional outcome measures that will be monitored by OHA and reported to the County are as follows:
 - A. Housing Status: If improved housing status is a goal of treatment or an Individual is homeless or in a licensed care facility, this measure will be monitored. This measure is defined as the number of Individuals who improve housing status as indicated by a change from homelessness or licensed facility-based care to private housing against the total number of Individuals with a goal to improve housing.
 - B. Employment Status: If employment is a goal of treatment, this measure will be monitored. This measure is defined as the number of Individuals who become employed, as indicated by a change in employment status, against the number of Individuals with a goal of becoming employed.
 - C. School Performance: If school attendance is a goal of treatment, this measure will be monitored. The measure is defined as the number of Individuals who improve attendance in school while in active treatment against the total number of Individuals with a goal of improved attendance in school.
 - D. Criminal Justice Involvement: This measure will be monitored by OHA for Individuals referred for Services by the justice system. The measure is defined as the number of Individuals who were not arrested after 1 day or more of active treatment or 2 consecutive quarters (whichever comes first) against the total number of Individuals referred for Services by the justice system.

(3) Reporting Requirements

See Exhibit E, 10, “Reporting Requirements for MOTS.”

(4) Special Reporting Requirements

- (a)** Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
- (b)** County shall prepare and electronically submit to hsd.contracts@odhsoha.oregon.gov written annual summary reports on the delivery of A&D 66 Services, no later than 45 calendar days following the end of each subject year for which financial assistance is awarded through this Agreement.
- (c)** Each report shall provide the following information:

Description of the delivery of A&D 66 Services provided to Individuals who are not enrolled in MOTS at the time of their participation in Prevention, Education, or Outreach Service delivery, as described in this Service Description. Cases without evidence of treatment engagement in the clinical record do not count toward the Service delivery requirement, except as listed above for Prevention, Education, and Outreach.

(5) Payment Calculation, Disbursement, and Agreement Settlement Procedures

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Settlement language, Section 1.f.(1).

h. Service Name: **SUBSTANCE USE DISORDER RESIDENTIAL & DAY TREATMENT CAPACITY**

Service ID Code: **A&D 67**

(1) Service Description

Substance Use Disorder (SUD) Residential and Day Treatment Capacity (A&D 67) is for housing/lodging services for indigent, underfunded, or Medicaid-eligible Individuals who are enrolled in SUD adult or youth residential services or day treatment services where housing/lodging services are provided. A&D 67 Services provide a structured environment for an Individual on a 24-hour basis consistent with Level II and Level III of the American Society of Addiction Medicine (ASAM) patient placement criteria and transfer and continuity of care set forth in OAR 309-018-0135 through 309-018-0155 and 309-019-0135 through 309-019-0140, as such rules may be revised from time to time, are appropriate to the Individual's needs and include housing and food services.

Housing/lodging services must include;

- (a) Bed with a frame and clean mattress;
- (b) Pillow(s);
- (c) Linens; sheets, pillowcases, and blankets;
- (d) Bath towel and wash cloth;
- (e) Private dresser or similar storage area for personal belongings;
- (f) Meals: at least three meals must be provided daily in adequate amounts for each resident at each meal, as well as two snacks daily (may be subsidized with SNAP benefits);
- (g) Laundry services at least weekly for personal clothing, linens, bath towel, and wash cloth; and
- (h) Rent/Utilities (no additional charges to Individual while in treatment).

(2) Performance Requirements

Providers of A&D 67 Services paid through this Contract must comply with OAR 309-018-0100 through 309-018-0215 and OAR 309-019-0100 through 309-019-0220, as such rules may be revised from time to time. Providers of A&D 67 Services paid through this Contract must also have a current approval or license issued by OHA in accordance with OAR 415-012-0000 through 415-012-0090 and must participate in client outcome studies conducted by OHA.

(3) Reporting Requirements

See Exhibit E, 10, "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

None

(5) Payment Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

i. Service Name: **PROBLEM GAMBLING PREVENTION SERVICES**

Service ID Code: **A&D 80**

(1) Service Description

- (a) Problem Gambling Prevention Services (A&D 80 Services) are designed to meet the following objectives:
 - i. Education aimed at increasing general public awareness of Problem Gambling that includes all populations of the general public; and
 - ii. Prevent Problem Gambling.
- (b) The goals and outcomes for County's A&D 80 Services must be described in County's OHA approved Problem Gambling Prevention Implementation Plan, using the form located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx>, and submitted electronically to OHA at: hsd.contracts@odhsoha.oregon.gov. County's A&D 80 Services will be monitored and evaluated on the basis of the County's effectiveness in achieving the goals and outcomes identified in the County's OHA approved Problem Gambling Prevention Implementation Plan and through the Problem Gambling Prevention Data Collection System at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx/>.

(2) Performance Requirements

- (a) County shall designate a problem gambling prevention coordinator, who is qualified by virtue of knowledge, training, experience and skills, that shall be responsible for:
 - i. Implementation plan development, utilizing a comprehensive planning framework for addressing awareness of problem gambling and prevention education. Plans must reflect the requirements within the Problem Gambling Tier Level Funding Performance Standards located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx/>. Planning frameworks shall demonstrate the following: community assessment of current status of the problem, desired outcome, strategic plan to meet outcome, and evaluation plan;
 - ii. Continuously conducting a community assessment every five years (FY2025-26) and utilizing County's community assessment results to identify trackable outcome measurements within Implementation Plan;
 - iii. Implementation of problem gambling prevention activities each quarter related to identified goals within Implementation Plan, unless preauthorized by OHA Problem Gambling Prevention Services Specialist;
 - iv. Monitoring, implementation, evaluation and oversight of the Problem Gambling Prevention Implementation Plan in accordance with the "Special Reporting Requirements" section below and submitting electronically to OHA through the Problem Gambling Prevention Quarterly Data Reporting

Collection System at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx/>;

- v. Preparation of reports, as described in the “Special Reporting Requirements” section below;
 - vi. Oversight and coordination of A&D 80 Services, activities, and programs provided in the County;
 - vii. Completion of Problem Gambling Prevention Coordinator Training Series requirements within six months from the date of hire or designation as coordinator. The Problem Gambling Prevention Coordinator Training Series requirements are located at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx/>;
 - viii. Attending a minimum of 8 hours of OHA Problem Gambling Services approved trainings per calendar year, separate from the Problem Gambling Prevention Coordinator Training Series referenced above;
 - ix. Development and adoption of a comprehensive written policy, on gambling in the workplace; and
 - x. Participating in a minimum of one Technical Assistance/Program Development visit in a three year period. Technical Assistance Visit Toolkit and Schedule located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx/>.
- (b) County shall designate a Problem Gambling Prevention Supervisor, who is qualified by virtue of knowledge, training, experience and skills, that shall be responsible for:
- i. Completion of the Problem Gambling Prevention Supervisor Training within 3 months from date of designation as problem gambling prevention supervisor.
 - ii. The Problem Gambling Prevention Supervisor Training requirements are located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx/>.
- (c) The financial assistance awarded to County for A&D 80 Services in the subsequent contracting period will, in part, depend upon achievement of the goals and outcomes set forth in the County’s Problem Gambling Prevention Implementation Plan. In the event of a conflict or inconsistency between the provisions of the County’s Problem Gambling Prevention Implementation Plan and provisions of this Service Description, the provisions of this Service Description shall control.
- (d) Providers of A&D 80 Services must implement A&D 80 Services funded through this Agreement in accordance with the County’s current Problem Gambling Prevention Implementation Plan.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

- (a) All A&D 80 Services provided by County under this Agreement must be reported and submitted electronically to OHA on a quarterly basis through the Oregon

Problem Gambling Prevention Quarterly Data Reporting Collection System, located at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Prevention.aspx> no later than 45 calendar days following the end of each quarter with respect to Services provided in the prior quarter.

(b) County shall notify OHA Problem Gambling staff at pgs.support@dhsoha.state.or.us within 10 business days of any changes related to designated Problem Gambling A&D 80 Services.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures

County shall not expense greater than 6 percent of total allocation for administrative overhead and indirect cost.

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Settlement language, Section 1.f.(2).

j. Service Name: **PROBLEM GAMBLING TREATMENT SERVICES**

Service ID Code: **A&D 81**

(1) Service Description

- (a) For purposes of this A&D 81 Service Description, an Individual must have one of the diagnoses listed below in order to obtain services and the diagnosis must be primary or secondary.
- i. A diagnosis of Gambling Disorder, defined as an Individual with persistent and recurrent problematic gambling behavior leading to significant impairment or distress, as indicated by the Individual exhibiting one or more diagnostic criteria of the most current version of the Diagnostic and Statistical Manual for Mental Disorders; or
 - ii. A diagnosis of Other Specific Disruptive, Impulse Control and Conduct Disorder, as an Individual with clinically significant distress or impairment in social, occupational, or other important area of functioning. This diagnosis in terms of Problem Gambling Treatment Services and reimbursement for these services should be used for clients who present with an Internet Gaming Disorder; or
 - iii. A diagnosis of relationship distress with spouse or intimate partner; a diagnosis of relational problems or problems related to psychosocial circumstances; or diagnosis of stressful life events affecting family and household.

(2) Performance Requirements

- (a) County shall maintain Certification, as provided under OAR 309-008-0100 through 309-008-1600 "Certification of Behavioral Health Treatment Services," for all levels of outpatient treatment in accordance with OAR 309-019-0100 through 309-019-0220 "Outpatient Behavioral Health Services," as such rules may be revised from time to time.
- (b) County shall meet the performance requirements, which are imposed and assessed on an individual County basis, listed below. If OHA determines that a Provider of A&D 81 Services fails to meet any of the performance requirements, the specific performance requirements that are out of compliance will be reviewed at a specifically scheduled performance requirement site review or OHA may reduce the monthly allotments based on under-used allotments identified through the OHA PG Net data collection system or other required reports in accordance with the "Special Reporting Requirements" section below.

The performance requirements for A&D 81 Services are as follows:

- i. **Access:** The amount of time between an Individual requesting A&D 81 Services and the first offered service appointment must be 5 business days or less for at least [90%] of all Individuals receiving A&D 81 Services funded through this Agreement.
- ii. **Client Satisfaction:** The percent of Individuals receiving A&D 81 Services who have consented and completed a satisfaction survey and would positively recommend the Provider to others must not be less than [85%.]

Satisfaction surveys must be completed by no less than [50%] of total enrollments for those that have consented to the survey.

- iii. **Long-term Outcome:** At the 6-month follow up for Individuals completing treatment, a minimum of [50%] must report abstinence or reduced gambling.
- iv. **Retention:** The percent of Individuals receiving A&D 81 Services who actively engage in treatment for at least 10 clinical sessions must be at least [40%].
- v. **Successful Completion:** The percent of all Individuals receiving A&D 81 Services who successfully complete treatment must be at least [35%] (unadjusted rate). Successful completion of problem gambling treatment is defined as Individuals who have: (a) achieved at least [75%] of short-term treatment goals; (b) completed a continued wellness plan (i.e., relapse prevention plan); and (c) lack of engagement in problem gambling behaviors for at least [30] consecutive days prior to successful completion of A&D 81 Services.
- vi. **Admission Survey Completion:** The percent of Individuals receiving A&D 81 Services who consent and complete an admission survey must not be less than [95%.]

(c) **Technical Assistance and Program Development**

- i. County shall participate in a minimum of one Technical Assistance/Program Development visit in a three year period. Schedule of visit, located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>.
- ii. County shall collaborate with OHA PGS staff in developing and implementing a Program Development Plan based on feedback from the Technical Assistance and Program Development visit. Plan template can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>. Plan must be on file with OHA PGS staff. Process/procedure and reporting guidelines for Technical Assistance and Program Development visit is located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>.
- iii. County shall participate in semi-annual connection review with OHA. These reviews will be completed via conference call, webinar or in person with the use of a structured form that can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>.
- iv. County shall provide problem gambling in-reach efforts within their A&D 81 Service organization. This should include training to clinical staff on engagement, education, screening, identification and referrals to A&D 81 Services using the Gambling Screening, Brief Intervention, and Referral to Treatment (GBIRT) toolkit and type model, which can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>.

- v. Persons providing A&D 81 Services, prior to working with an individual with problematic gambling must complete the “Problem Gambling for Social Service Professionals” training series, Modules One through Three within six months of agency assignment to problem gambling client services. Information on the training series can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Workforce.aspx>.
- vi. County shall complete a Oregon Problem Gambling Counselor Competency Evaluation and submit to OHA approved portal. This evaluation shall be completed on each Program’s gambling clinician at a minimum of once every odd numbered year. Information provided to OHA will be anonymous and assist with determining needs within the workforce to be addressed. Evaluation tool can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Workforce.aspx>.
- vii. A&D 81 Services are limited to [12] months per Individual for an active treatment episode. This Service limitation will count [12] consecutive months, starting with the Individual’s enrollment date. Individuals must have been out of active treatment service for a minimum of [90] consecutive days prior to any re-enrollment in the state system.

County may request an extension of the [12] month Service limitation by submitting a Length of Stay Extension request in the OHA PG Net data collection system located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/PG-Net.aspx>.

Continuing care or aftercare service is limited to [12] months per Individual and provided upon successful completion of gambling treatment Services. This Service limitation will continue [12] consecutive months starting with the Individual’s discharge date.

- (d) Problem Gambling Treatment Services (A&D 81 Services) are as follows:
 - i. Outpatient A&D 81 Services provide problem gambling assessment, treatment, rehabilitation and peer support services, delivered on an outpatient basis or intensive outpatient basis to Individuals and those in relationships with Individuals with gambling related problems who are not in need of 24-hour supervision for effective treatment. Outpatient A&D 81 Services must include regularly scheduled face-to-face or non-face-to-face therapeutic sessions or services, in response to crisis for the Individual, and may include individual, group, couple, family counseling, and peer support.
 - ii. “Session” or “treatment session” means A&D 81 Services delivered in individual, couple, family, group or peer support modalities. Treatment sessions must be reported by type (e.g., individual, couple, family, or group) and length (time).
 - iii. In reach activities: Treatment-specific efforts that engage, educate and assist behavioral health programs with screening, identification and referral to A&D 81 Services.

- iv. A&D 81 Services are to be made available to any Oregon resident with a Gambling Disorder, problematic gambling, or diagnosis of relational problem as defined above. A&D 81 Services to out-of-state residents are permissible if the presenting Gambling Disorder or relational problem diagnoses are reported as primarily related to an Oregon Lottery product or Oregon Indian Gaming Center.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

County shall notify OHA Problem Gambling staff within 10 business days of any changes related to designated Problem Gambling A&D 81 Services program staff. Notification shall be sent to pgs.support@dhsosha.state.or.us.

County shall submit the following information to OHA regarding Individuals receiving A&D 81 Services.. All Providers of A&D 81 Services shall comply with OHA PG Net data collection system and manual located at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/PG-Net.aspx>.

- (a) Admission Data: The admission screen within the OHA PG Net data collection system and admission survey must be collected and submitted within [14] calendar days of the first treatment contact with an Individual.
- (b) Survey Consent Form: A completed consent form to participate in admission survey, satisfaction survey and evaluation follow-up efforts must be administered and collected via the OHA PG Net data collection system. Refusal to participate in surveys must be documented in the client file.
- (c) Encounter Data Reporting Requirements: All Providers of A&D 81 Services funded through this Agreement must submit Individual-level, Service delivery activity (encounter data) within 30 calendar days following the end of each month.

Encounter data must be submitted electronically utilizing the HIPAA approved “837” format.

Prior to submitting data, each encounter claim must be documented in the clinical record and must include the date of the encounter Service, type of Service rendered, time of Service, length of Service, setting of Services, personnel rendering Services (including their name, credentials and signature), and a clinical note including a description of the session .

- (d) Discharge Data: Discharge data must be collected and submitted within [90] calendar days after the last date of Service to an Individual.

(5) Financial Assistance Calculation, Disbursement, Confirmation of Performance and Reporting Requirements, & Provider Audit Procedures

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Section 1.f.(2). In addition:

- (a) OHA will provide financial assistance for A&D 81 Services identified in a particular line of Exhibit C, “Financial Assistance Award,” as specified in the PGS Procedure Codes and Rates for Treatment Providers rate sheet, located at

<https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>, as it may be revised from time to time.

- (b) OHA will not make multiple financial assistance disbursements for a single clinical activity, except for group therapy. For example, OHA will not provide financial assistance for an individual treatment session for both an Individual and his or her spouse when the treatment was delivered in a single marital session with a single therapist.
- (c) Providers of A&D 81 Services shall not charge Individuals whose Services are paid through this Agreement any co-pay or other fees for such Services.
- (d) Provider Audits: Providers receiving funds under this Agreement, for A&D 81 Services, are subject to audits of all funds applicable to A&D 81 Services rendered. The purpose of these audits is to:
 - i. Require proper disbursements were made for covered A&D 81 Services;
 - ii. Recover over-payments;
 - iii. Discover any potential or actual instances of fraud and abuse; and
 - iv. Verify that encounter data submissions are documented in the client file, as required and described in the “Special Reporting Requirements” above.

Providers may be subject to OAR 407-120-1505 “Provider and Contractor Audits, Appeals, and Post Payment Recovery,” and OAR 410-120-1510 “Fraud and Abuse,” as such rules may be revised from time to time.

- (e) OHA’s obligation to provide assistance under this Agreement is subject to the satisfaction of the County delivering the anticipated level of A&D 81 Services, upon which the allotments were calculated. If, for a period of 3 consecutive months during the term of this Agreement, County delivers less than the anticipated level of Services, upon which allotments were calculated in a particular line of Exhibit C, “Financial Assistance Award,” OHA may amend the amount of funds awarded for A&D 81 Services in proportion to the under-utilization during that period, including but not limited to reducing the amount of future funds awarded for A&D 81 Services in an amount equal to funds reduced under that line of the Financial Assistance Award for under-utilization. An amendment shall be prepared and executed between OHA and County to reflect this reduction.

k. Service Name: **PROBLEM GAMBLING RESPITE TREATMENT**

SERVICES

Service ID Code: **A&D 83**

(1) Service Description

For purposes of this A&D 83 Service Description, an Individual with a Gambling Disorder is an Individual with persistent and recurrent problematic gambling behavior leading to clinically significant impairment or distress, as indicated by the Individual meeting the diagnostic criteria of the most current version of the Diagnostic and Statistical Manual for Mental Disorders. This diagnosis must be primary or secondary.

Problem Gambling Respite Treatment Services (A&D 83 Services) are problem gambling treatment Services designed to supplement Problem Gambling Treatment Outpatient Services (A&D 81 Services). A&D 83 Services are to be delivered to Individuals who have special needs in relation to A&D 81 Services, such as highly suicidal Individuals or Individuals with co-occurring psychiatric conditions.

(a) The specific A&D 83 Services that may be delivered with funds provided through this Agreement and directed at Individuals with problems related to a gambling disorder are as follows:

- i. Secure Residential Treatment Facility (1-14 day residential care at a psychiatric health care facility): Providers of this Service must have OHA approved, written policies and procedures for operating this Service, hold licensure and comply with OAR 309-035-0100 through 309-035-0225, "Residential Treatment Facilities and Residential Treatment Homes for Adults with Mental Health Disorders".
- ii. Respite Care Service (1-14 day residential care at an alcohol and drug treatment facility): Providers of this Service must have:
 - A. OHA approved, written policies and procedures for operating this Service, hold licensure and comply with OAR 309-018-0100 through 309-018-0215 "Residential Substance Use Disorders and Problem Gambling Treatment and Recovery Services;" and
 - B. A current license issued by the OHA in accordance with OAR 415-012-0000 through 415-012-0090 "Licensure of Substance Use Disorders and Problem Gambling Residential Treatment and Recovery Services."

Referral to A&D 83 Services is through an approved A&D 81 Problem Gambling Treatment Outpatient Service provider or Emergency Department, with specific approval of the A&D 83 Service provider.

- (b) A&D 83 Services are to be made available to any Oregon resident with a Gambling Disorder as defined above. A&D 83 Services provided to out-of-state residents are permissible if the presenting Gambling Disorder is reported as primarily related to an Oregon Lottery product or Oregon Indian Gaming Center.
- (c) Persons providing A&D 83 Services, prior to working with an individual with problematic gambling must complete the "Problem Gambling Social Service

Professionals” training series, Modules One through Three within six months of agency assignment to problem gambling client services. Information on the training series can be found at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Workforce.aspx>.

(2) Performance Requirements

County shall meet the performance requirements, which are imposed and assessed on an individual County basis, listed below. If OHA determines that a Provider of A&D 83 Services fails to meet any of the specified performance requirements, the specific performance requirements out of compliance will then be reviewed at a specifically scheduled performance standards site review or OHA may deny invoiced payments based on insufficient data or performance requirements identified through the OHA PG Net data collection system or other required reports in accordance with the “Special Reporting Requirements” section below.

The performance requirements for A&D 83 Services are as follows:

- (a) Access:** The amount of time between an Individual with a Gambling Disorder requesting A&D 83 Services and the first offered service appointment must be 2 business days or less for at least 100% of all Individuals receiving A&D 83 Services funded through this Agreement.
- (b) Successful Completion:** The percent of all Individuals receiving A&D 83 Services who successfully complete treatment must be at least [100]%. Successful completion of problem gambling treatment is defined as Individuals who:
 - i. are stabilized, to safely return to the community, and have established contact, including a scheduled appointment, with a treatment professional in their local community for continuing care; or
 - ii. have been transferred to residential gambling treatment Services.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

County shall notify OHA Problem Gambling staff within 10 business days of any changes related to designated Problem Gambling A&D 83 Services program staff. Notifications shall be sent to pgs.support@dhsosha.state.or.us.

County shall submit the following information to OHA regarding Individuals receiving A&D 83 Services. All Providers of A&D 83 Services shall comply with PG Net data collection system and manual, located at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/PG-Net.aspx>.

- (a) Intake Data:** The admission screen within PG Net must be completed and submitted within 14 calendar days of the first treatment contact with an Individual.
- (b) Encounter Data Reporting Requirements:** All Providers of A&D 83 Services funded through this Agreement must submit Individual-level, Service delivery activity (encounter data) within 30 calendar days following the end of each month.

Encounter data must be submitted electronically utilizing the HIPAA approved “837” format.

Prior to submitting data, each encounter claim, must be documented in the clinical record and must include the date of the encounter Service, type of Service delivered, time of Service, length of Service, setting of Service, personnel rendering Service (including their name, credentials and signature), and a clinical note including a description of the session.

- (c) Discharge Data: Discharge data must be collected and submitted within 90 calendar days after the last date of Service to an Individual.

(5) Financial Assistance Calculation, Disbursement and Settlement Requirements

County shall not expense greater than six (6) percent of total allocation for administrative overhead and indirect cost.

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Settlement language. In addition:

- (a) OHA will provide financial assistance for A&D 83 Services identified in a particular line of Exhibit C, “Financial Assistance Award,” as specified in the PGS Billing Codes and Rates for Treatment Providers rate sheet, located at: <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>, as it may be revised from time to time.
- (b) Providers of A&D 83 Services funded through this Agreement shall not charge Individuals, whose Services are paid through this Agreement, any co-pay or other fees for such Services;
- (c) Providers of A&D 83 Services funded through this Agreement shall not use third party insurance. A&D 83 Services are to be a single payer source.
- (d) Provider Audits: Providers receiving funds under this Agreement, for providing A&D 83 Services, are subject to audits of all funds applicable to A&D 83 Services rendered. The purpose of these audits is to:
 - i. Require proper disbursements were made for covered A&D 83 Services;
 - ii. Recover Overexpenditures;
 - iii. Discover any potential or actual instances of fraud and abuse; and
 - iv. Verify that encounter data submissions are documented in the client file, as required, and described in the “Special Reporting Requirements” section above.
- (e) Providers of A&D 83 Services funded through this Agreement may be subject to OAR 407-120-1505 “Provider and Contractor Audits, Appeals, and Post Payment Recovery,” and OAR 410-120-0380 “Fraud and Abuse,” as such rules may be revised from time to time.

- I. Service Name: **PROBLEM GAMBLING, CLIENT FINDING/REFERRAL PATHWAYS OUTREACH SERVICES**
 Service ID Code: **A&D 84**

(1) Service Description

- (a) A&D 84 Services is defined as Specific Outreach with the primary purposes of getting problem gamblers and/or family members enrolled in Problem Gambling Outpatient Treatment Services (A&D 81 Services).
- The specific A&D 84 Services that may be delivered with funds provided under this Agreement are as follows:
- i. Outreach aimed at increasing the number of Individuals receiving outpatient treatment services;
 - ii. Targets a specific vulnerable population;
 - iii. Repeated contact and the development of a relationship with another professional provider; and
 - iv. Increasing the number of Individuals that are referred to County or sub-contractor and admitted to services in problem gambling treatment programs.
- (b) A&D 84 - Services may be delivered by problem gambling treatment or prevention professionals or subcontracted to community entities through an organization specializing in problem gambling treatment and prevention.

(2) Performance Requirements

- (a) County shall designate a Problem Gambling, Client Finding/Referral Pathways Outreach specialist, who shall be responsible for:
- i. Development and implementation of Annual Problem Gambling, Client Finding/Referral Pathway Outreach Strategic Plan.
 - ii. Overseeing and coordinating A&D 84 Services provided in the County; and
 - iii. Preparing the quarterly reports as described in the “Special Reporting Requirements” section below.
- (b) County shall provide problem gambling community outreach efforts to a population in either phase 2, 3, or 4 defined within the OHA PGS GBIRT and Referral Pathways Implementation Toolkit. Toolkit can be found at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>. This should include training of professionals on engagement, education, screening, identification and referrals to A&D 81 Services using a Gambling Screening, Brief Intervention, and Referral to Treatment (GBIRT) type model.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

- (a) County shall prepare and electronically submit, to pgs.support@dhsosha.state.or.us and hsd.contracts@odhsosha.oregon.gov, written quarterly reports on the delivery of A&D 84 Services no later than 45 calendar days following the end of each subject

quarter with respect to Services provided in the prior quarter. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <https://www.oregon.gov/oha/HSD/Problem-Gambling/Pages/Treatment.aspx>.

- (b) Each report shall provide the following information:
 - i. Description of results in achieving the goals and outcomes set forth in the Annual Problem Gambling, Client Finding/Referral Pathways Outreach Strategic Plan.
 - ii. Description of the activities, appraisal of activities, and expenses during the preceding quarter in providing A&D 84 Services.
- (c) County shall notify OHA Problem Gambling staff within 10 business days of any changes related to designated Problem Gambling A&D 84 Services program staff. Notification shall be sent to pgs.support@dhsosha.state.or.us

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

In addition:

- (a) County shall not expense greater than six (6) percent of total allocation for administrative overhead and indirect cost.
- (b) Providers of A&D 84 Services shall not charge Individuals whose Services are paid through this Agreement any co-pay or other fees for such Services.

m. Service Name: **SYSTEM MANAGEMENT AND COORDINATION**

Service ID Code: **MHS 01**

(1) Service Description

The purpose of a Community Mental Health Program (CMHP) is to provide a system of appropriate, accessible, coordinated, effective, efficient safety net services for Individuals with a mental or emotional disturbance, with drug or alcohol dependence or abuse issue, or gambling addiction problems and are within the specific geographic area served by the County through this Agreement.

System Management and Coordination (MHS 01 Services) is the central management of a Mental Health Services system for which financial assistance is included in Exhibit C, "Financial Assistance Award," of this Agreement.

Eligibility for Services

County shall provide MHS 01 Services to Individuals:

- (a) With a mental or emotional disturbance, drug or alcohol dependence or abuse issue, or gambling addiction problems; and
- (b) Are eligible to receive Services within the specific geographic area served by the County through this Agreement.

(2) Performance Requirements

County shall:

- (a) Establish and maintain a structure for meaningful system design and oversight that includes involvement by Individuals and families across all ages that have or are receiving Mental Health Services. System design and oversight must include:
 - i. Planning for the delivery of Services;
 - ii. Implementation of the delivery of Services;
 - iii. Monitoring;
 - iv. Documentation of Service delivery in compliance with state and federal requirements, including but not limited to the requirements in ORS 430.634(2);
 - v. Contract and subcontract negotiation and monitoring;
 - vi. Coordination with state hospital Services;
 - vii. Evaluation of Services and supports; and
 - viii. Involvement in activities that focus on:
 - A. Resource allocation;
 - B. Outcomes;
 - C. Quality improvement; and
 - D. Advisory councils.

- (b) Assist all eligible and interested Individuals with applying for public assistance, medical assistance, and any other state or federal benefits that the individual may be eligible for now or upon discharge from institutionalization;
- (c) Provide payment for Services, described in this Agreement, provided to Individuals, who are uninsured, underinsured, not eligible for Medicaid, or have exhausted Medicaid services, including those who meet the criteria for Citizen Alien Waived Medical Program;
- (d) Coordinate with any third-party payors, including but not limited to Coordinated Care Organizations, for the payment of Services described in this Agreement that are covered for the Individual under private insurance or through public or medical assistance programs;
- (e) Develop a plan that identifies the number, type and location of Providers that are necessary to provide the Services identified in this Agreement;
- (f) Contract with Providers to meet the Service needs of Individuals under this Agreement.

(3) **Reporting Requirements**

None.

(4) **Special Reporting Requirements**

Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>. County shall submit electronically, to hsd.contracts@odhsoha.oregon.gov, an annual accounting report of financial assistance within 45 calendar days from the end of the contract year.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

n. Service Name: **AID AND ASSIST CLIENT SERVICES**

Service ID Code: **MHS 04**

(6) Service Description

A criminal defendant may be found incapacitated (unable to aid and assist in their own defense) if, as a result of a qualifying mental disorder, the Individual is unable to understand the nature of the proceeding against them, to assist or cooperate with their counsel, or to participate in their own defense. MHS 04 Services are provided to assist Individuals in gaining or regaining their capacity in the most integrated, least restrictive setting possible in the community.

Services include but are not limited to discharge planning, treatment designed to restore capacity, placement in appropriate community-based care, monitoring and coordination of Services, and periodic assessment of the Individual's capacity.

The goal of these Services is to divert individuals from receiving restoration treatment at Oregon State Hospital (OSH) into community restoration services to the greatest extent possible.

Providers of MHS 04 Services funded through this Agreement may reasonably use funds to improve outcomes and services for individuals found unfit to proceed, or those at risk of being found unfit to proceed, by improving systems and collaboration affecting this population.

Eligibility for Services

County shall provide MHS 04 Services to Individuals who the court:

- (a) Has reason to doubt are fit to proceed by reason of incapacity (as defined in ORS 161.360) under ORS 161.365;
- (b) Has determined lack the fitness to proceed under ORS 161.370 but has not yet determined what action to take under ORS 161.370(2)(c);
- (c) Are found to lack fitness to proceed under ORS 161.370 and are committed to the custody of the superintendent of OSH; and
- (d) Has determined lack the fitness to proceed under ORS 161.370 and are ordered to engage in community restoration services.

(7) Performance Requirements

When providing Services under this Service Element, County shall:

- (a) Comply with all applicable statutes and rules, including but not limited to ORS chapters 161 and 430, and OAR chapter 309, divisions 14, 88 and 90, which may be revised from time to time;
- (b) Ensure the County, to the extent it provides direct Services, or its Providers:
 - i. Comply with all applicable statutes and administrative rules, as may be revised from time to time; and
 - ii. Comply with and maintain any certifications or licenses that are necessary to provide the Services;

- (c) Assist all eligible and interested Individuals with applying for public assistance, medical assistance, and any other state or federal benefits that the individual may be eligible for now or upon discharge from institutionalization or incarceration;
- (d) Provide any clinical records and contact information to OHA and its designees for oversight and coordination purposes, upon request; and
- (e) In providing recommendations, treatment service planning, and discharge planning, ensure that Individuals:
 - i. Are recommended for Services in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs; and
 - ii. Are diverted from placement at a state hospital, community hospital, and secure residential treatment facility, whenever possible.
- (f) **Pre-Community Placement Service Requirements**
 - i. For Individuals described in Subsection (1)(a) of MHS 04, the County shall:
 - A. Attempt to consult with the Individual and with any local entity that would be responsible for providing community restoration services;
 - B. Determine whether appropriate community restoration services are present and available in the community; and
 - C. Write a report of its findings and submit a copy of that report to the court pursuant to ORS 161.365(1)(a).
 - ii. For Individuals described in Subsection (1)(b) of MHS 04, the County shall submit a recommendation to the court regarding whether appropriate community restoration services are present and available in the community, in accordance with ORS 161.370(2)(b).
 - iii. For Individuals described in Subsection (1)(c) of MHS 04, the County shall:
 - A. During any period of commitment, and at regular intervals, review available community restoration services and maintain communication with the Individual and OSH in order to facilitate an efficient transition to treatment in the community when ordered by the court;
 - B. After OSH issues notice that an Individual is Ready to Place (RTP) under ORS 161.371(3)(a) or (4)(a), and the court orders a community consultation:
 - I. Attempt to consult with the Individual and with any local entity that would be responsible for providing community restoration services, if the Individual were to be released in the community, to determine whether community restoration services are present and available in the community;
 - II. Develop a treatment service plan for the Individual in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs, preferences, choices, and strengths;

- III.** Identify an appropriate Provider that is able to meet the Individual's behavioral health needs and willing to provide that care, treatment, and Services to the Individual;
- IV.** In identifying a Provider and planning for a community restoration placement, primarily be guided by the level of Services, supervision or type of placement identified by OSH in its RTP notice and advise whether those resources are present and available in the community;
- V.** Ensure MHS 04 Services are provided in the least restrictive and most integrated setting appropriate to meet the Individual's behavioral health needs;
- VI.** Divert the Individual from placement at OSH or at a secure residential treatment facility (SRTF), whenever possible;
- VII.** Obtain any necessary approvals from the Provider to allow admission, if it is a residential placement;
- VIII.** Continue to send referrals to Providers until the Individual is accepted and can be immediately placed, if and when the court orders community restoration for the Individual;
- IX.** Provide the court with recommendations from the community consultation within five judicial days from the court order;
- X.** If the court does not discharge the Individual from OSH due to a lack of an available and appropriate Provider, continue to send referrals and develop a placement and treatment service plan for the Individual until the Individual is discharged from OSH; and
- XI.** If OSH does not issue an RTP notice but the County or designee determines that community restoration services that would mitigate any risk posed by the Individual are present and available in the community, file a notice of that determination with the court under ORS 161.371(3)(b)-(4)(b).

(g) Community Restoration Service Requirements

For Individuals described in Subsection (1)(d) of MHS 04, the County shall:

- i.** Coordinate the Individual's behavioral health and medical treatment in the community; Attempt to conduct an individualized assessment of the Individual and develop a treatment service plan in coordination with the Individual's Provider and consistent with any court-ordered conditions; If the Individual does not participate in the initial assessment, continued efforts should be made to engage with the Individual to complete the assessment and develop a treatment service plan;
- ii.** Monitor the care, custody, and treatment of the Individual while on community restoration;

- iii. Monitor the Individual's progress in their treatment service plan, and identify when the Individual may receive Services in a lower level of care and report that to the court;
- iv. Ensure treatment service planning continues throughout the Individual's receipt of MHS 04 Services with the goal of the Individual receiving Services in the lowest level of care that will maintain their mental and physical health long term;
- v. Provide crisis stabilization services, such as accessing psychiatric, medical, or qualified professional intervention to protect the health and safety of the Individual and others;
- vi. Provide care coordination to facilitate ongoing communication and collaboration to meet the Individual's needs, such as:
 - A. Facilitating communication between natural supports, community resources, Providers, agencies (if eligible for Aging and People with Disabilities [APD] or Intellectual and Developmental Disabilities [I/DD] services) and Coordinated Care Organizations (CCOs) (if an enrolled member);
 - B. Organizing, facilitating, and participating in client staffing meetings;
 - C. Providing for continuity of care by creating linkages to and managing transitions between levels of care;
 - D. Coordinating or providing transportation to and from the forensic evaluations and court appearances in this case; and
 - E. Communication of court ordered requirements, limitations, and court dates to the defendant as clinically indicated.
- vii. Provide coordination and consultation to the jurisdictional court or other designated agencies within the criminal justice system and OSH while the Individual is residing in the community and in the process of being returned to fitness. Services include, but are not limited to:
 - A. Coordination of the periodic assessments of the Individual's fitness to proceed;
 - B. Collaboration and coordination with community corrections;
 - C. Consultation to the County Mental Health Court, if Mental Health Court is available in the service area;
 - D. Participation in Mental Health and Law Enforcement collaboration meetings; and
 - E. Communication of court ordered requirements, limitations, and court dates.
- viii. Provide monthly status reports to the appropriate court on the Individual's:
 - A. Compliance or non-compliance with their conditional release requirements; and
 - B. Progress in gaining or regaining fitness to proceed;

- C. Notify the court if the Individual gains or regains fitness to proceed, and develop a transitional treatment service plan for that Individual;
- ix. Provide interim quarterly reports for the purpose of communicating current status of Individuals to Oregon Health Authority/Health Systems Division (OHA/HSD) and the court of jurisdiction.
- x. Provide community restoration services, which are necessary to safely allow the Individual to gain or regain fitness to proceed in the community, including but not limited to:
 - A. Provide behavioral health treatment, which means treatment for mental health, substance use disorder, and problem gambling, such as:
 - I. Crisis services;
 - II. Individual or group therapy; and
 - III. Alcohol and drug addiction treatment;
 - B. Case management, which means the services provided to assist the Individual in gaining access to needed medical, social, educational, entitlement, tribal resources, and other applicable services;
 - C. Necessary incidental support, which means the provision of items that are not directly related to behavioral health treatment (e.g., purchase of food, clothing, medication, or transportation);
 - D. Legal skills training, which means training on courtroom procedures, roles, language, and potential outcomes of the court process;
 - E. Linkages to benefits, which means assisting the Individual with obtaining any public or medical assistance benefits for which they are eligible including but not limited to Medicaid, Social Security, Aging and People with Disabilities Services, Supplemental Nutrition Assistance Program, and housing;
 - F. Medical treatment related to capacity, which means the management and care of the Individual related to any psychiatric or medical conditions that impair their capacity;
 - G. Medication management, which includes the prescribing, administering, and reviewing of medications and their side effects, including both the pharmacological management as well as supports and training to the Individual;
 - H. Peer-delivered services, which are community-based services and supports provided by peers, peer support specialists, and family support specialists to individuals with similar lived experience; and
 - I. Vocational services, which are employment support services that are intended to lead to competitive integrated employment.

(3) Reporting Requirements

See Exhibit E, Section 10. “Reporting Requirements for MOTS”

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly reports on the delivery of MHS 04 Services, no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Each quarterly report shall provide the following information per month for each subject quarter:

- (a) For Individuals who have a community consultation completed, provide the following information:
 - i. Individuals' name;
 - ii. Gender;
 - iii. Date of birth
 - iv. Medicaid identification number (if applicable);
 - v. Race;
 - vi. Ethnicity;
 - vii. Living Situation;
 - viii. Consultation referral date;
 - ix. Consultation face-to-face date;
 - x. Date the findings report was provided to the court;
 - xi. Recommendation from the findings report provided to the court; and
 - xii. Court's determination on Individual's placement.
- (b) For Individuals who are engaged in community-based restoration services, provide the following information:
 - i. Individual's name;
 - ii. Gender;
 - iii. Date of birth
 - iv. Medicaid identification number (if applicable);
 - v. Race;
 - vi. Ethnicity;
 - vii. Living situation;
 - viii. Beginning date of restoration services; and
 - ix. Description of services provided.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirement Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2). In addition:

County can invoice for up to 20% over NTE for non-Medicaid covered residential expenses subject to approval of OHA and additional funding. Additional financial reporting may be required. County understands and agrees that funding under Part A or Part C may be reduced by Contract amendment to the extent County's billings under MMIS for Part B payments exceed the allocated total aggregated budget as set for in Exhibit C, "Financial Assistance Award." The Part C awards do not apply to PSRB Individuals, as these Services are covered in the Service Description for MHS 30.

o. Service Name: **ASSERTIVE COMMUNITY TREATMENT SERVICES (ACT)**

Service ID Code: **MHS 05**

(8) Service Description

(a) Definitions:

- i. **Assertive Community Treatment (ACT)** means an evidence-based practice designed to provide comprehensive treatment and support Services to Individuals with Serious and Persistent Mental Illness. ACT is intended to serve Individuals who have severe functional impairments and who have not responded to traditional psychiatric outpatient treatment. ACT Services are provided by a single multi-disciplinary team, which typically includes a psychiatrist, a nurse, and at least 2 case managers, and are designed to meet the Individual's needs and to help keep the Individual in the community and out of a structured service setting, such as residential or hospital care. ACT is characterized by:
 - A. Low client to staff ratios;
 - B. Providing Services in the community rather than in the office;
 - C. Shared caseloads among team members;
 - D. 24-hour staff availability;
 - E. Direct provision of all Services by the team (rather than referring Individuals to other agencies); and
 - F. Time-unlimited Services.
- ii. **ACT-Eligible Individual** means an Individual who meets ACT Admission Criteria established in OAR 309-019-0245.
- iii. **Competitive Integrated Employment** means full-time or part time work, at minimum wage or higher, at a rate that is not less than the customary rate paid by the employer for the same or similar work performed by other employees who are not Individuals with disabilities, and who are similarly situated in similar occupations by the same employer and who have similar training, experience, and skill; with eligibility for the level of benefits provided to other employees; at a location where the employee interacts with other persons who are not Individuals with disabilities (not including supervisory personnel or individuals who are providing services to such employee) to the same extent that individuals who are not Individuals with disabilities and who are in comparable positions interact with other persons; and as appropriate, presents opportunities for advancement that are similar to those for other employees who are not individuals with disabilities and who have similar positions.
- iv. **Division Approved Reviewer** means the Oregon Center of Excellence for Assertive Community Treatment (OCEACT). OCEACT is OHA's contracted entity responsible for conducting ACT fidelity reviews, training,

and technical assistance to support new and existing ACT Programs statewide.

- v. **Serious and Persistent Mental Illness (SPMI)** means the current Diagnostic and Statistical Manual, Fifth Edition (DSM V) of the American Psychiatric Association, incorporated by reference herein, diagnostic criteria for at least one of the following conditions, as a primary diagnosis for an Individual 18 years of age or older:
 - A. Schizophrenia and other psychotic disorders;
 - B. Major depressive disorder;
 - C. Bipolar disorder;
 - D. Anxiety disorders limited to Obsessive Compulsive Disorder (OCD) and Post Traumatic Stress Disorder (PTSD);
 - E. Schizotypal personality disorder; or
 - F. Borderline personality disorder.

(b) Services:

- i. ACT is an evidence-based practice for Individuals with SPMI. ACT is characterized by:
 - A. A team approach;
 - B. Community based;
 - C. A small client-to-staff caseload, typically 10:1, to consistently provide necessary staffing diversity and coverage;
 - D. Time-unlimited Services;
 - E. Flexible Service delivery;
 - F. A fixed point of responsibility; and
 - G. 24/7 crisis availability.
- ii. MHS 05 Services include, but are not limited to:
 - A. Hospital discharge planning;
 - B. Case management;
 - C. Symptom management;
 - D. Psychiatry services;
 - E. Nursing services;
 - F. Co-occurring substance use and mental health disorders treatment services;
 - G. Supported Employment (reference OAR 309-019-0275 through 309-019-0295);
 - H. Life skills training; and

- I. Peer support services.
- iii. The ACT Program is intended to serve Individuals (18 year old or older) with SPMI and who meet ACT Program admission criteria as described in OAR 309-019-0245.
- iv. A Provider delivering MHS 05 Services with funds provided through this Agreement may not use MHS 05 Services funding to deliver covered Services to any Individual known to be enrolled in the Oregon Health Plan.
- v. An ACT Program includes the following staff members:
 - A. Psychiatrist or Psychiatric Nurse Practitioner;
 - B. Psychiatric Nurse(s);
 - C. Qualified Mental Health Professional (QMHP) ACT Team Supervisor;
 - D. Qualified Mental Health Professional(s) (QMHP) Mental Health Clinician;
 - E. Substance Abuse Treatment Specialist;
 - F. Employment Specialist;
 - G. Housing Specialist;
 - H. Mental Health Case Manager; and
 - I. Certified Peer Support Specialist.

(9) Performance Requirements

County shall provide MHS 05 Services in a manner that meets minimum fidelity requirements and adheres to all standards in OAR 309-019-0225 through 309-019-0255.

If County lacks qualified Providers to deliver MHS 05 Services and supports, County shall implement a plan, in consultation with their respective CCO and OHA, to develop a qualified Provider network for Individuals to access MHS 05 Services.

The County shall work with their respective CCO to increase the number of eligible Individuals, with SPMI, served by ACT Team(s). If 10 or more Individuals in a County's region have been referred, are eligible and appropriate for MHS 05 Services, and are on a waiting list for more than 30 calendar days to receive MHS 05 Services, the County shall work with their appropriate CCO to take action to reduce the waitlist and serve those Individuals by:

- (a) Increasing team capacity to a size that is still consistent with fidelity standards; or
- (b) Adding additional ACT Team(s).

(10) Reporting Requirements

See Exhibit E, 10., "Reporting Requirements for MOTS."

(11) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly summary reports on the delivery of MHS 05 Services no later than 45

calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Each report shall provide the following information:

- (a) Individuals served;
- (b) Individuals who are homeless at any point during a quarter;
- (c) Individuals with safe stable housing for 6 months;
- (d) Individuals using emergency departments during each quarter for a mental health reason;
- (e) Individuals hospitalized in OSH or in an acute psychiatric facility during each quarter;
- (f) Individuals hospitalized in an acute care psychiatric facility during each quarter;
- (g) Individuals in jail at any point during each quarter;
- (h) Individuals receiving Supported Employment Services during each quarter;
- (i) Individuals who are employed in Competitive Integrated Employment; and
- (j) Individuals receiving MHS 05 Services who are not enrolled in Medicaid Referrals and Outcomes, including the following:
 - i. Number of referrals received during each quarter;
 - ii. Number of Individuals accepted during each quarter;
 - iii. Number of Individuals admitted during each quarter; and
 - iv. Number of Individuals denied during each quarter and the reason for each denial.

(12) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

p. Service Name: **JAIL DIVERSION SERVICES**

Service ID Code: **MHS 09**

(1) Service Description

MHS 09 Jail Diversion Services increase Mental Health's interaction with Individuals with mental illness who are involved with the justice system or law enforcement solely due to a mental health reason and are charged with crimes, resulting in the reduction or avoidance of arrests, jail admissions, lengths of stay in jail, and recidivism through the availability of alternative community-based services, programs, or treatments.

For purposes of this Service Description, the following definitions apply:

- (a) **Jail Diversion Services** means community-based Services that are designed to keep Individuals with behavioral health needs out of the criminal justice system and, instead, supported by other community-based services, such as mental health services, substance use services, employment services, and housing. Jail Diversion Services are intended to minimize contact with law enforcement, avoid jail time, and/or reduce jail time. These Services are intended to result in the reduction of the number of Individuals with mental illness in the criminal justice system or the Oregon State Hospital.
- (b) **Mental Illness** means the current Diagnostic and Statistical Manual, Fifth Edition (DSM V) of the American Psychiatric Association, incorporated by reference herein, diagnostic criteria for a primary diagnosis for an adult 18 years of age or older.

(2) Performance Requirements

All Providers shall adopt the “**Sequential Intercept Model**” (SIM), and incorporated by reference herein, through the GAINS Center to more effectively deal with mentally ill Individuals who come into contact with law enforcement personnel. All Providers shall use the SIM to identify and intervene upon “points of interception” or opportunities for interventions to prevent Individuals with mental illness from entering or penetrating deeper into the criminal justice system.

County shall provide the following, subject to the not-to-exceed amount of this Agreement, pre-booking and post-booking MHS 09 Services:

- (a) Create partnerships or diversion agreements between law enforcement agencies, jails, both circuit and municipal courts, and local mental health providers;
- (b) Create opportunities for Individuals to access housing in addition to vocational and educational services;
- (c) Provide support services to prevent or curtail relapses and other crises;
- (d) Assist Individuals to negotiate and minimize continuing criminal sanctions as they make progress in recovery and meet criminal justice obligations; and
- (e) Promote peer support and the social inclusion of Individuals with or in recovery from mental and substance use disorders in the community.

(3) Reporting Requirements

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(4) Special Reporting Requirements

County shall prepare and electronically submit through secure e-mail as described in the Security and Privacy Agreement, to hsd.contracts@odhsoha.oregon.gov, written quarterly reports on the delivery of MHS 09 Services no later than 45 calendar days from the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Each quarterly report shall include, but is not limited to, the following:

- (a)** For Individuals receiving MHS 09 Services, report the following:
 - i.** Individuals name;
 - ii.** Gender;
 - iii.** Date of birth;
 - iv.** Medicaid identification number (if applicable);
 - v.** Race;
 - vi.** Ethnicity;
 - vii.** Whether the Individual has a diagnosed mental health disorder;
 - viii.** Identify whether the Individual received pre or post booking Services;
 - ix.** Number of times Individual was arrested during the reporting period;
 - x.** Charges Individual was arrested for during the reporting period; and
 - xi.** Description of Service provided.
- (b)** Report the number of incidences where charges were dismissed or dropped as a result of MHS 09 Services.
- (c)** Report the number of crisis consultations provided by mental health staff in pre-booking diversions.
- (d)** Provide a detailed description of any MHS 09 Service created prior to the current reporting period.
- (e)** Provide information regarding any activities related to MHS 09 Services that involved law enforcement agencies, jails, circuit and municipal courts, community corrections, and local mental health providers.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirement Procedures

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Section 1.f.(2).

q. Service Name: **MENTAL HEALTH PROMOTION AND PREVENTION SERVICES**

Service ID Code: **MHS 10**

(1) Service Description

MHS 10 Mental Health Promotion and Prevention Services are designed to optimize an Individual's positive mental health by:

- (a) Strengthening the determinants of mental health and wellness; and
- (b) Minimizing mental health problems by addressing these determinants before a specific mental health problem has been identified.

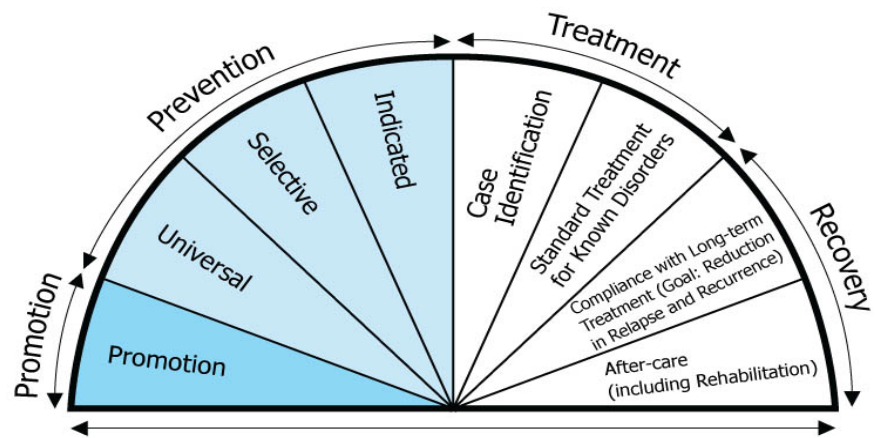
Strengthening determinants of mental wellness through activities and strategies that support and enhance the development of healthy communities, individual skill development, and social-emotional competence can build resilience and increase one's ability to cope with adversity.

MHS 10 Services are interventions that increase protective factors, enhance an Individual's abilities to achieve developmentally appropriate tasks (competence) across their lifespan, help build a positive sense of self-esteem, mastery, well-being, social inclusion, and strengthen their ability to cope with adversity.

Services shall prioritize communities that have been historically marginalized and impacted by racism, discrimination, and health inequities. Services shall be trauma informed, culturally and linguistically responsive, and work to reduce the impacts of adverse childhood and traumatic experiences. Services can include a wide variety of activities delivered throughout communities, schools, businesses, and online platforms in order to strengthen the determinants of mental health and wellness. Activities may be provided through a combination of universal, selective and indicated interventions and supports.

(2) Performance Requirements

- (a) Strengthen the existing Mental Health Promotion and Prevention Services infrastructure and/or build and develop new infrastructure.
- (b) Utilize the Institute of Medicine's Continuum of Care Model as a framework to create and implement an evidence-based continuum of activities, strategies, and supports. Strategies and activities under this funding should span the following sections of the model below: 1) mental health promotion and 2) the three prevention classifications, including universal, selective, and indicated. These are further specified below.



- i. Universal intervention: Strategies and/or activities that engage the general public or a segment of the entire population to: learn and enhance positive coping methods to help people feel safe and hopeful and improve quality of life, reduce mental health stigma, prevent or delay the onset of mental health symptoms, and increase awareness of mental health wellness, services, and supports.
 - ii. Selective intervention: Strategies and/or activities that serve specific sub populations whose risk of a disorder is significantly higher than the average, either imminently or over a lifetime;
 - iii. Indicated intervention: Strategies and/or activities that address identified individuals who have minimal but detectable signs or symptom of a disorder or condition;
- (c) Develop and implement strategies and/or activities that prioritize the following determinants of mental wellness.
- i. Development and maintenance of healthy communities: Strategies and/or activities may include but are not limited to, community safety promotion, violence reduction, bullying prevention, community connectivity, and resource dissemination activities;
 - ii. Skill development: Strategies and/or activities may include but are not limited to, skill-building programs in schools, community centers, and other community-based settings that emphasize social connection, problem solving and development of self-regulation; and
 - iii. Social emotional competence: Strategies and/or activities may include but are not limited to developing or sustaining community infrastructure, parenting education, stress reduction classes, communication skills classes, grief and other post distress supports, divorce and other losses, and community-based activities promoting inclusion of groups and individuals that have been economically, socially, and historically marginalized.
- (d) Promote activities that demonstrate a working relationship with a Coordinated Care Organization (CCO), and community-based organizations, such as:
- i. Engage groups that have been historically marginalized through trauma informed and cultural responsiveness, cultural humility, and linguistic attunement to learn if and how mental health promotion and prevention services can be the most supportive;

- ii. Increase efficiency, broaden coordination of initiatives within and seek areas of collaboration across community and health care settings to improve the development of sustainable systems to address mental health promotion and prevention activities; and
- iii. Propose and implement joint strategies to sustain project work beyond the funding period, including the ability to engage other community organizations or interested parties who will benefit from a healthier overall population, such as other public or commercial insurance carriers.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

- (a) County shall submit to OHA an approved annual plan that describes services/activities and a detailed budget that supports mental health promotion and prevention efforts in the community. Plan should include activities which are being funded through this funding stream, if braided funding is occurring, please explain in plan. County shall prepare and submit to OHA for approval within 30 calendar days of the effective date of this Agreement, a written Workplan outlining how services or activities will be provided using funds awarded through this Agreement.
- (b) County shall prepare and electronically submit a written, semi-annual (two times per year) detailed budget expenditure and service report on the delivery of Mental Health Promotion and Prevention Services to be submitted no later than 45 calendar days from the end of the reporting period. Reports and Workplans should be sent to: hsd.contracts@odhsoha.orgon.gov. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
 - i. Each report shall contain the following information:
 - A. An explanation of activities conducted during the reporting period. An update on the status of the initial Workplan will be accepted;
 - B. A description of how activities impact the determinants of mental wellness, including development and maintenance of healthy communities, skill development, and social emotional competence; and,
 - C. A description of the impact of MHS 10 funding as it serves communities that have been disproportionately impacted by racism, discrimination, and health inequities.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirement Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."
Use Payment and Confirmation Requirements language, Section 1.f.(2).

r. Service Name: **RENTAL ASSISTANCE PROGRAM SERVICES**

Service ID Code: **MHS 12**

(1) Service Description

MHS 12 Rental Assistance Program Services are intended to assist Individuals 18 years of age and older with Serious and Persistent Mental Illness (SPMI), as defined in OAR 309-036-0105 (13), and who meet one of the criteria listed below, in paying for rental housing to live as independently as possible in the community and to access the appropriate support services on a voluntary basis.

- (a) SPMI means the current Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-V) of the American Psychiatric Association, incorporated by reference herein, diagnostic criteria for at least one of the following conditions, as a primary diagnosis for an adult 18 years of age or older:
 - i. Schizophrenia and other psychotic disorders;
 - ii. Major Depressive Disorder;
 - iii. Bipolar Disorder;
 - iv. Anxiety disorders limited to Obsessive-Compulsive Disorder (OCD) and Post-Traumatic Stress Disorder (PTSD);
 - v. Schizotypal Personality Disorder; or
 - vi. Borderline Personality Disorder
- (b) Criteria in paying for rental housing requires at least one of the following conditions:
 - i. Transitioning from the Oregon State Hospital;
 - ii. Transitioning from a licensed residential setting;
 - iii. Without supported housing, are at risk of reentering a licensed residential or hospital setting. For purposes of this special project, supported housing is a combination of financial assistance and supportive services that allows an Individual to live as independently as possible in their own home;
 - iv. Homeless as defined in 42 U.S.C. § 11302; or
 - v. At risk of being homeless.

(2) Performance Requirements

- (a) MHS 12 Services includes financial assistance for a residential specialist position and a peer support specialist position. For purposes of this special project, the residential and peer support specialist positions shall be responsible for coordinating the program components such as application process, finding a rental unit, and payments to the landlord; and the support service components including, but not limited to: financial budgeting, applying for mainstream housing resources (like Section 8), community navigation, and maintaining healthy relationships, which supports Individuals in their ability to live as independently as possible in the community. These allotments shall not be used to pay any other staff position, and

these two MHS 12 funded positions will only perform work for this MHS 12 program.

- (b) MHS 12 Services financial assistance per Individual will be set by OHA and will not exceed the HUD Fair Market Rent (FMR). Financial assistance for rental assistance made on behalf of Individuals covers payment to landlords, property management companies, housing providers, property owners, or specific vendors for a portion of the monthly rent, or payment to specific vendors for resident utility expenses.
- (c) Move-in expense and barrier removal financial assistance will be based on the Individual's need and determined by the Program based on their program design as described in their application. Financial assistance for move-in and barrier removal costs may include cleaning and security deposits, pet deposits, outstanding utility bills, and other related costs as determined in the County's program design.
- (d) Rental housing units subject to this special project shall have an inspection, and pass the inspection prior to move-in, which shall be conducted by County or its contractor, based upon the criteria outlined in the OHA approved Housing Condition Checklist located at <http://www.oregon.gov/oha/HSD/AMH/Pages/Reporting-Requirements.aspx>.
- (e) County shall coordinate with Coordinated Care Organizations (CCO) and Community Mental Health Programs (CMHP) to develop a plan to bill for Medicaid eligible services.
- (f) Administrative costs shall not exceed 15% of total operating budget. Eligible administrative costs include:
 - i. Financial assistance for MHS 12 Services data collection and documentation of Service delivery in compliance with state and federal requirements; and
 - ii. Financial assistance for housing inspection services, accounting services, computer upgrades, supervision of program staff, expenses associated with program staff, office space, and other appropriate office expenses.
- (g) Utilization requirements for MHS 12 Services Providers will be identified in a special condition in a particular line of Exhibit C, "Financial Assistance Award."
- (h) County Compliance: No more than 25% of units in a building or complex of buildings is encouraged for Individuals with SPMI referred by the state, its contractors, or its subcontractors. County or subcontractor shall make good faith, reasonable best efforts to facilitate the use of those units by persons with SPMI. The remaining housing is available to all tenants, in conformance with Fair Housing and other related laws.
- (i) Compliance with criteria in the County's application, award letter, and this Agreement is equally binding.
- (j) County may only contract with subcontractors, subject to prior review and approval by OHA.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

- (a) County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly reports on the delivery of MHS 12 Services no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
- (b) For financial use, each report shall provide the following information for the subject quarter totals:
 - i. Amount expended for move-in and barrier removal services;
 - ii. Amount expended for housing rental;
 - iii. Amount expended for staff positions and administration; and
 - iv. The number of housing slots rent was paid for MHS 12 Individuals.

(5) Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Settlement language, Section 1. In addition:

- (a) Amounts due for Services based on the cash assistance paid on behalf of the program providers for rental assistance, barrier removal, move-in expenses, program staff funds expended, and administration of this special project as properly reported in accordance with the "Special Reporting Requirements" section above and subject to the utilization requirements in a special condition on that line of the Financial Assistance Award, is subject to the terms and limitations in this MHS 12 Service Description.
- (b) For Services to non-Medicaid-eligible Individuals, County shall submit a combined quarterly invoice, itemized as follows:
 - i. Number of housing slots filled per month.
 - ii. For quarters 1 and 2, County shall request the total amount for all MHS 12 slots as specified in that line of the Financial Assistance Award;
 - iii. For quarter 3 through 8, County shall request the total MHS 12 amount paid based on the Fair Market Rate (FMR) specified in that line of the Financial Assistance Award, times the total number of units of rent paid on behalf of MHS 12 Individuals during the subject quarter.
- (c) The Part C financial assistance will be disbursed as follows:
 Unless a different disbursement method is specified in that line of Exhibit C, "Financial Assistance Award," OHA will disburse the Part C funds for MHS 12 Services provided under a particular line of the Financial Assistance Award containing a "C" in column "Part ABC" to County per receipt and approval of a quarterly written invoice with required attachments, as specified in subsection (b), in the allotment during the period specified in that line of the Financial Assistance Award. Invoice and required attachments are due no later than 45 calendar days

following the end of the subject quarter and must be submitted to hsd.contracts@odhsoa.oregon.gov with the subject line “Invoice, contract #(your contract number), contractor name.” Financial assistance provided by OHA are subject to the limitations described in this MHS 12 Service Description.

s. Service Name: **SCHOOL-BASED MENTAL HEALTH SERVICES**

Service ID Code: **MHS 13**

(1) Service Description

MHS 13 School-Based Mental Health (SBMH) Services are designed to increase students' and families' access to mental health treatment, service coordination and support, part of the essential components of a comprehensive school mental health system. Access to school-based mental health services is linked to students' improved physical and psychological safety, improved attendance, resilience, self-esteem, and reduces disciplinary incidents, juvenile justice involvement, and substance abuse. The provision of SBMH services at the school helps reduce barriers to mental health treatment access, provides an opportunity to remain in school, retain satisfactory academic progress, and have quality of life. SBMH services will improve equitable access to mental health services and supports.

Services shall prioritize Individuals and families that have been historically marginalized and impacted by racism, discrimination, and health inequities. Services shall be trauma informed, culturally and linguistically responsive and work to reduce the impacts of adverse childhood and traumatic experiences.

County shall provide MHS 13 SBMH Services to Kindergarten (K)-12 schools the County identifies as needing additional supports based on social determinants of health or other school needs. County may provide MHS 13 Services to schools that are affiliated with a School-Based Health Center (SBHC), if that SBHC is not providing mental health services to youth under the age of 17. County shall confirm that an appropriately qualified school-based mental health service provider (QMHP) is available at identified schools.

(2) Performance Requirements

(a) Qualified Mental Health Professional (QMHP):

- i. A School-Based Mental Health Program QMHP is qualified ~~ed~~ under state law to provide mental health services and treatment to children and adolescents, which includes an assessment at the onset of services. Counties shall provide appropriate levels of clinical supervision as set forth in OAR 309-019-0130 for SBMH service Providers. The following outlines the scope of the SBMH service Provider:
- ii. Provide school-based clinical services for rapid and easily accessible mental health treatment, and facilitate services needed for outpatient mental health and substance use treatment. Urgent or otherwise crisis driven services shall be prioritized.
- iii. Provider shall meet with the Individual and/or family, as clinically indicated, to complete an assessment and facilitate access to appropriate mental health services, medical services, and other needed resources in the community. Families are invited and included in mental health treatment to promote treatment integrity and success at home and in school. It is expected that providers will engage families in clinical services whenever possible. Therapists

shall document lack of family participation when it has been clinically indicated.

- iv. MHS 13 Service providers shall be equipped with the technology and equipment necessary to conduct therapy sessions, including individual, family and group therapy, through a telehealth platform that complies with HIPAA, consistent with OAR 410-172-0850.
- (b) **Qualified Mental Health Associate (QMHA):** A School-Based Mental Health Program QMHA is qualified to render services and supports within their scope and shall demonstrate the minimum competencies and qualifications as outlined in OAR 309-019-0125.
- i. QMHAs may work under the direction and supervision of a QMHP, may not work independently, and may provide services as outlined in OAR 309-019-0125, such as skills training, skills groups, and risk screening. Services must be outlined on the Service Plan as outlined by the QMHP.
 - ii. QMHA positions shall not replace QMHP Providers but rather work with Providers and school-employed staff in a team approach to identify ways to provide skill development and positive mental health supports.
 - iii. Individuals may be referred or self-referred to SBMH services due to experiencing trauma, behavioral and emotional challenges, symptoms of a mental health condition, or chronic absenteeism.
 - iv. All staff shall provide culturally and linguistically responsive trauma informed coordinated services and supports.
 - v. All staff may assist with the development, implementation and delivery of programs such as wellness, peer support programs, family support programs, Mental Health First Aid training, implementation of social-emotional learning and support students through skill building and informational learning opportunities on topics such as conflict resolution, bullying prevention, self-regulation and healthy relationships.
 - vi. Through collaboration with the school and community agencies, staff shall assist and create activities to improve climate and safety for children. Promote school safety for all students and report incidents of any violence, so timely intervention may occur.
- (c) All Service Providers and Program Staff shall be trained in suicide prevention, intervention, postvention, and lethal means. QMHP Providers who have had no suicide specific training are recommended to begin with the Applied Suicide Intervention Skills Training (ASIST). QMHA staff who have had no suicide specific training are recommended to begin with Question, Persuade, Refer (QPR), and CALM. Upon request, the contract administrator will provide a list of recommended suicide prevention, intervention, postvention, and lethal means trainings available at low or no cost in Oregon. A tool for tracking staff training completion is also available

upon request. Documentation of a minimum of one booster session annually is required in at least one of the following topics:

- i. Suicide Prevention;
 - ii. Suicide Intervention and Safety Planning;
 - iii. Suicide Postvention; and
 - iv. Lethal Means.
- (d) Training documentation for all Service Providers and Program Staff shall be submitted to OHA annually at the end of the school year.
 - (e) All MHS 13 Service Providers and Program Staff are obligated to report any known suicide deaths in the school to their supervisor. Supervisors shall notify their county postvention lead who will report to the OHA Suicide Prevention and Intervention Coordinator in accordance with OAR 309-027-0060.
 - (f) All MHS 13 Service Providers and Program Staff are required to read and understand the School Suicide Prevention plan and the County Youth Suicide Postvention Plan for all school districts and counties in which they provide services.
 - (g) Counties shall notify OHA in writing if the county lacks qualified QMHP providers to deliver MHS13 clinical services prior to and/or as soon as services become unavailable and implement a plan for the provision of Services in consultation with OHA.
 - (h) Counties shall notify OHA in writing of the schools in which it is providing services and shall prioritize schools in under-resourced communities in the county. This documentation shall be provided to OHA no later than one month prior to the start of the school year. Counties are required to notify OHA contract administrator of any delay in this documentation.
 - (i) When possible, Medicaid billable Services will be billed to Medicaid.
 - (j) Funding may also be used to serve Individuals experiencing acute psychiatric distress and who are not Medicaid eligible but have no other resources to pay for the Services, or who are 14 years of age or older and request anonymity.

(3) **Reporting Requirements**

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(4) **Special Reporting Requirements**

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov written quarterly reports on the delivery of MHS 13 Services, no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Each report shall provide the following information:

- (a) The names and National Provider Index (NPI) numbers of all MHS 13 Providers and Staff;
- (b) The number of Individuals served during the quarter. This number should represent at a minimum the Individuals, who have had any of the following services or a combination of the following: assessment, individual therapy, family therapy, group therapy. Individuals who have participated in services delivered by the QMHA may be listed as long as those services are documented on the QMHP's Service Plan;
- (c) The number of new Individuals served during the quarter. This number should represent Individuals to whom Providers and Program Staff began providing services to for the first time during the quarter; and
- (d) Service providers must report on a quarterly basis:
 - i. A list of the unique Individual served, including their first and last name;
 - ii. The race and ethnicity of the Individual;
 - iii. The Individual's payor source, Oregon Health Plan ID number or other identified insurer ID number; and
 - iv. The unabbreviated name of the school the Individual attends.

Providers will use an evidence-based suicide assessment tool and formulate a safety plan when clinically indicated.
- (e) A summary of program strengths, including specific examples of how services are impacting student mental health, how student and family needs that have arisen as a result of the pandemic have been addressed, and how this work promotes school and student safety.
- (f) Service providers must report how services are delivered in a manner that is culturally and linguistically responsive and how these services are delivered in a manner that is accessible and equitable for all students.
- (g) A summary of program challenges, including barriers to providing services to students and engaging families in family therapy.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirement Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

t. Service Name: **YOUNG ADULT HUB PROGRAMS (YAHP)**

Service ID Code: **MHS 15**

(1) Service Description

MHS 15 Services are designed to reach out to, engage, and support extremely distressed and marginalized young adults (Individuals) 14 through 24 years of age with Mental Health conditions, particularly those that are disconnected from services or who have no other resources to pay for services.

- (a) The program includes and requires outreach and engagement, brief crisis services, connection of the Individual with community-based supports and services, peer support, clinical and other health related services;
- (b) Programs must serve all Individuals referred to the service, including those with public, private or no insurance; and
- (c) Programs must deliver services in a manner supported by the principles of systems of care, trauma informed care, and positive youth development.
- (d) Programs shall engage communities that have been historically made vulnerable by racism, discrimination and health inequities.

(2) Performance Requirements

(a) Eligible Population:

These Services are considered appropriate when the Individual is not connecting with desired behavioral health and other supports through other, more traditional or generally available means, and needs supplemental or alternative engagement supports. This may include, but are not limited to Individuals 14 through 24 years of age who have been:

- i. Served in Psychiatric Residential Treatment Services, Secure Adolescent Inpatient Programs;
- ii. Chronically involved in state systems of Mental Health care and who are in need of intensive community supports;
- iii. Impacted by a Mental Health diagnosis and/or extreme social distress so that their ability to be successful in age appropriate activities is impaired or has led to interface with the criminal justice system; or
- iv. Disconnected from resources to such an extent that they are unlikely to access Medicaid and privately insured services through an outpatient program.

(b) Provide Clinical, Social, and Residential Services:

These services have no time limit. It is expected that they will be used to help the Individual connect to ongoing, longer-term supports, meet their needs and goals, and support them in moving toward a positive life trajectory. It is preferable that the peer support specialist and the clinical staff meet with the Individual together during the initial contact or soon thereafter. Contacts should be as frequent as is necessary for the goals of the project to occur, but no less than twice a week.

Provider shall assist all Individuals receiving services in accessing and maintaining resources that fit their goals. Such resources may include supported employment, housing, educational support, primary care, psychiatric services, addictions services, navigation of outside supports and services, family mentoring and mediation, and family finding through the use of a family finding service, among others. Setting(s) for service delivery include, but are not limited to emergency departments, crisis centers, provider sites, homes, and community settings. Locations shall be as preferred by the Individual. Using technology and texting as a preferred method of communication with young people is expected and required. Community-based services and supports include, but are not limited to:

- i. Outreach and engagement of groups of youth and young adults placed at increased risk: lesbian, gay, bisexual or transgender (LGBT) youth, young adults with higher risk of suicide, and other young people who have been historically marginalized;
- ii. Recovery oriented, young adult centered planning;
- iii. Creation of social support systems;
- iv. Rapid access to psychiatric and counseling services;
- v. Coaching on rights regarding access to employment, school, housing, and additional resources;
- vi. Access to local teams, including licensed medical professionals (psychiatrists or psychiatric nurse practitioners), clinical case managers, supported employment specialists, and occupational therapists;
- vii. Peer support provided by young adult peers, participatory decision-making;
- viii. Meaningful Individual's engagement in program, community, and leadership activities; and
- ix. Skill development.

(c) Who Can Provide These Services?

Recommended staff, staff expertise, and training:

- i. Providers can be youth or young adult peer support specialists, care coordinators, licensed medical prescribers, Qualified Mental Health Programs (QMHP), mental health therapists, and skills trainers.
- ii. Recommended supplemental trainings includes supplemental peer and clinical training, training in suicide prevention and intervention strategies, and trauma informed care, and be provided with ongoing maintenance of the skills and practice associated with these approaches.
- iii. Familiarity and use of system of care principles, cultural responsiveness, cultural humility, linguistic attunement, trauma informed principles and practices, and the TIP Model located at <http://www.tipstars.org/>, or any other young adults in transition evidence-based or promising practices.

(3) Reporting Requirements

See Exhibit, 10., "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly reports no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>. County shall:

- (a) Meet data reporting requirements and deadlines, unless otherwise arranged with OHA;
- (b) Administer the Adult Hope Scale located at <https://ppc.sas.upenn.edu/sites/default/files/hopescale.pdf> as an outcome measurement tool, or provide an alternative measure of a consistent nature to be approved by OHA.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirement Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

u. Service Name: **PEER DELIVERED SERVICES (PDS)**

Service ID Code: **MHS 16**

(1) Service Description

Peer Delivered Services MHS 16 will assist the establishment or expansion of Peer Delivered Services (PDS) in a specified geographic area for the period of this Agreement. PDS means an array of County or community-based services and supports provided by peers, Peer Wellness Specialists (PWS), and Peer Support Specialists (PSS), including Family Support Specialists and Youth Support Specialists, to Individuals or family members with similar lived experience and that are designed to support the needs of Individuals and families as applicable.

Peer Support Specialists are experientially credentialed individuals who have successfully engaged in their own or their child's recovery and demonstrate the core competencies for Peer Support Specialists as defined by OHA's administrative rules, Traditional Health Worker Commission, and the Office of Equity and Inclusion, ORS 414.635 through 414.665, OAR 410-180, and OAR 309-019-0130 PSS and PWS shall deliver PDS, under the supervision of a qualified Clinical Supervisor, and are listed on the Traditional Worker Registry to provide services for that identified consumer population, as found at <https://traditionalhealthworkerregistry.oregon.gov>.

(2) Performance Requirements

County shall use the funds awarded through this Agreement for MHS 16 to implement PDS in a manner that:

- (a) Benefits Individuals with mental health conditions;
- (b) Increases the number of Individuals certified to provide PDS;
- (c) Requires that PDS work assignments are relevant to individuals Traditional Health Worker's certification;
- (d) Program staff providing direct services shall receive clinical supervision by a qualified clinical supervisor related to the development, implementation, and outcome of services;
- (e) Supervision shall be provided to assist program staff to increase their skills within their scope of practice, improve quality of services to Individuals, and supervise program staff and volunteers' compliance with program policies and procedures; and
- (f) For persons providing direct PDS, one of the two hours of required supervision shall be provided by a qualified Peer Delivered Services Supervisor as resources are made available.

(3) Reporting Requirements

None

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracte@odhsoha.oregon.gov, written quarterly reports no later than 45 calendar days following the end of each subject quarter during the period for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>, and include the following information:

- (a) Amount of funds spent as of the end of the reporting period;
- (b) Description of PDS implementation progress, technical assistance needs, and any relevant implementation challenges;
- (c) Number of Individuals with mental health conditions who were trained as PSS or PWS during the reporting period;
- (d) Number of Individuals with mental health conditions who received PDS during the reporting period; and
- (e) Outcome measures to include:
 - i. Shortened psychiatric and addiction related hospital stays or reduced admissions to the emergency department due to psychiatric crisis;
 - ii. Improved ability to work towards recovery or establish a recovery plan;
 - iii. Reduced crisis events;
 - iv. Improved quality of life as identified by the Individuals receiving Services;
 - v. Increased ability to advocate for themselves or, in the case of youth, increased ability for youth and their families to advocate for themselves and their family;
 - vi. Increase in a social support system;
 - vii. Work and education status maintenance or improvement for adults;
 - viii. School attendance and academic improvement for youth; and
 - ix. Number of out-of-home placements in the past 90 calendar days.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2).

v. Service Name: **Non-OHP Community and Residential Assistance**

Service ID Code: **MHS 17**

(1) Service Description

- (a) Providers need flexibility when submitting invoices for services provided under a variety of different service elements.

OHA has consolidated the invoiceable services, paid from Part C funds, from multiple service elements into MHS 17. This flexibility allows us to use funding provided by MHS 17 and reduce the number of agreement amendments issued to transfer funds from one service element to another. The MHS 17 funding is allocated as a single pool that is used to pay for the invoiceable services described in the Service Elements listed below.

These Service Elements and the invoiceable service components for each are referenced by title and exist in detail in 'Exhibit B-1, Service Descriptions':

- i. **MHS 26 – NON-RESIDENTIAL MENTAL HEALTH SERVICES FOR YOUTH & YOUNG ADULTS IN TRANSITION**
- ii. **MHS 27 – RESIDENTIAL MENTAL HEALTH TREATMENT SERVICES FOR YOUTH & YOUNG ADULTS IN TRANSITION**
- iii. **MHS 28 – RESIDENTIAL TREATMENT SERVICES**
- iv. **MHS 30 – MONITORING, SECURITY, AND SUPERVISION SERVICES FOR INDIVIDUALS UNDER THE JURISDICTION OF THE ADULT AND JUVENILE PANELS OF THE PSYCHIATRIC SECURITY REVIEW BOARD**
- v. **MHS 34 – ADULT FOSTER CARE SERVICES**
- vi. **MHS 36 – PRE-ADMISSION SCREENING AND RESIDENT REVIEW SERVICES (PASRR)**

Within the above Service Elements, any **Specialized Requests** for management of physical or health problems, including, but not limited to, seizures, incontinency, diabetes, and pain management require a Prior Authorization from OHA, using the Intensive Services Request Form located at <https://www.oregon.gov/OHA/HSD/OHP/Pages/MH-Rates.aspx>.

(b) Authorization, Monitoring, and Review

- i. For Services to non-Medicaid-eligible Individuals indicated in Exhibit B-1, County shall attach a copy of the bill or receipt, for the item or Service, to a combined monthly invoice, itemized by Individual. Part C funding for Psychiatric Security Review Board (PSRB) non-medically approved Services are only for the period shown and do not carry forward into following years' allotments.
- ii. Funding for Specialized Requests, (1)(g) above, will follow a process to assure **necessity of services** required by an Individual in exceptional need, that would not fit within the Intensive Services Requests of the Rate Review Committee (RRC), with the following structure:

- A. A proposal is then reviewed by a minimum of two clinicians to assure initial **necessity of services** considering the current circumstances, history of interventions, limits of current resources and potential plans for stabilization.
 - B. If there is sufficient initial necessity, then the proposal will be reviewed by the RRC to determine a recommendation of approval or denial.
 - C. If approved, the Specialized Request will follow the same process indicated in (2)(a), “Authorization, Monitoring and Review.”
- iii. If denied, the Requestor will be notified in writing with rationale determined by the RRC.

(2) **Performance Requirements**

Providers submitting invoices for payment under any of the Service Elements identified in Section (1) above must meet the conditions shown in the specific Service Element in Exhibit B-1 to receive prompt and complete payment of invoices.

(3) **Reporting Requirements**

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(4) **Special Reporting Requirements**

See Exhibit B-1 for the specific service element(s) requirements.

(5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures**

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Settlement language, for the specific Service Element(s) requirements, in Section 1.

w. Service Name: **NON-RESIDENTIAL MENTAL HEALTH SERVICES FOR ADULTS**

Service ID Code: **MHS 20**

(1) Service Description

(a) Definition(s):

DSM-5 means The Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (or DSM-V), incorporated by reference herein, and is the 2013 update to the American Psychiatric Association's (APA's) classification and diagnostic tool. The DSM-5 serves as a universal authority for psychiatric diagnosis.

(b) MHS 20 Services are:

- i.** Services delivered to Individuals diagnosed with serious mental illness or other mental or emotional disturbance posing a danger to the health and safety of themselves or others.
- ii.** Community based services that shall include one or more of the following:
 - A.** Use of standardized protocols and tools to identify the level of service need and intensity of care and coordination, addressing salient characteristics such as age, culture, and language;
 - B.** Apply OHA approved, standardized level of care tools for Individuals diagnosed with serious and persistent mental illness at intervals prescribed by OHA;
 - C.** Condition management and whole person approach to single or multiple conditions based on goals and needs identified by the Individual;
 - D.** General outpatient services including, but not limited to, care coordination and case management;
 - E.** Medication and medication monitoring;
 - F.** Meaningful Individual and family involvement;
 - G.** Rehabilitation services including Individual, family and group counseling;
 - H.** Coordinate and facilitate access to appropriate housing services and community supports in the Individual's community of choice, including rent subsidy; and
 - I.** Other services and supports as needed for Individuals at the sole discretion of OHA.
- iii.** Services County shall provide, but is not limited to:
 - A.** Outreach: Partner with healthcare providers and other social service partners who provide screening for the presence of behavioral health conditions to facilitate access to appropriate services;
 - B.** Early Identification and Screening: Conduct periodic and systematic methods that identify Individuals with behavioral health conditions

and potential physical health consequences of behavioral health conditions which consider epidemiological and community factors, as identified in the most recently submitted and approved Local Plan; and

- C. Initiation and Engagement: Promote initiation and engagement of Individuals receiving services and supports, which may include but are not limited to:
 - I. Brief motivational counseling; and
 - II. Supportive services to facilitate participation in ongoing treatment.

(2) **Performance Requirements**

County shall:

- (a) Provide coordination of care services for Individuals living in residential treatment programs. The coordination of care shall include participation in the residential Provider's treatment planning process and in planning for the Individual's transition to outpatient services;
- (b) Comply with Outpatient Services, as described in OAR 309-019-0100 through 309-019-0220, and Community Treatment and Supports, as described in OAR 309-032-0301 through 309-032-0890, as such rules may be revised from time to time; and
- (c) Maintain a Certificate of Approval for the delivery of clinical services in accordance with OAR 309-008-0100 through OAR 309-008-1600, as such rules may be revised from time to time.

(3) **Reporting Requirements**

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) **Special Reporting Requirements**

None

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2). In addition:

x. Service Name: CIVIL COMMITMENT SERVICES**Service ID Code: MHS 24****(1) Service Description**

The civil commitment process is intended to provide care and treatment to Individuals who, because of a mental disorder, are alleged to be or have been determined to be dangerous to themselves or others, or unable to provide for their basic needs.

The County is responsible for certain pre-civil commitment actions under ORS chapter 426, such as prehearing commitment investigation, discharge planning and notice requirements. OHA has also delegated the responsibility for the assignment and placement of civilly committed Individuals to the County under this Agreement and OAR 309-033-0290.

The goal of MHS 24 Services is to divert Individuals from civil commitment, provide Services in community-based settings outside of the state hospital, community hospitals or other secure settings, and to support Individuals in their progress towards stabilization and community-based outpatient Services.

(a) Eligibility for Services

County shall provide MHS 24 Services to Individuals, who are 18 years or older, and who:

- i.** Require emergency hold services under ORS 426.232 and ORS 426.233, or are being held on a warrant of detention pending a civil commitment hearing under ORS 426.070;
- ii.** Are alleged to be a person with a mental illness and may be diverted from civil commitment;
- iii.** Are currently committed to OHA under ORS 426.130 or recommitted to OHA under ORS 426.307;
- iv.** Are diverted through the civil commitment process to voluntary treatment, conditional release, outpatient commitment, and assisted outpatient treatment as described in ORS 426.125 through ORS 426.133;
- v.** Are not currently civilly committed or have civil commitment proceedings pending, but have been:
 - A.** Civilly committed under ORS 426.130 more than once, recommitted under ORS 426.307, held on two or more emergency holds under ORS 426.232 or 426.233 in the last year that did not result in a civil commitment, or held on two or more warrants of detention under ORS 426.070 in the last year that did not result in a civil commitment; and
 - B.** Require continuing Services to prevent hospitalization and posing a danger to themselves or others; or

- C. Require continuing Services to maintain stability and learn skills needed to be placed in a more integrated community setting; and
- D. Had their civil commitment end within the past 12 months.

(2) Performance Requirements

- (a) When providing Services under this Service Element, County shall:
 - i. Comply with all applicable statutes and rules, including but not limited to ORS chapters 426 and 430 generally, ORS 430.630(3)-(4), ORS 426.241(5), and OAR chapter 309, divisions 8, 14, 15, 19, 32 and 33, which may be revised from time to time;
 - ii. Ensure that the County, to the extent it provides direct Services, and its Providers (including but not limited to community hospitals, secure residential treatment facilities, residential treatment facilities, residential homes, outpatient services or other providers):
 - iii. Comply with all applicable statutes and administrative rules, including but not limited to OAR 309-035-0100 through 309-035-0225, as such rules may be revised from time to time;
 - iv. Comply with and maintain any certifications or licenses required to operate or provide Services;
 - v. Provide any required secure transportation by an approved Provider in compliance with OAR chapter 309, division 32; and 33, as such rules may be revised from time to time; and
 - vi. Submit required information to OHA electronically through the Oregon Patient and Resident Care System (OP/RCS) or its replacement, within 12 hours of an Individual's admission to and discharge from placement at a hospital, residential facility, or residential home, in order to receive MHS 24 Services.
- (b) Assist all eligible and interested Individuals with applying for public assistance, medical assistance, and any other state or federal benefits that the individual may be eligible for now or upon discharge from institutionalization;
- (c) Investigate and Report allegations of abuse regarding Individuals and provide protective services to those Individuals to prevent further abuse. The investigation, reporting, and protective services must be completed in compliance with ORS 430.768 and OAR chapter 407, division 45, as such statutes and rules may be revised from time to time;
- (d) Provide payment for MHS 24 Services provided to Individuals, who are uninsured, underinsured, not eligible for Medicaid, or have exhausted Medicaid services, including those who meet the criteria for Citizen Alien Waived Medical Program;
- (e) Coordinate with any third-party payors, including but not limited to coordinated care organizations, for the payment of MHS 24 Services covered for the Individual under private insurance or through public or medical assistance programs; and

- (f) Contract with Providers to meet the MHS 24 Services needs of eligible Individuals in the County's service area.

(g) **Pre-Commitment Service Requirements**

County shall provide pre-commitment Services to Individuals described in Subsection a.(1)(a)i.-ii. of MHS 24, including but not limited to:

- i. Provide notice as required under ORS 426.070, ORS 426.233, ORS 426.234, and ORS 426.235;
- ii. Have a certified mental health investigator conduct a prehearing investigation, within applicable statutory timeframes, pursuant to ORS 426.070, ORS 426.074, ORS 426.200 and OAR 309-033-0920 through OAR 309-033-0940;
- iii. Submit a recommendation, based on the prehearing investigation report, to the court under ORS 426.070;
- iv. Provide an investigation report as required under ORS 426.070;
- v. Assign and place a person, under a warrant of detention, at a hospital or nonhospital facility, approved by OHA, in accordance with ORS 426.070 and OAR chapter 309, division 33;
- vi. Initiate civil commitment proceedings as required in ORS 426.180(6);
- vii. Provide reports as required under ORS 426.228 for emergency holds;
- viii. Assign and direct the placement or transfer of an Individual, who is on an emergency hold, to a hospital or nonhospital facility, approved by OHA, in accordance with ORS chapter 426 and OAR chapter 309, division 33;
- ix. Provide transportation for an Individual on an emergency hold under ORS 426.233; and
- x. In providing recommendations, treatment service planning, and discharge planning, ensure that Individuals:
 - A. Are recommended for Services in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs;
 - B. Are diverted from placement at the state hospital, community hospitals, and secure residential treatment facilities, whenever possible; and
 - C. Are considered for diversion through voluntary treatment, conditional release, outpatient commitment, and assisted outpatient treatment, as described in ORS 426.125 through ORS 426.133.

(h) **Placement During Commitment Requirements**

County shall assign and direct the placement of Individuals described in Subsection a.(1)(a)iii. of MHS 24, to an appropriate Provider in accordance

with ORS chapter 426 and OAR chapter 309, division 33, and provide the following:

- i. Develop a treatment service plan for Individual in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs, preferences, choices and strengths;
- ii. Identify an appropriate Provider that is able to meet the Individual's behavioral health needs and willing to provide that care, treatment and Services to the Individual;
- iii. Ensure MHS 24 Services are provided in the least restrictive and most integrated setting appropriate to meet the Individual's behavioral health needs;
- iv. Divert the Individual from placement at a state hospital, community hospital or secure residential treatment facility, whenever possible;
- v. Obtain any necessary approvals from the Provider to allow admission, if it is a residential or state hospital placement;
- vi. Continue to send referrals to Providers until the Individual is placed at or is receiving appropriate Services;
- vii. Assign and direct the placement of that the Individual to an appropriate Provider with the Provider's agreement;
- viii. Issue a written assignment order immediately upon the commitment of the Individual by the court under ORS 426.130 or recommitment under ORS 426.307, and at any time the committed Individual is transferred to another Provider during the commitment period; and
- ix. Submit a copy of all written assignment orders to OHA as required by rule;
 - A. Monitor the Individual's progress in their treatment service plan and current placement, and identify when the Individual may be transferred to a lower level of care;
 - B. Ensure discharge planning continues throughout the Individual's civil commitment placement with the goal of moving the Individual to the lowest level of care that will maintain long term their mental and physical health; and
 - C. File a written certificate discharging the Individual early from civil commitment pursuant to ORS 426.300 with the last committing court and the court of residence, if the County determines that the Individual is no longer a person with mental illness or that the transfer of the Individual to a voluntary status is in the Individual's best interest.

(i) **Behavioral Health Service Requirements**

For Individuals described in Subsection a.(1)(a)iv.-v. of MHS 24, County shall provide:

- i.** Care Coordination to facilitate the Individual's access to Services in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs, strengths and to the extent possible consistent with the Individual preferences and choices, including:
 - A.** Facilitate communication between the Individual, family, natural supports, community resources, Providers, DHS (if eligible for Aging and People with Disabilities (APD) or Intellectual and Developmental Disabilities (I/DD) services), and the courts (if applicable);
 - B.** Serve as a Single Point of Contact (SPOC) for all referrals from OSH to Assertive Community Treatment, as described in OAR 309-019-0225(25) (Definition of SPOC), in ACT Admission Process, as described in OAR 309-019-0248; and
 - C.** Collaborate with the DHS, APD and I/DD Divisions to support the Behavioral Health Treatment Service needs of Individuals determined service-eligible for APD or I/DD.
- ii.** Stabilization, Maintenance and Preventative Services, including:
 - A.** Develop a treatment service plan for the Individual in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs, preferences, choices and strengths;
 - B.** Identify appropriate Providers that are able to meet the Individual's behavioral health needs and willing to provide that care, treatment and Services to the Individual;
 - C.** Monitor the Individual's progress in their treatment service plan, and identify when the Individual may receive Services in a lower level of care or lower level of court intervention (if applicable);
 - D.** Ensure treatment service planning continues throughout the Individual's receipt of MHS 24 Services with the goal of the Individual receiving Services in the lowest level of care and at the lowest level of court intervention that will maintain their mental and physical health long term;
 - E.** Provide crisis stabilization services, such as accessing psychiatric, medical, or qualified professional intervention to protect the health and safety of the Individual and others;
 - F.** Provide timely, appropriate access to crisis intervention to prevent or reduce acute emotional distress, which might necessitate psychiatric hospitalization;
 - G.** Assist the Individual with money management, when requested by an Individual, to include accurate documentation of all funds deposited and withdrawn when funds are held in trust for the Individual;

- H.** Assist with or arrange for the supervision of the Individual's daily living activities and life skills (if appropriate), such as training in nutritional wellness, personal hygiene, clothing care and grooming, communication with social skills, health care, household management, and using community resources to support increasing independence and preparation for living in the most integrated community environment;
- I.** Provide for or arrange for the care of the Individual, including the assumption of responsibility for the safety and well-being of the Individual;
- J.** If the Individual is placed in a residential setting, ensure the Provider is providing a safe environment for the Individual;
- K.** Provide for or arrange for the administration and supervision of prescribed and non-prescribed medication(s);
- L.** Provide or arrange for routine and emergency transportation;
- M.** Provide for or arrange for the management of aggressive or self-destructive behavior;
- N.** Provide for or arrange for the management of any specialized diet for the Individual, prescribed by a physician, requiring extra effort or expense in preparation of food;
- O.** Provide for or arrange for the management of the Individual's physical or health problems including, but not limited to, seizures, incontinency, diabetes, and pain management;
- P.** Provide financial assistance for behavioral health services, as described in OAR 410-172-0630;
- Q.** Provide financial assistance for individual services and activities, as described in OAR 309-035-0200, including but not limited to the:
 - I.** Provision of adequate shelter;
 - II.** Assistance with acquiring skills to live as independently as possible; and
 - III.** Assistance with accessing other additional services, as needed;
- R.** Provide a transitional treatment plan for Individuals when they are no longer receiving Services;
- S.** Provide interpretive services as needed;
- T.** Provide notice to the Individual of any admission decisions for residential care in accordance with OAR 309-035-0163(11); and

- U. Provide any clinical records and contact information to OHA and its designees for oversight and coordination purposes upon request;
- iii. Services to Remove Barriers to Community-Based Care when consistent with the Individual's treatment service plan, including, but are not limited to:
 - A. Room and board payments;
 - B. Rental assistance, security deposits, and application fees;
 - C. Utility payments and deposits;
 - D. Prescription or over-the-counter medications and medical supplies not covered by Medicaid or other sources;
 - E. Transportation;
 - F. Activities to facilitate the securing of guardianship Services, including but not limited to:
 - I. Paying the costs of:
 - (A) Court hearings to determine the necessity, continuation, or termination of a guardianship; and
 - (B) Guardianship Services to make decisions related to overseeing the care and supervision of an Individual; and
 - II. If guardianship is expected to continue beyond a transitional period of time (6 months or less), then other payment options should be sought in order to maintain guardianship Services; and
 - G. Activities to facilitate the securing of representative payee services.

(3) Reporting Requirements

Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>. County shall submit electronically, to amhcontract.administrator@dhsosha.state.or.us, an annual accounting report of financial assistance within 45 calendar days from the end of the contract year.

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

If County has authorized or anticipates authorizing delivery of MHS 24 Services to an Individual and wishes to reserve MHS 24 Service capacity as defined in OAR 309-011-0115(3), up to a maximum of 30 calendar days for that Individual while the Individual is not actually receiving MHS 24 Services, County shall submit a written Reserved Service Capacity Payment (RSCP) request and a CAR to OHA under OAR 309-011-0105 through 309-011-0115. If OHA approves the RSCP request and the CAR for a non-Medicaid-eligible Individual, OHA and County

shall execute an amendment to the Financial Assistance Award to reduce residential funding, and add funds necessary to make the approved disbursements to reserve the service capacity. If the Individual is Medicaid-eligible, OHA and County shall execute an amendment to the Financial Assistance Award to add funds necessary to make the approved disbursements to reserve the service capacity. OHA shall have no obligation to make the disbursements unless and until the Financial Assistance Award has been so amended.

(5) **Financial Assistance Calculation, Disbursement Procedures, and Confirmation of Performance and Reporting Requirements:**

(a) **Payment**

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Intermediate Psychiatric Inpatient Services Section 1.f.(2). In addition, use Part A payment language, Section 1.f.(1).

County understands and agrees that funding under Part A or Part C may be reduced by Contract amendment to the extent County’s billings under MMIS for Part B payments exceed the allocated total aggregated budget as set for in Exhibit C, “Financial Assistance Award.” The Part C awards do not apply to PSRB Individuals, as these Services are covered in the Service Description for MHS 30.

(b) **Disbursement of Financial Assistance:**

Invoices shall be submitted electronically, to hsd.contracts@odhsoha.oregon.gov, with the subject line “Invoice, contract #(your contract number), contractor’s name” on an OHA approved invoice, and at the level of detail prescribed by OHA no later than 60 calendar days after the Individual’s last date of Services. All payments made to County under this Agreement are subject to recovery by OHA as follows:

- i. If an audit of the Services rendered by County under this Agreement, whether directly or through subcontract(s), results in a refund to or disallowance by the federal government of payment made to County under this Agreement, OHA may recover from County the amount of the refund or disallowance and any applicable OHA matching funds.
- ii. If County expends funds awarded to County under this Agreement for unauthorized expenditures, OHA may recover from County the full amount of unauthorized expenditures.
- iii. In the event funds awarded to County under this Agreement are subject to recovery as described above, OHA may, at its option, upon written notice to County:
 - A. Offset the amount subject to recovery against other funds due County from OHA under this Agreement or otherwise; or
 - B. Demand that County pay to OHA the amount subject to recovery, in which case County shall immediately pay said amount to OHA. Nothing in this section will affect OHA’s

right to terminate this Agreement as set forth in Exhibit G, “Standard Terms and Conditions,” or any remedies otherwise available to OHA as a result of the termination of this Agreement.

- iv. Upon 30 calendar days advance written notice to County, OHA may withhold financial assistance otherwise due County under this Agreement if County fails to submit required reports when due or fails to perform or document the performance of Services under this Agreement. Immediately upon written notice to County, OHA may withhold financial assistance if County or its Provider(s) no longer holds all licenses, certificates, letters of approval, or certificate of approval that are required to perform the Services. Withholding of financial assistance may continue until County submits the required reports or performs the required Services. Nothing in this section will affect OHA’s right to terminate this Agreement as set forth in Exhibit G, “Standard Terms and Conditions,” or any remedies otherwise available to OHA as a result of the termination of this Agreement.
- v. OHA will not provide financial assistance in excess of the maximum compensation amount set forth in this Agreement. If this maximum compensation amount is increased by amendment of this Agreement, the amendment must be fully effective before County or its Provider(s) performs Services subject to the amendment. No financial assistance will be provided for any Services performed before the beginning date or after the expiration date of this Agreement, as it may be amended from time to time in accordance with its terms.

y. Service Name: **MOBILE CRISIS**

INTERVENTION SERVICES

Service ID Code: **MHS 25**

(1) Service Description

(a) Overview:

Mobile Crisis Intervention Services (MCIS) are services delivered in person, by the County through its' Community Mental Health Programs (CMHP), to Individuals experiencing a behavioral health crisis, regardless of age or insurance type. Services shall be provided to Individuals in community-based settings, 24 hours a day, seven days a week, every day of the year.

The goal of MCIS is to provide a community-based alternative to individuals experiencing a behavioral health crisis, in accordance with OAR 309-072-0140 (4) and OAR 309-072-0140 (10). MCIS are provided in the community at times and locations that are convenient to the Individual and their family. Services and supports are provided by staff trained in crisis response, in a trauma-informed manner. Individuals can receive the services and supports that they need in a timely manner. MCIS is focused on early intervention and crisis de-escalation, with a focus on diverting unnecessary trips to the emergency department, hospitalizations, child welfare involvement, juvenile justice or arrests, and providing services and supports to the individual in the least restrictive environment necessary.

Mobile Crisis Intervention Team (MCIT) will work with the Individual in crisis and their family, when applicable, to attempt to address and deescalate the current crisis. MCIT will attempt to screen each Individual for risk of harm to self and others and work with the Individual to identify additional services and supports to meet the needs of the Individual in crisis and actively connect the Individual directly to services and supports whenever possible.

All MCITs shall provide services and supports in accordance with OAR 309-072-0100 to 309-072-0160.

Crisis line services shall be provided in accordance with OAR 309-019-0300.

County will contact OHA, as soon as possible, upon identification, when there are known Service Elements or OARs that are not being met. A Plan of Action must be submitted, in writing to OHA, which outlines the steps to be taken to address the areas of concern and includes a timeline for resolution.

(b) In the event of a Disaster Declaration: provide disaster response, crisis counseling services to include:

i. Responding to local disaster events by:

- A.** Providing Crisis counseling and critical incident stress debriefing to disaster victims; police, firefighters and other "first-responders"; disaster relief shelters; and the community-at-large.
- B.** Coordinating crisis counseling services with County Emergency Operations Manager (CEOM); and providing

crisis counseling and stress management services to Emergency Operations Center staff according to agreements established between the County and CEOM.

- ii. Assisting County's in the provision of these services as part of a mutual aid agreement; and
- iii. For the purpose of responding to a specified local disaster event, payment may be made through an amendment to the Financial Assistance Agreement for these services.

(2) Performance Requirements

- (a) County shall maintain a Certificate of Approval for MCIS OAR 309, Division 008 (309-008-0100 – 309-008-1600) Health Treatment Services OAR 309, Division 008 (309-008-0100 – 309-008-1600) Certification of Behavioral Health Treatment Services AR 309, Division 008 (309-008-0100 – 309-008-1600) Certification of Behavioral Health Treatment Services.
- (b) County shall comply with OAR 309-072-0100 to 309-072-0160 as such rules may be revised from time to time.

(3) Reporting Requirements

None.

(4) Special Reporting Requirements

- (a) Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
- (b) County must collect and submit data in accordance with the OHA approved data collection process monthly.
- (c) County agrees to work directly with OHA approved contractor to submit the required data in a timely manner. The OHA approved contractor is responsible for analyzing the provided data and developing quarterly reports.
- (d) County is responsible for reviewing and approving the quarterly reports generated by the OHA approved contractor.
- (e) OHA approved contractor shall submit the quarterly report to OHA via HSD.Contracts@odhsoha.oregon.gov, on behalf of the County, no later than 45 calendar days following the end of each quarter.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures

See Exhibit D, "Payment, Settlement, and Confirmation Requirements".

Use Payment and Settlement language, Section 1.f.(2).

z. Service Name: NON-RESIDENTIAL MENTAL HEALTH SERVICES FOR YOUTH & YOUNG ADULTS IN TRANSITION

Service ID Code: MHS 26

(1) Service Description

Non-Residential Mental Health Services for Youth & Young Adults in Transition (MHS 26 Services) are Mental Health Services delivered to Individuals through 25 years of age who are under the jurisdiction of the Juvenile Panel of the Psychiatric Security Review Board (JPSRB) or are considered Young Adults in Transition (YAT), as specified in Exhibit C, “Financial Assistance Award,” and have behavioral health needs posing a danger to the health and safety of themselves or others. The purpose of MHS 26 Services is to provide mental health services in community settings that reduce or ameliorate the disabling effects of behavioral health needs. Services shall be trauma informed, culturally and linguistically responsive and work to reduce the impacts of adverse childhood and traumatic experiences. Non-Residential Mental Health Services for Youth & Young Adults in Transition can include:

- (a) Care coordination and residential case management services;
- (b) Vocational and social services;
- (c) Rehabilitation;
- (d) Support to obtain and maintain housing (non-JPSRB only);
- (e) Abuse investigation and reporting;
- (f) Medication (non-JPSRB only) and medication monitoring;
- (g) Skills training;
- (h) Mentoring;
- (i) Peer support services;
- (j) Emotional support;
- (k) Occupational therapy;
- (l) Recreation;
- (m) Supported employment;
- (n) Supported education;
- (o) Secure transportation (non-JPSRB only);
- (p) Individual, family and group counseling and therapy;
- (q) Rent Subsidy (non-JPSRB only); and
- (r) Other services as needed for Individuals, at the sole discretion of OHA.

(2) Performance Requirements

- (a) Services to Individuals through 25 years of age under the jurisdiction of the JPSRB or are considered Young Adults in Transition (YAT) must be delivered with the least possible disruption to positive relationships and must incorporate the following:

- i. The rapport between professional and Individual will be given as much of an emphasis in Service planning as other case management approaches;
 - ii. Services will be coordinated with applicable adjunct programs serving both children and adults, so as to facilitate smoother transitions and improved integration of Services and supports across both adolescent and adult systems;
 - iii. When youth and young adults identify as a member of an Oregon Tribe or as an American Indian/Alaska Native (AI/AN) services will be culturally responsive and coordinated with their Tribe or the Urban Indian Health Program;
 - iv. Services will be engaging and relevant to youth and young adults;
 - v. Services will accommodate the critical role of peers and friends;
 - vi. The treatment plan will include a safety component to require that identity development challenges and boundary issues are not cause for discontinuing Service;
 - vii. The “Service Plan” will include a specific section addressing Services and supports unique to the developmental progress of Youth and Young Adults in Transition including school completion, employment, independent living skills, budgeting, finding a home, making friends, parenting and family planning, and delinquency prevention;
 - viii. The OHA Young Adult Service Delivery Team or its designee shall provide direction to Provider regarding Services to be delivered to the youth or young adult; and
 - ix. Secured transportation services under the “Service Description” section for MHS 26 Services will be approved by OHA on a case by case basis.
- (b) Required non-JPSRB Services that are not otherwise covered by another resource will be funded at the Medicaid Fee Schedule rate as a basis for disbursement purposes. Disbursements will be made by invoice in accordance with the “Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures” section below. Approved Services may include one or more of the following:
- i. Additional staffing;
 - ii. Transportation;
 - iii. Interpreter services;
 - iv. Medical services and medications;
 - v. Rental assistance, room and board, and personal incidental funds; or
 - vi. Non-medically approved services including, but not limited to, assessment, evaluation, outpatient treatment, and polygraph.

(3) Reporting Requirements

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly reports, no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

There shall be a report from each Young Adult Residential Treatment Program providing Services under this Agreement within the County (or one report that breaks out each separate entity) for data subject to that specific quarter. Each report shall include the following components:

- (a) Number admitted;
- (b) Number discharged;
- (c) Demographic information for discharges;
- (d) Program Strengths;
- (e) Program Challenges; and
- (f) Success Story.

In addition, all programs for which financial assistance is awarded through this Agreement shall administer the Adult Hope Scale, located at <https://ppc.sas.upenn.edu/sites/default/files/hopescale.pdf>, to each Individual and include the results on the quarterly report. Counties providing both MHS 26 and MHS 27 Services need only provide one report for both Services.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Confirmation language, Section 1.f.(2). In addition:

County understands and agrees that funding under Part A or Part C may be reduced by Agreement amendment to the extent Counties billings under MMIS for Part B funding exceed the allocated total aggregated budget as set for in Exhibit C, "Financial Assistance Award."

aa. Service Name: **RESIDENTIAL MENTAL HEALTH TREATMENT**
SERVICES FOR YOUTH & YOUNG ADULTS IN
TRANSITION

Service ID Code: **MHS 27**

(1) Service Description

- (a)** Residential Mental Health Treatment Services for Youth & Young Adults in Transition (MHS 27 Services) are mental health Services delivered to Individuals 17 through 24 years of age in a group residential setting to enable the Individual to acquire sufficient stability and connectivity to the community to enable them to live as independently as they choose. These are Individuals who are under the jurisdiction of the Juvenile Panel of the Psychiatric Security Review Board (JPSRB) or are considered Young Adults in Transition (YAT), and are transitioning from an institutional setting, or in need of a structured and supportive transitional living environment. This includes Individuals without insurance or those who are under-insured. Programs are expected to maximize this funding to enhance an Individuals' likelihood of living independently in the community through the provision of the Services listed in MHS 27. Services shall be trauma informed, culturally and linguistically responsive and work to reduce the impacts of adverse childhood and traumatic experiences.
- (b)** Individuals eligible for these Services are those that the OHA's Young Adult Coordinator or designee determines are unable to live independently at the time of the referral, without supervised intervention, training, or support.
- (c)** Services are delivered on a 24-hour basis to Individuals with mental or emotional disorders who have been hospitalized or are at immediate risk of hospitalization, who need continuing services to avoid hospitalization, or who are a danger to themselves or others, or who otherwise require transitional care to remain in the community.
- (d)** These Services have no timeline. It is expected that they will be used to help the Individual connect to ongoing, longer-term supports, meet their needs and goals, and support them in moving toward a positive life trajectory.
- (e)** It is preferable that the peer support specialist and the clinical staff meet with the Individual together during the initial contact, or soon thereafter. Contacts should be as frequent as is necessary for the goals of the project to occur, but no less than twice per week.
- (f)** MHS 27 Services shall be delivered in appropriately licensed and certified programs or facilities and include, but are not limited to, the following:
 - i.** Crisis stabilization services, such as accessing psychiatric, medical, or qualified professional intervention to protect the health and safety of the Individual and others;
 - ii.** Timely, appropriate access to crisis intervention to prevent or reduce acute, emotional distress, which might necessitate psychiatric hospitalization;
 - iii.** Money and household management;

- iv. Supervision of daily living activities such as skill development focused on nutrition, personal hygiene, clothing care and grooming, and communication skills for social, health care, and community resources interactions;
- v. Provision of care including the assumption of responsibility for the safety and well-being of the Individual;
- vi. Administration, supervision, and monitoring of prescribed and non-prescribed medication and client education on medication awareness;
- vii. Provision or arrangement of routine and emergency transportation;
- viii. Developing skills to self-manage emotions;
- ix. Management of a diet, prescribed by a physician, requiring extra effort or expense in preparation of food;
- x. Management of physical or health problems including, but not limited to, diabetes and eating disorders;
- xi. Skills training;
- xii. Mentoring, peer delivered services, and peer support services;
- xiii. Positive use of leisure time and recreational activities;
- xiv. Supported education;
- xv. Supported employment;
- xvi. Occupational therapy; and
- xvii. Recreation.

(2) Performance Requirements

- (a) Services to Individuals through 24 years of age under the jurisdiction of the JPSPRB or are considered Youth & Young Adults in Transition shall be delivered with the least possible disruption to positive relationships and shall incorporate the following principles and practices:
 - i. The rapport between professional and Individual will be given as much of an emphasis in Service planning as other case management approaches;
 - ii. Services will be coordinated with applicable adjunct programs serving both children and adults so as to facilitate smoother transitions and improved integration of Services and supports across both adolescent and adult systems;
 - iii. When Individuals identify as members of an Oregon Tribe or as American Indians/Alaskan Natives (AI/AN), services will be culturally responsive and coordinated with their Tribe or the Urban Indian Health Program.
 - iv. Services will be engaging and relevant to Youth & Young Adults in Transition;

- v. Services will accommodate the critical role of peers and friends;
 - vi. The individual service and support plan will include a safety component to require that identity development challenges and boundary issues are not cause for discontinuing Service;
 - vii. The individual service and support plan will include a specific section addressing Services and supports unique to the developmental progress of Youth & Young Adults in Transition, including school completion, employment, independent living skills, budgeting, finding a home, making friends, parenting and family planning, and delinquency prevention; and
 - viii. Staff working in the programs must have training in suicide prevention and intervention strategies and Trauma Informed Care and be provided with ongoing maintenance of the skills and practice associated with these approaches.
- (b) Services to Individuals under the jurisdiction of the JPSRB shall be delivered in support of the conditional release plan as set forward by the JPSRB Board.
 - (c) Providers of MHS 27 Services funded through this Agreement shall comply with OAR 309-035-0100 through 309-035-0225, as such rule may be revised from time to time.
 - (d) Providers of MHS 27 Services funded through this Agreement shall maintain a Certificate of Approval in accordance with OAR 309-008-0100 through 309-008-1600.

(3) Reporting Requirements

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

- (a) County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, the following written reports using forms and procedures prescribed on OHA's website located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
 - i. A quarterly report that includes the following elements per each Young Adult Residential Treatment Program per subject quarter:
 - A. Number admitted;
 - B. Number discharged;
 - C. Demographic information for discharges;
 - D. Program strengths;
 - E. Program challenges; and
 - F. Success story.
 - ii. In addition, all programs shall administer the Adult Hope Scale, located at <https://ppc.sas.upenn.edu/sites/default/files/hopescale.pdf>, as an outcome measurement tool.

(5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures**

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Settlement language, Section 1.f.(1). In addition:

County understands and agrees that funding under Part A or Part C may be reduced by Agreement amendment to the extent County’s billings under MMIS for Part B payments exceed the allocated total aggregated budget as set for in Exhibit C, “Financial Assistance Award.”

bb. Service Name: INPATIENT OR RESIDENTIAL TREATMENT SERVICES

Service ID Code: MHS 28

(1) Service Description

Inpatient or Residential Treatment Services are delivered to Individuals with a mental or emotional disturbance who require treatment on an inpatient or residential basis (24 hours, 7 days a week) in a hospital, Secure Residential Treatment Facility (STRF), Residential Treatment Facility (RTF), or Residential Treatment Home (RTH).

The specific MHS 28 Services delivered to an Individual are determined based upon an assessment of treatment needs that is person-centered, and the development of a treatment service plan that is individualized to promote stabilization, skill-building, and preparation to be living in a more integrated community.

The goal of MHS 28 Services is to divert Individuals from involuntary civil or forensic commitment, provide Services in community-based settings outside of the state hospital, community hospitals or other secure settings, and to support Individuals in their progress towards stabilization and community-based outpatient Services.

(2) Performance Requirements

(a) Eligibility for Services

- i.** County shall provide MHS 28 Services to Individuals, who are 18 years or older, and who:
 - A.** Are found guilty except for insanity of a criminal offense under ORS 161.327 or ORS 161.328;
 - B.** Are committed as extremely dangerous persons with qualifying mental disorders under ORS 426.701, or recommitted under ORS 426.702;
 - C.** Are found by a court to lack the fitness to proceed under ORS 161.370 and are not being held in custody at the state hospital or a correctional facility;
 - D.** Are not currently under a guilty except for insanity or extremely dangerous judgment, but have been:
 - I.** Found guilty except for insanity under ORS 161.327 or 161.328 more than once, civilly committed as extremely dangerous persons under ORS 426.701 more than once, or recommitted as extremely dangerous persons under ORS 426.702; and
 - II.** Require continuing Services to prevent involuntary forensic commitments, extremely dangerous civil commitments, hospitalization, and posing a danger to themselves or others; or
 - III.** Require continuing Services to maintain stability and learn skills needed to be placed in a more integrated community setting;

- E. Had their guilty except for insanity term discharged early or terminated by operation of law within the past 12 months; and
- F. Had their extremely dangerous civil commitment or recommitment end within the past 12 months.
- ii. County may provide MHS 28 Services to Individuals, who are 18 years or older, and who:
 - A. Are under a guardianship order under ORS 125.305; or
 - B. Have a mental or emotional disturbance and require inpatient or residential treatment.

(b) General Requirements

When providing Services under this Service Element to Individuals described in Subsection a.(2)(a) of MHS 28, County shall:

- i. Comply with all applicable statutes and rules, including but not limited to ORS chapters 426 and 430 generally, ORS 430.630(3)-(4), ORS 426.241(5), and OAR chapter 309, divisions 8, 14, 15, 19, 32, 33, 35, and 88 which may be revised from time to time;
- ii. Ensure that the County, to the extent it provides direct Services, or its Providers (including but not limited to community hospitals, residential treatment facilities, residential homes or other providers):
 - A. Comply with all applicable statutes and administrative rules, including but not limited to OAR 309-015-0000 through 309-015-0060 and OAR 309-035-0100 through 309-035-0225, as such rules may be revised from time to time; and
 - B. Comply with and maintain any certifications or licenses required to operate or provide Services under MHS 28.
- iii. Assist all eligible and interested Individuals with applying for public assistance, medical assistance, and any other state or federal benefits that the individual may be eligible for now or upon discharge from their current placement;
- iv. Investigate and report allegations of abuse regarding served Individuals and provide protective services to those Individuals to prevent further abuse. The investigation, reporting, and protective services must be completed in compliance with ORS 430.731 through 430.768 and OAR chapter 407, division 45, as such statutes and rules may be revised from time to time;
- v. Provide payment for MHS 28 Services provided to Individuals, who are uninsured, underinsured, not eligible for Medicaid, or have exhausted Medicaid services, including those who meet the criteria for Citizen Alien Waived Medical Program;
- vi. Coordinate with any third-party payors, including but not limited to coordinated care organizations, for the payment of MHS 28 Services covered for the Individual under private insurance or through public or medical assistance programs; and

- vii. Contract with Providers to meet the MHS 28 Services needs of eligible Individuals in the County's service area.

(c) **Placement-Related Service Requirements**

For Individuals described in Subsection a.(2)(a) of MHS 28, County shall:

- i. Develop a treatment service plan for Individual in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs, preferences, choices and strengths;
- ii. Identify an appropriate Provider that is able to meet the Individual's behavioral health needs and willing to provide that care, treatment and Services to the Individual;
- iii. Ensure MHS 28 Services are provided in the least restrictive and most integrated setting appropriate to meet the Individual's behavioral health needs;
- iv. Divert the Individual from placement at a state hospital, community hospital or secure residential treatment facility, whenever possible;
- v. Obtain any necessary approvals from the Provider to allow admission, if it is a residential or state hospital placement;
- vi. Continue to send referrals to Providers until the Individual is placed at or is receiving appropriate Services;
- vii. Monitor the Individual's progress in their treatment service plan and current placement, identify when the Individual may be transferred to a lower level of care, and provide that information to any supervisory authority (e.g., the Psychiatric Security Review Board (PSRB) or court); and
- viii. Ensure discharge planning continues throughout the Individual's placement in a hospital or inpatient/residential placement with the goal of moving the Individual to the lowest level of care that will maintain long term their mental and physical health.

(d) **Behavioral Health Service Requirements**

For Individuals described in Subsection a.(2)(a) of MHS 28 County shall provide:

- i. Care Coordination to facilitate the Individual's access to Services in the least restrictive, most integrated setting appropriate to meet the Individual's behavioral health needs, preferences, choices and strengths, including:
- ii. Facilitate communication between the Individual, family, natural supports, community resources, Providers, DHS (if eligible for APD or I/DD services), and PSRB or courts (if applicable);
- iii. Identify Providers that can provide Behavioral Health Treatment Services consistent with the Individual's treatment service plan, whether it is provided on an inpatient, residential or outpatient basis;
- iv. Organize, facilitate and participate in interdisciplinary team (IDT) meetings with the Individual, Providers, and CCO Care Coordinators (if the Individual is a CCO member);

- v.** Facilitate access to community-based rehabilitative Behavioral Health Treatment Services that are recovery-oriented, culturally responsive, and geographically accessible;
- vi.** Facilitate access to Peer Delivered Services;
- vii.** Serve as the Single Point of Contact (SPOC) for all referrals from OSH to Assertive Community Treatment, as described in OAR 309-019-0225(25) (Definition of SPOC), in ACT Admission Process, as described in OAR 309-019-0248; and
- viii.** Collaborate with the DHS, APD and I/DD Divisions to support the Behavioral Health Treatment Service needs of Individuals determined service-eligible for APD or I/DD;
- ix.** Stabilization, Maintenance and Preventative Services, including:
 - A.** Provide crisis stabilization services, such as accessing psychiatric, medical, or qualified professional intervention to protect the health and safety of the Individual and others;
 - B.** Provide timely, appropriate access to crisis intervention to prevent or reduce acute emotional distress, which might necessitate psychiatric hospitalization;
 - C.** Assist the Individual with money management, when requested by an Individual, to include accurate documentation of all funds deposited and withdrawn when funds are held in trust for the Individual;
 - D.** Assist with or arrange for the supervision of the Individual's daily living activities and life skills (if appropriate), such as training in nutritional wellness, personal hygiene, clothing care and grooming, communication with social skills, health care, household management, and using community resources to support increasing independence and preparation for living in the most integrated community environment;
 - E.** Provide for or arrange for the care of the Individual, including the assumption of responsibility for the safety and well-being of the Individual;
 - F.** If the Individual is placed in an inpatient or residential setting, ensure the Provider is providing a safe environment for the Individual;
 - G.** Provide for or arrange for the administration and supervision of prescribed and non-prescribed medication(s);
 - H.** Provide or arrange for routine and emergency transportation;
 - I.** Provide for or arrange for the management of aggressive or self-destructive behavior;
 - J.** Provide for or arrange for the management of any specialized diet for the Individual, prescribed by a physician, requiring extra effort or expense in preparation of food;

- K.** Provide for or arrange for the management of the Individual's physical or health problems including, but not limited to, seizures, incontinency, diabetes, and pain management;
- x.** Provide financial assistance for behavioral health services, as described in OAR 410-172-0630;
- xi.** Provide financial assistance for individual services and activities, as described in OAR 309-035-0200, including but not limited to the:
 - A.** Provision of adequate shelter;
 - B.** Assistance with acquiring skills to live as independently as possible; and
 - C.** Assistance with accessing other additional services, as needed or as assigned by OHA;
- xii.** Provide a transitional treatment plan for Individuals when they are no longer receiving Services, and when and how to reinitiate services if and when needed;
- xiii.** Provide interpretive services as needed;
- xiv.** Provide notice to the Individual of any admission decisions for residential care in accordance with OAR 309-035-0163(11);
- xv.** Provide any clinical records and contact information to OHA and its designees for oversight and coordination purposes upon request;
- xvi.** Services to Remove Barriers to Community-Based Care when consistent with the Individual's treatment service plan, including, but are not limited to:
 - A.** Room and board payments;
 - B.** Rental assistance, security deposits, and application fees;
 - C.** Utility payments and deposits;
 - D.** Prescription or over-the-counter medications and medical supplies not covered by Medicaid or other sources;
 - E.** Transportation;
 - F.** Activities to facilitate the securing of guardianship Services, including but not limited to:
 - I.** Paying the costs of:
 - A.** Court hearings to determine the necessity, continuation, or termination of a guardianship; and
 - B.** Guardianship Services to make decisions related to overseeing the care and supervision of an Individual; and
 - C.** If guardianship is expected to continue beyond a transitional period of time (6 months or less), then

other payment options should be sought in order to maintain guardianship Services; and

- D.** Activities to facilitate the securing of representative payee services.

(e) Funding-Dependent Performance Requirements

For Individuals described in Subsection a.(2)(b) of MHS 28, County may provide the Services described in Subsection a.(4)-(5) of MHS 28, subject to the requirements in Subsection a.(3) of MHS 28.

(3) Reporting Requirements

Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>. County shall submit electronically, to amhcontract.administrator@dhsosha.state.or.us, an annual accounting report of financial assistance within 45 calendar days from the end of the contract year.

(4) Special Reporting Requirements

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(5) Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures

(a) Payment

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Section 1.f.(2). In addition, use Part A payment language, Section 1.f.(1).

County understands and agrees that funding under Part A or Part C may be reduced by Contract amendment to the extent County’s billings exceed the allocated total aggregated budget as set for in Exhibit C, “Financial Assistance Award.” The Part C awards do not apply to PSRB Individuals, as these Services are covered in the Service Description for MHS 30.

(b) Disbursement of Financial Assistance:

Invoices shall be submitted electronically, to hsd.hsd.contracts@odhsosha.oregon.gov, with the subject line “Invoice, contract #(your contract number), contractor’s name” on an OHA approved invoice, and at the level of detail prescribed by OHA no later than 60 calendar days after the Individual’s last date of Services.

cc. Service Name: **MONITORING, SECURITY, AND SUPERVISION SERVICES
FOR INDIVIDUALS UNDER THE JURISDICTION OF THE ADULT
AND JUVENILE PANELS OF THE PSYCHIATRIC SECURITY
REVIEW BOARD**

Service ID Code: **MHS 30**

(1) Service Description

Monitoring, Security, and Supervision Services for Individuals under the Jurisdiction of the Adult and Juvenile Panels of the Psychiatric Security Review Board (PSRB & JPSRB) (MHS 30 Services). MHS 30 Services are delivered to Individuals who are placed in their identified service area by Order of Evaluation or Conditional Release Order as designated by OHA.

(a) Monitoring Services include:

- i. Assessment and evaluation for the court, and the PSRB or JPSRB of an Individual under consideration for placement on a waiting list or for Conditional Release from the Oregon State Hospital (OSH), a hospital, jail, or facility designated by OHA, to determine if the Individual can be treated in the community, including identification of the specific requirements for the community placement of an Individual;
- ii. Supervision consistent with the requirements of the PSRB or JPSRB Conditional Release Order;
- iii. Coordination with OSH, a hospital, or facility designated by OHA on transition activities related to Conditional Release of an Individual;
- iv. Provide supported housing and intensive case management for identified programs at approved budgeted rates; and
- v. Administrative activities related to the Monitoring Services described above, including but not limited to:
 - A. Reporting of the Individual's compliance with the conditional release requirements, as identified in the order for Conditional Release, as identified in the Order for Conditional Release, through monthly progress notes to the PSRB or JPSRB;
 - B. Providing interim reports for the purpose of communicating current status of an Individual to the PSRB or JPSRB;
 - C. Submitting requests for modifications of Conditional Release Orders to the PSRB or JPSRB;
 - D. Implementing board-approved modifications of Conditional Release Orders;
 - E. Implementing revocations of Conditional Release due to violation(s) of Conditional Release Orders and facilitating readmission to OSH;
 - F. Responding to Law Enforcement Data System (LEDS) notifications as a result of contact by the Individual receiving MHS 30 Services with law enforcement agencies; and

- G.** An annual comprehensive review of supervision and treatment Services to determine if significant modifications to the Conditional Release Order should be requested from the PSRB or JPSRB.

(b) Security and Supervision Services includes:

- i.** Security Services include: Services identified in the PSRB or JPSRB Conditional Release Order, which are not medically approved Services but are required for safety of the Individual and the public, and are covered at a rate based on a determination of the risk and care needs, as identified in the Security Services Matrices below: For additional information about Security and Supervision Services, see PSRB Security Payment Scoring.doc

Security Services Matrix (Community)	Low Risk	Med Risk	High Risk
High Care	Rate 1	Rate 2	Rate 3
Med Care	Rate 2	Rate 3	Rate 4
Low Care	Rate 3	Rate 4	Rate 5

- ii.** Security Services for those residing in a Class 1 or Class 2 Secure Residential Treatment Facility (SRTF): When Forensic risk factors, and risk to the public, create a need to provide an alternative security payment level, the Security Services Payment will be as defined in the table below:

Security Services Matrix	Maintenance Minimal Additional Risk	Medium Additional Risk	High Additional Risk
SRTF (Very High Risk)	SRTF Rate 6	SRTF Rate 7	SRTF Rate 8

- iii.** Supervision Services include approved Services that are not covered by another resource and will be funded at the current Medicaid Fee Schedule rate as a basis for reimbursement purposes. Disbursement will be made by invoice in accordance with the “Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures” section below. Approved Supervision Services may include one or more of the following
- A.** Additional staffing (utilize the Intensive Rate Request process through Rate Review Committee);
 - B.** Transportation;
 - C.** Interpreter services;
 - D.** Medical services and medications;
 - E.** Rental assistance, room and board, and person and incidental funds;
 - F.** Payee;
 - G.** Guardianship (initial and ongoing) costs;
 - H.** To obtain legal identification; and
 - I.** Non-medically approved services including, but not limited to: assessment, evaluation (including evaluations ordered beyond

typical monitoring required by the PSRB), outpatient treatment, and polygraph if such expenses are needed to maintain compliance with the terms of a conditional release and not covered by some other mechanism.

(2) **Performance Requirements**

- (a) Providers of MHS 30 Services funded through this Agreement shall comply with OAR 309-019-0160, as such rule may be revised from time to time.
- (b) Providers of MHS 30 Services funded through this Agreement shall maintain a Certificate of Approval in accordance with OAR 309-008-0100 through OAR 309-008-1600, as such rules may be revised from time to time.

(3) **Reporting Requirements**

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) **Special Reporting Requirements**

Upon request County shall submit one or more of the following to the OHA Contract Administrator for MHS 30 Services:

- (a) Conditional Release Plan or Conditional Release Order;
- (b) Monthly progress notes;
- (c) Incident reports;
- (d) Evaluations and assessments;
- (e) Notifications of Revocation and Order of Revocation;
- (f) Treatment Plans
- (g) Notification of Change of Residence; or
- (h) Any other documentation deemed necessary for monitoring and implementing MHS 30 Services.

(5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements.

Use Payment and Settlement language, Section 1.f.(1).

dd. Service Name: **ENHANCED CARE AND ENHANCED CARE OUTREACH SERVICES**

Service ID Code: **MHS 31**

(1) **Service Description**

Enhanced Care and Enhanced Care Outreach Services (MHS 31) enable an Individual to leave, or avoid placement in, the Oregon State Hospital (OSH). MHS 31 Services are outpatient community mental health and psychiatric rehabilitation Services delivered to Individuals who are Department of Human Services (DHS), Adults and People with Disabilities (APD) service need eligible and who have been diagnosed with a severe mental illness with complex behaviors and require intensive community mental health services for successful integration into the community.

(2) **Performance Requirements**

- (a) Providers of MHS 31 Services funded through this Agreement shall comply with OAR 309-019-0155, as such rule may be revised from time to time.
- (b) Providers of MHS 31 Services funded through this Agreement shall maintain a Certificate of Approval in accordance with OAR 309-008-0100 through 309-008-1600, as such rules may be revised from time to time.
- (c) MHS 31 Services funded through this Agreement may only be delivered to Individuals who satisfy the requirements for receipt of nursing facility or community based care under Medicaid, as specified in OAR 411-015-0000 through 411-015-0100, as such rules may be revised from time to time, and who receive such services in a nursing facility, residential care facility, assisted living facility, or foster home operated by a Provider that has entered into an agreement with and is licensed by DHS's APD Division to provide services to designated individuals. All Individuals shall be evaluated by the Provider and local DHS APD licensed facility staff prior to placement.
- (d) If County wishes to use MHS 31 funds made available through this Agreement for delivery of MHS 31 Services to otherwise eligible Individuals not residing in a DHS APD facility, County shall receive a variance from OHA in accordance with OAR 309-008-1600, as such rules may be revised from time to time.
- (e) County shall notify the OHA ECS Coordinator prior to transition from ECS. County shall also notify the OHA ECS Coordinator within three working days of any change in an Individual's medical or psychiatric condition, which jeopardizes the placement.

(3) **Reporting Requirements**

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) **Special Reporting Requirements**

- (a) County shall submit a Referral Outcome Form within 21 calendar days of receiving a referral to, enhancedcare.team@dhsola.state.or.us.
County prepares and electronically submits to, enhancedcare.team@dhsola.state.or.us,
i. Monthly Enhanced Care Services Census Report;

- ii. CS Data Base Part I; and
 - iii. ECS Data Base Part II.
- (c) County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, the following reports using forms and procedures as prescribed on OHA's website, located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>:
 - i. Monthly Enhanced Care Services Census Report;
 - ii. ECS Data Base Part I; and
 - iii. ECS Data Base Part II.
- (5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, Payment, Settlement, and Confirmation Requirements.

Use Payment and Confirmation language, Section 1.f.(2). In addition:

County understands and agrees that funding under Part A or Part C may be reduced by Contract amendment to the extent County's fillings under MMIS for Part B payments exceed the allocated total aggregated budget as set for in Exhibit C, "Financial Assistance Agreement."

ee. Service Name: **ADULT FOSTER CARE SERVICE**

Service ID Code: **MHS 34**

(1) Service Description

Adult Foster Care Services (MHS 34) are Services delivered to Individuals with chronic or severe mental illness who are in need of further stabilization in a licensed care setting for the potential of transitioning to an *integrated setting*. These Individuals have been hospitalized or are at immediate risk of hospitalization, are in need of continuing Services to avoid hospitalization, or pose a danger to the health and safety of themselves or others, and are unable to live by themselves without supervision. MHS 34 Services are delivered in a family home or facility with five or fewer Individuals receiving MHS 34 Services. The purpose of MHS 34 Services is to maintain the Individual at his or her maximum level of functioning or to improve the Individual's skills to the extent that he or she may live more independently.

Integrated setting was recently explained in a publication by the Department of Justice¹, dated June 22, 2011, as follows:

“In the years since the Supreme Court’s decision in *Olmstead v. L.C.*, 527 U.S. 581 (1999), the goal of the integration mandate in title II of the Americans with Disabilities Act [is] to provide individuals with disabilities opportunities to live their lives like individuals without disabilities.”

“By contrast, segregated settings often have qualities of an institutional nature. Segregated settings include, but are not limited to: (1) congregate settings populated exclusively or primarily with individuals with disabilities; (2) congregate settings characterized by regimentation in daily activities, lack of privacy or autonomy, policies limiting visitors, or limits on individuals’ ability to engage freely in community activities and to manage their own activities of daily living; or (3) settings that provide for daytime activities primarily with other individuals with disabilities.”

The expectation for individuals living in Adult Foster Care Services is to stabilize and transition to a non-licensed, integrated setting. Perpetual living at this level of care is not warranted and can only continue with the ongoing approval by OHA’s Independent Qualified Agent (IQA) in determining this specific Level of Care (LOC).

All stays in Adult Foster Care Services shall include activities to integrate the individual into the community based on individual goals and desires, and should not be limited to foster home group activities.

MHS 34 Services include, but are not limited to, the following:

- (a) Crisis stabilization services such as accessing psychiatric, medical, or qualified professional intervention to protect the health and safety of the individual and others;
- (b) Timely, appropriate access to crisis intervention to prevent or reduce acute, emotional distress, which might necessitate psychiatric hospitalization;
- (c) Management of personal money and expenses;

¹ https://www.ada.gov/olmstead/q&a_olmstead.htm
 PO-44300-0026019/lob
 CY 2024-2025 CFAA (GT# 443462-GT2990-23)

- (d) Supervision of daily living activities and life skills, such as training in nutritional wellness, personal hygiene, clothing care and grooming, communication with social skills, health care, household management, and using community resources to support increasing independence and preparation for living in the most integrated living environment;
- (e) Provision of care including assuming the responsibility for the safety and well-being of the individual;
- (f) Administration and supervision of prescribed and non-prescribed medication;
- (g) Provision of or arrangement for routine medical and emergency transportation;
- (h) Management of aggressive or self-destructive behavior;
- (i) Management of a diet, prescribed by a physician, requiring extra effort or expense in preparation of food; and
- (j) Management of physical or health problems including, but not limited to, seizures, incontinency, diabetes, and pain management.

(2) **Performance Requirements**

- (a) Providers of Foster Care MHS 34 Services funded through this Agreement shall comply with OAR 309-040-0300 through 309-040-0455, as such rules may be revised from time to time.
- (b) Prior to commencement of Foster Care MHS 34 Services, County shall develop and submit to OHA, for OHA's review and approval, a personal care plan for the Individual. After commencement of Foster Care MHS 34 Services, County shall require that the Provider of the MHS 34 Services delivers the Services to the Individual in accordance with the Individual's personal care plan. County shall complete a new personal care plan at least annually for each Individual receiving MHS 34 Services funded through this Agreement and revise as necessary.
- (c) County shall assist OHA's function of licensing and certifying homes providing Foster Care MHS 34 Services funded through this Agreement by performing the following tasks within the timelines required by OAR 309-040-0300 through 309-040-0455, as such rules may be revised from time to time:
 - i. For new licenses and certifications: County shall assist with inspection of the homes, and completion and submission to OHA of the following, as prescribed by OHA:
 - A. Foster Home License or Certification Application;
 - B. Foster Home Inspection Form;
 - C. Criminal History Check;
 - D. A letter of support in the form and substance attached as Attachment #1, and
 - E. Any other information necessary for licensing or certifying the residences.
 - ii. For renewal of existing licenses and certifications: County shall assist OHA with the completion and submission to OHA of a letter of support in the form and substance attached as Attachment #1, and with inspection of the

homes and completion and submission to OHA of the Foster Home License/Certification Evaluation Forms; and

- iii. County shall assist currently licensed and potential new foster homes providing MHS 34 Services to meet statutory requirements for training and testing by:

- A. Maintaining and distributing copies of OHA's "Basic Training Course and Self-Study Manual" and associated video tapes; and
- B. Making test site(s) available, administering tests provided by OHA, and mailing completed tests promptly to OHA for scoring.

OHA will make the final determination on issuance and renewal of licenses and certifications, based on information submitted by County as required above.

(3) **Reporting Requirements**

See Exhibit #, 10. , "Reporting Requirements for MOTS."

(4) **Special Reporting Requirements**

None

(5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures**

See Exhibit D, "Payment, Settlement, and Confirmation Requirements."

Use Payment and Settlement language, Section 1.f.(1). In addition:

County understands and agrees that funding under Part A or Part C may be reduced by Agreement amendment to the extent County's billings under MMIS for Part B payments exceed the allocated total aggregated budget as set for in Exhibit C, "Financial Assistance Award;"

ATTACHMENT #1

Health Systems Division
500 Summer Street NE E-86
Salem, OR 97301

Dear HSD Licensing and Certification Unit Manager,

Pursuant to OAR 309-040-0315 (3)(e), I am submitting this letter of support on behalf of [name of CMHP], an authorized designee of the Local Mental Health Authority in [County].

At this time, [name of CMHP] is in support of the operation of [name of AFH] AFH located at [full address of AFH] under the following conditions:

- The provider maintains substantial compliance with all regulations that govern the licensure and safe operation of AFHs.
- The provider ensures the delivery at all times adequate room and board, food, safety and sanitation oversight, compliance with building and maintenance requirements, supervision, and care to vulnerable adults with mental, emotional, or behavioral disorders who reside at the AFH by qualified and approved providers, resident managers, staff, and volunteers.
- The provider timely submits incident reports to the CMHP in accordance with applicable ORS' and OARs.
- The provider complies with any additional requirements or conditions set forth by the Health Systems Division, Oregon Health Authority.

[name of CMHP] will immediately notify HSD when it changes its level of support for the continued operation of or adjusted placement referral decisions associated with [name of AFH] AFH.

[name of CMHP] will immediately notify HSD in writing if CMHP staff become aware of or observe any violations to regulations that govern the health, safety, and welfare of residents who reside at the home.

[name of CMHP] will provide a detailed written summary to HSD (and to the Office of Training, Investigations, and Safety, *formerly OAAP*) if CMHP staff become aware of or observe any medication errors, inadequate or unsafe physical conditions of the home, unauthorized persons living or sleeping in the home, failure by the AFH provider to timely submit incident reports, suspected abuse or neglect to residents, crimes committed on the property, or in any other situation that jeopardizes the health, safety, and welfare of vulnerable adults who live in and receive services in the home.

Name of the LMHA representative or designee who is signing this letter of support: [name]

Full title of the LMHA representative or designee who is signing this letter of support: [title]

Email of the LMHA representative or designee who is signing this letter of support: [email]

Signature of the CMHP Director or designee
PO-44300-0026019/lob
CY 2024-2025 CFAA (GT# 443462-GT2990-23)

Date of signature
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DOJ Approval 12/21/2023

ff. Service Name: **OLDER OR DISABLED ADULT MENTAL HEALTH SERVICES**

Service ID Code: **MHS 35**

(1) Service Description

Older or Disabled Adult Mental Health Services (MHS 35 Services) are:

- (a) If Specialized Service requirement MHS 35A applies, specialized geriatric mental health Services delivered to older or disabled adults with mental illness, as such Services are further described in the Specialized Service requirement MHS 35A; or
- (b) If Specialized Service requirement MHS 35B applies, residential Services delivered to older or disabled Individuals with serious and persistent mental illness, as such Services are further described in the Specialized Service requirement MHS 35B.

(2) Performance Requirements

- (a) Funds awarded for MHS 35 Services on lines in Exhibit C, “Financial Assistance Award,” containing “35A” in column “Part IV” may only be expended on MHS 35 Services as described in the Specialized Service requirement MHS 35A.
- (b) Funds awarded for MHS 35 Services on lines in Exhibit C, “Financial Assistance Award,” containing “35B” in column “Part IV” may only be expended on MHS 35 Services as described in the Specialized Service requirement MHS 35B.

(3) Reporting Requirements

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly summary financial and program narrative reports on the delivery of Older or Disabled Adult Mental Health Services, no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement, that are subject to Specialized Service requirements 35A and 35B. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Procedures

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Section 1.f.(2). In addition:

Upon amendment to the Financial Assistance Award, OHA shall adjust monthly allotments as necessary to reflect changes in the funds shown for Specialized Service requirement MHS 35A Services and Specialized Service requirement MHS 35B Services provided under that line of the Financial Assistance Award.

gg. **Service Name:** **PRE-ADMISSION SCREENING AND RESIDENT REVIEW SERVICES (PASRR)**

Service ID Code: **MHS 36**

(1) Service Description

- (a)** Pre-admission Screening and Resident Review Services (MHS 36 Services) are evaluation services delivered to Individuals who are entering a nursing facility where a PASRR level I screen has indicated that they have a serious and persistent mental illness (SPMI), regardless of insurance type or lack of health insurance, or are residing in a nursing home. Eligible populations served are: Medicaid, those uninsured, underinsured, or have exhausted Medicaid Services, Citizen/Alien-Waived Emergent Medical, Medicare, Private Insurance, or Private Pay.
 - i.** Referred for placement in Medicaid-certified long-term care nursing facilities if they are exhibiting symptoms of a serious persistent mental illness; or
 - ii.** Residing in Medicaid-certified long-term care nursing facilities and experiencing a significant change in mental health status.
- (b)** Pre-admission Screening and Resident Review Services must determine if:
 - i.** Individuals have a serious and persistent mental illness, as defined in OAR 309-036-0105(36); and
 - ii.** If those determined to have a serious and persistent mental illness are appropriately placed in a nursing facility or need inpatient psychiatric hospitalization.

(2) Performance Requirements

- (a)** County shall comply with the Nursing Home Reform Act, under the Omnibus Budget Reconciliation Act of 1987 (OBRA 1987), as amended by OBRA 1990, including but not limited to 42 U.S.C. 1396r(e)(7) and OAR 411-070-0043 through 411-070-0045, as such laws and rules may be revised from time to time. County shall maintain a Certificate of Approval in accordance with OAR 309-008-0100 through OAR 309-008-1600, as such rules may be revised from time to time.
- (b)** County shall require that all Individuals referred for MHS 36 Services by licensed nursing facilities receive MHS 36 Services review and evaluation.
- (c)** All MHS 36 Services paid for through this Agreement must be delivered by a Qualified Mental Health Professional (as defined in OAR 309-039-0510 (10)) or a Licensed Medical Practitioner (as defined in OAR 309-019-0105(92)).

(3) Reporting Requirements

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written forms HSD 0438 and HSD 0440, no later than 21 calendar days following each review for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Procedures**

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Section 1.f.(2). In addition:

County understands and agrees that funding under Part C may be reduced by Agreement amendment to the extent County’s billings under MMIS for Part B payments exceed the allocated total aggregated budget as set forth in Exhibit C, “Financial Assistance Award.”

hh. Service Name: **START-UP**

Service ID Code: **MHS 37**

(1) **Service Description**

The funds awarded for MHS 37 – Start-Up must be used for Start-Up activities as described in a special condition in Exhibit C, “Financial Assistance Award,” and Exhibit K, “Start-Up Procedures.” For purposes of this special project description, Start-Up activities are activities necessary to begin, expand, or improve mental health services. These expenses are distinct from routine operating expenses incurred in the course of providing ongoing services. Notwithstanding the description of the Start-Up activities in a special condition, funds awarded for MHS 37 may not be used for real property improvements of \$10,000 and above. When OHA funds in the amount of \$10,000 and above are to be used for purchase or renovation of real property, County shall contact the Social Determinants of Health (SDOH) Unit of OHA and follow the procedures as prescribed by that unit.

MHS 37 funds are typically disbursed prior to initiation of services and are used to cover approved, allowable Start-Up expenditures, as described in Exhibit K, that will be needed to provide the services planned and delivered at the specified site(s).

(2) **Performance Requirements**

The funds awarded for MHS 37 must be expended only in accordance with Exhibit K, “Start-Up Procedures,” which is incorporated herein by this reference.

(3) **Reporting Requirements**

None

(4) **Special Reporting Requirements**

Using the OHA prescribed “Start-Up Request & Expenditure Form,” the County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, a request for disbursement of allowable Start-Up funds as identified in a special condition in a particular line of Exhibit C, “Financial Assistance Award.” The reports must be prepared in accordance with forms prescribed by OHA and the procedures described in Exhibit K, “Start-Up Procedures.” Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

(5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures**

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Settlement language, Section 1.f.(1).

ii. **Service Name:** **SUPPORTED EMPLOYMENT SERVICES**

Service ID Code: **MHS 38**

(1) Service Description

- (a) Provide Individual Placement and Support (IPS) Supported Employment Services (MHS 38 Services) consistent with the Dartmouth IPS Supported Employment Fidelity Model. The IPS Fidelity Manual, published by Dartmouth Psychiatric Research Center, incorporated by reference herein, can be found in the IPS Employment Center's Document Library, at:

<https://ipsworks.org/index.php/library/>, or at the following link:
https://ipsworks.org/wp-content/uploads/2017/08/ips-fidelity-manual-3rd-edition_2-4-16.pdf.

(b) Definitions:

- i. **Competitive Integrated Employment** means full-time or part time work: at minimum wage or higher, at a rate that is not less than the customary rate paid by the employer for the same or similar work performed by other employees who are not individuals with disabilities, and who are similarly situated in similar occupations by the same employer and who have similar training, experience, and skill; with eligibility for the level of benefits provided to other employees; at a location where the employee interacts with other persons who are not individuals with disabilities (not including supervisory personnel or individuals who are providing services to such employee) to the same extent that individuals who are not individuals with disabilities and who are in comparable positions interact with other persons; and as appropriate, presents opportunities for advancement that are similar to those for other employees who are not individuals with disabilities and who have similar positions.
- ii. **Division Approved Reviewer** means the Oregon Supported Employment Center of Excellence (OSECE). OSECE is OHA's contracted entity responsible for conducting Supported Employment fidelity reviews, training, and technical assistance to support new and existing Supported Employment Programs statewide.
- iii. **Supported Employment Services** are individualized Services that assist Individuals to obtain and maintain integrated, paid, competitive employment. Supported Employment Services are provided in a manner that seeks to allow Individuals to work the maximum number of hours consistent with their preferences, interests, and abilities and are individually planned, based on person-centered planning principles and evidence-based practices.

(2) Performance Requirements

County shall provide MHS 38 Services in a manner that is consistent with fidelity standards established in OAR 309-019-00270 through 309-019-0295 and is consistent with County's Local Plan as per ORS 430.630. If County lacks qualified Providers to deliver MHS 38 Services, County shall implement a plan, in consultation with their respective CCO and OHA, to develop a qualified Provider network for Individuals to access MHS 38

Services. MHS 38 Services must be provided by Providers meeting Supported Employment fidelity scale standards.

(3) Reporting Requirements

See Exhibit E, 10., “Reporting Requirements for MOTS.”

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly summary reports on the delivery of MHS 38 Services no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at

<http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>

- (a)** A Provider delivering MHS 38 Services with funds provided through this Agreement may not use funds to deliver covered Services to any individual known to be enrolled in the Oregon Health Plan at the time Services are delivered.
- (b)** Quarterly reports shall include, but are not limited to:
 - i.** Individuals with Serious and Persistent Mental Illness (SPMI) who receive MHS 38 Services and are employed in Competitive Integrated Employment, as defined above;
 - ii.** Individuals with SPMI who no longer receive MHS 38 Services and are employed in competitive integrated employment without currently receiving supportive services from a supported employment specialist; and
 - iii.** Individuals with SPMI who received MHS 38 Services as part of an Assertive Community Treatment (ACT) Program.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Settlement language, Section 1.f.(2).

jj. Service Name: PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH) SERVICES

Service ID Code: MHS 39

(1) Service Description

The goal of the Projects for Assistance in Transition from Homelessness (PATH) Services program is to reduce or eliminate homelessness for Individuals with Serious Mental Illness (SMI), as defined in OAR 309-036-0105(10), who experience homelessness or are at imminent risk of becoming homeless. Individuals may also have a co-occurring Substance Use Disorder (SUD).

PATH funds are used to provide a menu of allowable Services, prioritizing street outreach, case management, and Services which are not supported by mainstream Mental Health programs. Through its Services, PATH links a vulnerable population who experience persistent and pervasive health disparities to mainstream and other supportive Services. Collectively these efforts help Individuals with SMI experiencing homelessness secure safe and stable housing, improve their health, and live a self-directed, purposeful life.+

Eligible Services, not otherwise covered by another resource, are as follows:

- (a) Outreach services including prioritization of those with serious mental illness who are veterans and experiencing homelessness or in danger of becoming homeless;
- (b) Screening and diagnostic treatment services;
- (c) Habilitation and rehabilitation services;
- (d) Community mental health services including recovery support services (e.g. peer specialist/recovery coaches);
- (e) Alcohol and drug treatment services;
- (f) Staff training, including the training of individuals who work in shelters, mental health clinics, substance abuse programs, and other sites where Individuals who are homeless require Services;
- (g) Case management services, including:
 - i. Preparing a plan for the provision of community mental health and other supportive services to eligible Individuals experiencing homelessness and reviewing such plan not less than once every three months;
 - ii. Providing assistance in obtaining and coordinating social and maintenance services for eligible Individuals who experience homelessness, including services relating to daily living activities, peer support, personal financial planning, transportation services, habilitation and rehabilitation services, prevocational and vocational training, and housing;
 - iii. Providing assistance to eligible Individuals who experience homelessness in obtaining income support services, including housing assistance, Supplemental Nutrition Assistance Program (SNAP), and supplemental securing income benefits;
 - iv. Referring eligible Individuals who experience homelessness for such other services as may be appropriate; and

- v. Providing representative payee services in accordance with Section 1631(a)(2) of the Social Security Act if the eligible Individuals who experience homelessness are receiving aid under title XVI of such Act and if the applicant is designated by the Secretary of the Social Security Administration to provide such services.
- (h) Supportive and supervisory services in residential settings including shelters, group homes, supported apartments and other residential settings specifically serving those living with serious mental illness or co-occurring disorders;
- (i) Referrals for primary health services, job training, educational services, and relevant housing services; and including use of peer providers to help to assure that these services are successfully accessed by individuals who experience homelessness with serious mental illness(es) and co-occurring disorders; and
- (j) Housing services as specified in Section 522(b)(10) of the PHS Act as amended (U.S.C. § 290cc-22(b)), including:
 - i. Minor renovation, expansion, and repair of housing;
 - ii. Planning of housing;
 - iii. Technical assistance in applying for housing assistance;
 - iv. Improving the coordination of housing services;
 - v. Security deposits;
 - vi. Costs associated with matching eligible Individuals who experience homelessness with appropriate housing situations; and
 - vii. One-time rental payments to prevent eviction.

No more than 20% of PATH funds allocated through MHS 39 shall be expended for housing services.

(2) Performance Requirements

Providers of MHS 39 Services funded through this Agreement shall comply with OAR 309-032-0301 through 309-032-0351, as such rules may be revised from time to time.

Services provided must be eligible services in accordance with 42 U.S.C. § 290cc-22(b).

Providers of MHS 39 Services funded through this Agreement shall:

- (a) Use third party and other revenue realized from provision of Services to the extent possible;
- (b) Implement policies and procedures to prioritize use of other available funding sources for PATH Services;
- (c) Assist PATH-eligible Individuals in applying for benefits for which they may be eligible for or entitled to, including but not limited to:
 - i. Social Security Insurance (SSI)/Social Security Disability Insurance (SSDI) or other financial assistance;
 - ii. Medicaid or Medicare;
 - iii. Veterans Administration Benefits; and
 - iv. SNAP.

- (d) Assist OHA, upon request, in the development of an annual application requesting continued funding for MHS 39 Services, including the development of a budget and an Intended Use Plan for PATH funds consistent with the requirement set forth in Funding Opportunity Announcement; and
- (e) Provide, at a minimum, the following:
 - i. Meet or exceed the current Government Performance and Results Act (GPRA) Measures posted to the PATH Data Exchange website <https://pathpdx.samhsa.gov/> for the following measures:
 - A. Percentage of enrolled individuals who experience homelessness in the PATH program who receive community mental health services;
 - B. Number of homeless individuals who experience homelessness contacted;
 - C. Percentage of contacted individuals who experience homelessness with serious mental illness who experience homelessness and become enrolled in services; and
 - D. Number of PATH providers trained on SSI/SSDI Outreach, Access, and Recovery (SOAR) to ensure eligible homeless individuals are receiving benefits.
 - ii. Active participation in the local Continuum of Care;
 - iii. Attendance at semi-annual PATH Provider meetings;
 - iv. Attendance at PATH Technical Assistance trainings as requested by OHA;
 - v. Development of an annual PATH Intended Use Plan including a line-item budget and budget narrative using forms and templates provided by OHA;
 - vi. Participation in annual PATH program site reviews conducted by OHA; and
 - vii. Participation in federal site reviews as needed or requested by OHA.
- (f) All Individuals receiving MHS 39 Services provided through this Agreement shall be enrolled and that Individual's record maintained in the Homeless Management Information Systems (HMIS).
- (g) Service Providers who are recipients of MHS 39 funds must match, directly or through donations from public or private entities, MHS 39 funds in an amount that is not less than \$1 of non-federal funds for each \$3 of federal PATH funds allocated through MHS 39.
 - i. Non-federal contributions required may be in cash or in-kind, fairly evaluated, including plant, equipment, or services.
 - ii. Funding provided by the federal government, or services assisted or subsidized to any significant extent by the federal government, shall not be included in non-federal contributions.

(3) Reporting Requirements

See Exhibit E, 10., "Reporting Requirements for MOTS."

(4) Special Reporting Requirements

County shall prepare and electronically submit, to hsd.contracts@odhsoha.oregon.gov, written quarterly and annual progress and financial reports on the delivery of PATH Services, no later than 45 calendar days after the end of each subject quarter or year for which financial assistance is awarded through this Agreement. Quarterly and Annual Progress Reports must be completed and submitted at the PATH Data Exchange website. Financial Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Quarterly written reports documenting PATH eligible financial expenditures shall be electronically submitted to hsd.contracts@odhsoha.oregon.gov.

Quarterly and Annual Progress Reports documenting actual utilization and demographic data submitted through the PATH Data Exchange – Learning website at <https://pathpdx-learning.samhsa.gov/>.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures**

See Exhibit D, “Payment, Settlement, and Confirmation Requirements.”

Use Payment and Confirmation language, Section 1.f.(2).

**2024-2025 Intergovernmental AGREEMENT
FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

**EXHIBIT B-2
SPECIALIZED SERVICE REQUIREMENTS**

Not all Services described in Exhibit B-2 may be covered in whole or in part with financial assistance pursuant to Exhibit C, "Financial Assistance Award," of this Agreement. Only Services in which costs are covered in whole or in part with financial assistance pursuant to Exhibit C, "Financial Assistance Award," as amended from time to time, are subject to this Agreement.

a. Service Name: **PEER DELIVERED SERVICES (PDS)**
 Service ID Code: **MHS 16**

Specialized Service: **VETERANS**
 Exhibit B-2 Code: **16A**

(1) **Service Description** (exceeding Section 1, MHS 16)
 County shall:

- (a) Hire, train, and supervise Peer Support Specialists (PSS) or Peer Wellness Specialists (PWS) with significant prior or current military experience;
- (b) Require that PSS or PWS acquire and maintain certification with the Oregon Health Authority, Traditional Health Worker registry, including those who identify as military veterans with current behavioral health needs;
- (c) Provide PDS in a culturally competent manner as defined in OAR 410-180-0300 through 410-180-0380 to Individuals who identify as military veterans with behavioral health needs. Activities may include, but are not limited to:
 - i. 1:1 peer support;
 - ii. Systems navigation;
 - iii. Facilitation of support and education groups;
 - iv. Outreach; and
 - v. Community education.
- (d) Provide program participants with funds or material supports needed to eliminate barriers to accessing health care services which will improve the veteran's behavioral health, support treatment plans, or support the veteran's recovery, or community engagement; and
- (e) Engage and serve a minimum of 25 veterans annually.

(2) **Performance Requirements** (exceeding Section 2, MHS 16)
 None

(3) **Reporting Requirements**
None

(4) **Special Reporting Requirements** (exceeding Section 3, MHS 16)

Prepare and electronically submit to hds.contracts@odhsoha.oregon.gov quarterly reports no later than 45 calendar days following the end of each subject quarter during the period for which financial assistance is awarded through this Agreement. Reports must be prepared using forms and procedures prescribed by OHA. Forms are located at <https://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

- (a) The following information shall be provided for each report:
 - i. Number of veterans served annually on a regular basis as shown by being enrolled in peer services, and making use of peer supports on a weekly basis;
 - ii. Number of veterans offered the pre and post survey supplied by OHA;

- iii. Number of veterans completing the pre and post survey;
 - iv. Survey responses for all completed surveys; and
 - v. Narrative description of program progress, successes, and barriers.
- (b) The following is an optional item to report:
Recommendations for programs in the future which may seek to build on and scale this pilot model.

(5) Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures (exceeding Section 4, MHS 16)

None

a. Service Name: **MOBILE CRISIS INTERVENTION SERVICES**
 Service ID Code: **MHS 25A**

Specialized Service: **STABILIZATION SERVICES FOR CHILDREN AND THEIR FAMILIES**

Exhibit B-2 Code: **MHS 25A**

(1) Service Description (exceeding Section 1, MHS 25)

County, through its Community Mental Health Programs (CMHP), shall require that stabilization services are available for eligible children (Birth through 20 years of age) and their families for up to 56 calendar days, following the initial crisis response, in accordance with OAR 309-072-0100 to 309-072-0160. This model of care is based on a national crisis response model for children, and known as Mobile Response and Stabilization Services (MRSS). Stabilization services are outlined in MHS 25A and are required. MHS 25A will replace the former MHS 08 Crisis and Transition Services (CATS).

When a provider responds to a child and family in crisis, they will work in partnership with the child and their families, to de-escalate the current crisis and connect the family to needed resources. In some cases, the provider may determine, in partnership with the child and family, that they may benefit from immediate access to stabilization services and supports to assist the family while waiting for longer term ongoing services to be available.

Stabilization services are meant to be a short-term intervention that provides bridge services that may include brief individual or family therapy, skills training, family and youth peer support services and medication management while also helping the family access the appropriate community-based service and supports.

Each family enrolled is offered rapid access to a Qualified Mental Health Professional (QMHP) and Family Support Specialist who work directly with the child and their family to create a service plan with short term goals and objectives to meet the unique needs of the family, stabilize behavioral health needs; and improve functioning in life domains while establishing and transitioning care to longer term services and supports.

County shall provide stabilization services in accordance with Service Element MHS 25 and OAR 309-072-0160.

(a) Family Support Specialist (FSS) Role and Responsibilities

- i. The County will ensure a FSS is available in accordance with OAR 309-072-0160(2)(k).
- ii. OHA will partner with community stakeholders to create a Family Guide for Stabilization Services. Providers shall require that each family enrolled in services receives a copy of the Family Guide for Stabilization Services starting in March 2024.

(b) Subcontractors

- i. The County is ultimately responsible for making sure that all required service elements and OARs are being met whether directly provided or provided under sub-contractual arrangement.
- ii. County may subcontract with another agency to provide stabilization services.
- iii. Subcontractors are required to have a Certificate of Approval (COA) to provide stabilization services.
- iv. Subcontractors are required to meet all applicable rules under OAR 309-072-0160.
- v. County is required to submit either a copy of the contractual agreement with the subcontractor or an MOU to HSD.Contracts@odhsoha.oregon.gov within 45 calendar days of execution of this contract and must include at a minimum:
 - A. Roles and responsibilities of both the County and subcontractor; and,
 - B. Plan for ongoing communication and coordination of services between County and subcontractors.
- (c) Whenever possible, providers should prioritize key leadership and direct service staff attendance in the monthly Learning Collaborative facilitated by the Oregon Health Authority.

(2) Performance Requirements (exceeding Section 2, MHS 25)

(a) Optional Performance Requirements

- i. County may be eligible for an additional \$10,000 in funding from OHA if County can clearly demonstrate in writing, completion of one of the activities listed below.
- ii. County shall submit written documentation to: HSD.Contracts@odhsoha.oregon.gov prior to Jan. 31, 2024, to be considered for payment.
- iii. Eligible activities include the following:
 - A. 50% of the 2 person mobile crisis intervention teams dispatched to the community will include a face-to-face response, by either a QMHP or Family Support Specialist.
 - B. 50% of staff working with children and families attend the Youth Save Training.
 - C. County is able to demonstrate, a 10% reduction in emergency department boarding during calendar year 2023, for children in their community.
 - D. 50% of staff receive an OHA approved advanced training in working with neurodiverse and Intellectual and Developmental Disabilities (IDD) children and their families.
 - E. CMHP will provide no less than 5 presentations to their local community stakeholders on Mobile Crisis Intervention

Services and stabilization services for children and their families, within their service area.

(3) **Reporting Requirements** (exceeding Section 3, MHS 25)

None.

(4) **Special Reporting Requirements** (exceeding Section 4, MHS 25)

- (a) Forms are located at <http://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.
- (b) County or subcontractor shall complete and submit the Authority approved pre and post assessment tool and at the start and end of stabilization services and submit the assessment to the Authority approved contractor.
- (c) County agrees to work directly with OHA approved contractor to submit the required pre and post assessment tools and other data points related to stabilization services.
- (d) The OHA approved contractor is responsible for analyzing the provided data and developing quarterly reports which includes outcome data for stabilization services.
- (e) County is responsible for reviewing and approving the quarterly reports generated by the OHA approved contractor which include stabilization services outcome data.
- (f) OHA approved contractor shall submit the quarterly report to OHA via hsd.contracts@odhsoha.oregon.gov on behalf of the County, no later than 45 calendar days after the end of each quarter.

(5) **Financial Assistance Calculation, Disbursement, and Confirmation of Performance and Reporting Requirements Procedures** (exceeding Section 5, MHS 25)

None.

Service Name: **NON-RESIDENTIAL MENTAL HEALTH SERVICES
FOR YOUTH & YOUNG ADULTS IN TRANSITION**

Service ID Code: **MHS 26**

Specialized Service: **EARLY ASSESSMENT AND SUPPORT ALLIANCE (EASA)**

Exhibit B-2 Code: **26A**

(1) Service Description (exceeding Section 1, MHS 26)

Early Assessment and Support Alliance (EASA) is a transitional, coordinated specialty care program, serving young Individuals experiencing symptoms consistent with a diagnosable psychotic disorder or at clinical high risk for such, for approximately 2 years.

Services are described in the EASA Practice Guideline (Melton, R.P., Penkin, A., Hayden-Lewis, K., Blea, P., Sisko, R., & Sale, T. (2013), incorporated by reference herein.

Services shall prioritize communities that have been historically impacted by racism, discrimination and health inequities. Services shall be trauma informed and culturally and linguistically responsive and work to reduce the impacts of adverse childhood and traumatic experiences.

(a) Definitions:

- i. **Multi-Family Groups** means a structured family group which can consist of either multiple families from the community, or multiple members of a single family network.. Multi-family groups are a preferred method of treatment for most Individuals and their families/support system (McFarlane, 2002). Where Multi-Family Groups are not available, single-family groups can be offered following the same format. Fidelity to Multi-Family Groups standards in each of the key stages is critical: joining sessions, family workshops, and carefully structured initial and ongoing problem solving sessions.
- ii. **Participatory Decision Making** means Individuals and family/primary support system involved in service planning, delivery, monitoring, and evaluation seem to facilitate the development of ongoing services that are accessible and culturally appropriate for them and may result in more responsive treatment providers, better quality of care, and more empowered Individuals and primary family/primary support system (McGorry et al., 2010).
- iii. **Psycho-education** means developing a shared and increased understanding of the illness and recovery process for both the Individual and the family/support system. Specific attention is given to cultural values and norms of an audience and broad accessibility to this information is essential (EASA Fidelity Guidelines, 2013).
- iv. **Psychosis-Risk Syndrome** means Schizophrenia-related conditions frequently have a gradual onset. Neurocognitive, sensory, perceptual, and affective changes, usually accompanied by a decline in functioning, characterize the at-risk mental state. Identifying, monitoring, and providing needs-based care during a potential psychosis-risk mental state is optimal. The evidence regarding the effectiveness of specific interventions (therapy,

medications, etc.) remains preliminary. It is measured by the Structured Interview for Psychosis-Risk syndrome (SIPS), performed by a skilled diagnostician certified in the tool (McGlashan, Walsh, & Woods, 2010), incorporated by reference herein.

- (v) **Community Education** means a core element of early intervention services is a proactive and ongoing campaign to increase early identification and the speed and number of early referrals and reduce attitudinal barriers about schizophrenia-related conditions. This reduces the duration of untreated psychosis. Specific attention is given to cultural values and norms of an audience and broad accessibility to this information is essential (EASA Fidelity Guidelines, 2013).

(2) **Performance Requirements** (exceeding Section 2, MHS 26)

County shall provide Services to eligible Individuals as listed below:

- (a) Eligible Population: EASA Services are to be provided to Individuals ages 12 through 27 years of age whom:
 - i. Have not had a diagnosable psychotic disorder other than psychosis-risk syndrome, identified by the Structured Interview for Psychosis Risk Syndrome (SIPS) or other EASA Center for Excellence (C4E) approved formal assessment, for a period longer than 12 months; and
 - ii. Have psychotic symptoms not known to be caused by the temporary effects of substance intoxication, major depression, or attributable to a known medical condition.
- (b) Access to EASA across all referral sources: emergency departments, hospitals, community partners, schools, and families, regardless of ability to pay. Upon referral, contact shall be made within two (2) business days of the referral by EASA staff with the Individual (and family) in a location that best suits the Individual. Individuals are enrolled in EASA once they are determined to have met the eligibility criteria and agree they are comfortable with the program;
- (c) Services intended to be a transitional coordinated specialty care service, designed to last an average of 2 years. An Individual's Services can be flexible with the timing of the transition, based on the needs of the Individual, their family, and the Individual's progress and goals;
- (d) Services rendered based on the needs of the Individual and their family as frequently as needed to optimize the EASA program's support and impact. EASA teams should provide access to crisis services for the EASA Individual, family, and primary supports.
- (e) Provide Services as described in the EASA Practice Guidelines (Melton, R.P., Penkin, A., Hayden-Lewis, K., Blea, P., Sisko, R., & Sale, T. (2013).
- (f) Provide technologically-based support to EASA participants that include, but are not limited to, text messaging, email, and telemedicine in order to communicate and facilitate Services.
- (g) The EASA team works with people in five phases: Assessment and stabilization, adaptation, consolidation, transition, and post-graduation.

- i. Phase 1 (up to 6 months): Assessment and stabilization: Outreach, engagement, assessment, initiation of medical treatment (including psychosis and alcohol/drug dependency), identification of strengths, resources, needs, and goals, start of multi-family groups, stabilization of current situation.
 - ii. Phase 2 (approximately 6 months): Adaptation: More extensive education to the individual and family/primary support system, address adaptation issues, refine/test the relapse plan, move forward on living and/or vocational goals, identify accommodations as needed at work or school, identify and develop stable long-term economic and social support, provide opportunities for peer involvement, physical fitness, etc.
 - iii. Phase 3 (approximately 6 months): Consolidation: Continue multi-family group, vocation support and individual treatment, work toward personal goals, develop a relapse prevention and long-term plan.
 - iv. Phase 4 (approximately 6 months): Transition: Maintain contact with EASA Team, continue multi-family group, participate in individual and group opportunities, establish ongoing treatment relationship and recovery plan.
 - v. Phase 5: Post-graduation: Continue multi-family group (in some situations), continue with ongoing providers, invitation to participate in events and mentoring, EASA planning/development activities, and periodic check-ins and problem solving as needed.
- (h)** Within and in addition to the phases described above, the following elements are part of the successful delivery of the EASA model and implementation of the EASA program:
- i. Rapid access to psychiatric and counseling services;
 - ii. Education about causes, treatment, and management of psychosis and explanations about potential causes for the onset of symptoms;
 - iii. Coaching on rights regarding access to employment, school, housing, and additional resources;
 - iv. Single family psycho-education and multi-family groups;
 - v. Support for vocational education and independent living goals consistent with Individual Placement and Support (IPS) framework that is the Supported Employment fidelity program that is integrated into EASA services and currently overseen by the Oregon Supported Employment Center for Excellence (OSECE);
 - vi. Access to licensed medical psychiatric care, health related nursing care, mental health treatment, case management, supported education and employment, peer support for young adult and family, and occupational therapy or skill development;
 - vii. Provision of substance use disorder treatment within the team.
 - viii. Peer support (peers having lived experience with psychosis preferred regardless of age), participatory decision-making, and meaningful young adult engagement in program, community, and leadership activities as an EASA program component, and;

ix. Community-education.

- (i) Setting(s) for Service Delivery: Determined by the needs and goals of the Individual and their circumstances.
- (j) Recommended Staff and Staff Training: EASA team members include licensed medical providers (LMP's), nurses, staff trained in case management and care coordination, staff qualified to provide occupational therapy and associated skill training, mental health therapists, mental health screeners, peer support specialists, supported education and employment specialists.
- (k) EASA services and supports must be provided by staff that enable the team/provider to meet or pursue fidelity standards located at <http://www.easacommunity.org>. If County lacks qualified providers to deliver EASA services and supports, a plan to adjust the model will be developed with the EASA Center for Excellence staff and OHA.
- (l) Additional Licensing or Certification Requirements:
 - i. The assessment for EASA Services and supports must be provided by Providers that meet fidelity standards, located at <http://www.easacommunity.org/PDF/Practice%20Guidelines%202013.pdf>. If County lacks qualified Providers to deliver EASA Services and supports, County shall implement a plan, in consultation with OHA, to develop a qualified Provider network for Individuals to access EASA Services.
 - ii. EASA-specific training requirements and opportunities are listed on the EASA Center for Excellence website: <http://www.easacommunity.org>.
- (m) Staff working in the programs must have training in suicide prevention and intervention strategies and Trauma Informed Care and be provided with ongoing maintenance of the skills and practice associated with these approaches.

(3) Reporting Requirements (exceeding Section 3, MHS 26)

None

(4) Special Reporting Requirements (exceeding Section 4, MHS 26)

Forms are located at <https://www.oregon.gov/OHA/HSD/AMH/Pages/Reporting-Requirements.aspx>.

Counties providing EASA Services shall submit data quarterly, directly into the Oregon Health & Science University (OHSU) EASA RedCap Data System. Instructions for data entry into RedCap are located at <https://www.easacommunity.org/resources-for-professionals.php> and individual provider entry is located at <https://octri.ohsu.edu/redcap/>. Quarterly data shall be submitted no later than 45 calendar days following the end of each subject quarter for which financial assistance is awarded through this Agreement.

Data collected through RedCap will reflect outreach, referral, intake and outcome-based measures. The outcome measures will be determined based on fidelity guidelines as stated above and best practices for First Episode of Psychosis treatment.

(5) Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures (exceeding Section 5, MHS 26)

None.

a. Service Name: **OLDER OR DISABLED ADULT MENTAL HEALTH SERVICES**

Service ID Code: **MHS 35**

Specialized Service: **GERO-SPECIALIST**

Exhibit B-2 Code: **35A**

(1) **Service Description** (exceeding Exhibit B-1, MHS 35)

Older or Disabled Adult Mental Health Services (MHS 35) Specialized Service requirement (MHS 35A) are mental health services delivered directly or indirectly to older or disabled adults with mental illness.

(2) **Performance Requirements** (exceeding Exhibit B-1, MHS 35)

The funds awarded for MHS 35A Services may only be expended on community based direct and indirect care services for older or disabled adults with mental illness who are determined eligible. Such direct services include, but are not limited to, medication management, quarterly interagency staffing, follow-up services after treatment in local or state inpatient psychiatric hospitals, and screenings and referrals. Indirect care services include, but are not limited to, consultation, assistance working with multiple systems, case coordination, planning, supporting interagency collaboration, and education and training to agencies and caregivers who provide services that may affect older and disabled adults with mental illness.

If indirect care services, as described above, are delivered with MHS 35A funds provided through this Agreement, those services must be available to all relevant agencies and caregivers in the geographic area served by the CMHP and must be coordinated to include, but not limited to, Aging and People with Disabilities (APD), Department of Human Services (DHS)'s Aging and Disabilities Resource Connection, DHS's Adult Protective Services, CCOs, CMHPs, Acute care hospitals, Oregon State Hospital, caregivers, community partners, family members, and any other appropriate participants in client care.

All MHS 35A Services delivered with funds provided through this Agreement for direct care services must either be supervised or delivered by a Qualified Mental Health Professional, as defined in OAR 309-039-0510 (10), and in compliance with Standards for Adult Mental Health Services, as such rules may be revised from time to time. Qualified Mental Health Professionals and any designated Qualified Mental Health Associates, as defined in OAR 309-039-0510 (9), delivering such services must have a background with the older and disabled adult population or be participating in relevant training programs to acquire such knowledge.

Providers of MHS 35 Services delivered with funds provided through this Agreement that are subject to this Specialized Service requirement shall provide the following:

- (a) Regular access to a psychiatrist or nurse practitioner for case and medication review for Individuals receiving direct care MHS 35 Services;
- (b) Regular participation in interdisciplinary team meetings with APD staff or contractors serving Individuals receiving direct care MHS 35 Services;
- (c) Discharge assistance (from in-patient psychiatric hospitals) and provide or arrange for short term follow-up services for Individuals receiving MHS 35 Services;

- (d) Be available to County crisis team and DHS's Adult Protective Services for consultation on geriatric cases;
 - (e) Regular collaboration with APD, DHS's Aging and Disabilities Resource Connection, CMHPs, CCO's and CCO ICC Teams, Acute care hospitals, Oregon State Hospital, living facilities, families, and others as appropriate;
 - (f) Indirect services shall include, but not be limited to, prevention, planning, coordination, education, and assistance with urgent placement services;
 - (g) Oversight, support, and inter-agency coordination and collaboration for substance abuse treatment and prevention with older and disabled adults; and
 - (h) Have the experience, knowledge, and authority to effect change, make recommendations, and communicate to leadership.
- (3) **Reporting Requirements** (exceeding Exhibit B-1, MHS 35)
None
- (4) **Special Reporting Requirements** (exceeding Exhibit B-1, MHS 35)
None
- (5) **Financial Assistance Calculation, Disbursement, and Agreement Settlement Procedures** (exceeding Exhibit B-1, MHS 35)
None

**2024-2025 Intergovernmental AGREEMENT
FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

**EXHIBIT C
FINANCIAL ASSISTANCE AWARD**

MOD#: _____

CONTRACT#: _____ CONTRACTOR: _____

INPUT CHECKED BY: _____ DATE CHECKED: _____

COLUMN HEADERS:

<u>SE#</u>	<u>FUND</u>	<u>PROJ CODE</u>	<u>CPMS</u>	<u>PROVIDER</u>	<u>EFFECTIVE DATES</u>
<u>SLOT CHANGE / TYPE</u>	<u>RATE</u>	<u>OPERATING DOLLARS</u>	<u>STARTUP DOLLARS</u>	<u>PART ABC</u>	<u>PART IV</u>
<u>PAAF CD</u>	<u>BASE</u>	<u>CLIENT CODE</u>	<u>SP#</u>		

EXHIBIT C

FINANCIAL ASSISTANCE AWARD

MODIFICATION INPUT REVIEW REPORT

MOD#: A0132																	
CONTRACT#: 026019				CONTRACTOR: MARION COUNTY													
INPUT CHECKED BY: _____				DATE CHECKED: _____													
PROJ				EFFECTIVE		SLOT		OPERATING		STARTUP PART		PART		PAAF		CLIENT	
SE#	FUND	CODE	CPMS PROVIDER	DATES	CHANGE/TYPE		RATE	DOLLARS		DOLLARS ABC		IV	CD	BASE	CODE	SP#	
FISCAL YEAR: 2023-2024																	
BASEAD				MARION CO.													
61	807	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$12,500.00	\$0.00	C	1	Y				1	
TOTAL FOR SE# 61								\$12,500.00	\$0.00								
BASEAD				MARION CO.													
62	708	-0-		1/1/2024 - 6/30/2024	730	/CSD	\$62.44	\$21,082.59	\$0.00	A	1	Y					
BASEAD				MARION CO.													
62	908	-0-		1/1/2024 - 6/30/2024	0	/CSD	\$62.44	\$24,498.61	\$0.00	A	1	Y					
TOTAL FOR SE# 62								\$45,581.20	\$0.00								
BASEAD				MARION CO.													
63	421	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$113,188.74	\$0.00	A	1	Y					
TOTAL FOR SE# 63								\$113,188.74	\$0.00								
BASEAD				MARION CO.													
66	401	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$260,318.38	\$0.00	A	1	Y				2	
DETOX				MARION CO.													
66	401	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$42,425.53	\$0.00	A	1	Y				2	
BASEAD				MARION CO.													
66	520	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$222,356.46	\$0.00	A	1	Y				2	
DETOX				MARION CO.													
66	520	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$99,418.45	\$0.00	A	1	Y				2	
BASEAD				MARION CO.													
66	807	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$11,853.75	\$0.00	A	1	Y					
BASEAD				MARION CO.													
66	807	-0-		1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$11,853.75	\$0.00	C	1	Y				3	
TOTAL FOR SE# 66								\$648,226.32	\$0.00								
BASEAD				MARION CO.													
67	421	-0-		1/1/2024 - 6/30/2024	0	/CSD	\$24.00	\$6,974.85	\$0.00	A	1	Y					
BASEAD				MARION CO.													
67	807	-0-		1/1/2024 - 6/30/2024	0	/CSD	\$24.00	\$72,105.16	\$0.00	A	1	Y					
TOTAL FOR SE# 67								\$79,080.01	\$0.00								
GAMBL				MARION CO.													

MODIFICATION INPUT REVIEW REPORT

MOD#: A0132

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

SE#	FUND	PROJ CODE	CPMS PROVIDER	EFFECTIVE DATES	SLOT CHANGE/TYPE	RATE	OPERATING DOLLARS	STARTUP PART DOLLARS ABC	PART IV	PAAF CD	BASE	CLIENT CODE	SP#
FISCAL YEAR: 2023-2024													
80	888	-0-		1/1/2024 - 6/30/2024	0 /NA	\$0.00	\$74,175.00	\$0.00	A	1	Y		
TOTAL FOR SE# 80							\$74,175.00	\$0.00					
TOTAL FOR 2023-2024							\$972,751.27	\$0.00					
FISCAL YEAR: 2024-2025													
61	807	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$25,000.00	\$0.00	C	1	Y		1
TOTAL FOR SE# 61							\$25,000.00	\$0.00					
62	708	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	730 /CSD	\$62.44	\$42,165.18	\$0.00	A	1	Y		
62	908	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /CSD	\$62.44	\$48,997.22	\$0.00	A	1	Y		
TOTAL FOR SE# 62							\$91,162.40	\$0.00					
63	421	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$226,377.48	\$0.00	A	1	Y		
TOTAL FOR SE# 63							\$226,377.48	\$0.00					
66	401	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$520,636.76	\$0.00	A	1	Y		2
66	401	-0-	DETOX MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$84,851.06	\$0.00	A	1	Y		2
66	520	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$444,712.92	\$0.00	A	1	Y		2
66	520	-0-	DETOX MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$198,836.90	\$0.00	A	1	Y		2
66	807	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$23,707.50	\$0.00	A	1	Y		
TOTAL FOR SE# 66							\$1,272,745.14	\$0.00					
67	421	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /CSD	\$24.00	\$13,949.70	\$0.00	A	1	Y		
67	807	-0-	BASEAD MARION CO.	7/1/2024 - 6/30/2025	0 /CSD	\$24.00	\$144,210.32	\$0.00	A	1	Y		

MODIFICATION INPUT REVIEW REPORT

MOD#: A0132

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: DATE CHECKED:

SE#	FUND	PROJ CODE	CPMS PROVIDER	EFFECTIVE DATES	SLOT CHANGE/TYPE	RATE	OPERATING DOLLARS	STARTUP PART DOLLARS ABC	PART IV	PAAF CD	BASE	CLIENT CODE	SP#
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FISCAL YEAR: 2024-2025

TOTAL FOR SE# 67							<u>\$158,160.02</u>	<u>\$0.00</u>			
80	888	GAMBL	MARION CO.	-0-	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$148,350.00	\$0.00	A	1 Y
TOTAL FOR SE# 80							<u>\$148,350.00</u>	<u>\$0.00</u>			
TOTAL FOR 2024-2025							<u>\$1,921,795.04</u>	<u>\$0.00</u>			
TOTAL FOR A0132 026019							<u>\$2,894,546.31</u>	<u>\$0.00</u>			

OREGON HEALTH AUTHORITY
Financial Assistance Award Amendment (FAAA)

CONTRACTOR: MARION COUNTY
DATE: 12/27/2023

Contract#: 026019
REF#: 001

REASON FOR FAAA (for information only):

Payments provided through this Financial Assistance Agreement (FAA) are for Mental Health, Addictions Treatment, Recovery and Prevention, and Problem Gambling Services, as allocated within OHA's 2023-2025 Legislative Approved Budget (LAB), but only for the 18-month term of this Agreement, beginning on January 1, 2024 and ending June 30, 2025. This FAA may require modification by written amendment, or by administrative amendment (memo), provided that such administrative amendment is only used to change the fund source coding and not the amount of funding, to reflect the actual funding amounts remaining in the 2023-2025 LAB. It is OHA's intension to discontinue Calendar Year agreement terms and return the Financial Assistance Agreement (FAA) to a 2-year fiscal biennium term, beginning July 1, 2025, for the 2025-2027 Biennium.

The following special condition(s) apply to funds as indicated by the special condition number in column 9. Each special condition set forth below may be qualified by a full description in the Financial Assistance Award.

- | | |
|-------|---|
| A0132 | 1 These funds are for A&D 61 Services to be invoiced from 1/1/2024 to 6/30/2025. |
| A0132 | 2 These funds must result in the delivery of A&D 66 Services to a minimum of 804 unduplicated individuals receiving outpatient Services and enrolled in the MOTS system on or after January 1, 2024. Up to 20% of 804 can be provided as Prevention, Education, and Outreach to non-enrolled individuals. Cases without evidence of treatment engagement in the clinical record do not count toward the service delivery requirement, except as listed above for Prevention, Education, and Outreach. Report of Prevention, Education, and Outreach must be submitted annually on the form located at https://www.oregon.gov/OHA/HSD/AMH/Pages/federal-reporting.aspx Under delivery of Services subject to this financial assistance may result in recovery of funds at the rate of \$1200 per individual. |
| A0132 | 3 The financial assistance subject to this special condition will be disbursed to County in one lump sum within 30 calendar days after the date this Agreement becomes executed. |

MODIFICATION INPUT REVIEW REPORT

MOD#: M0792

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

SE#	FUND	PROJ CODE	CPMS PROVIDER	EFFECTIVE DATES	SLOT CHANGE/TYPE	RATE	OPERATING DOLLARS	STARTUP PART DOLLARS ABC	PART IV	PAAF CD	BASE	CLIENT CODE	SP#
FISCAL YEAR: 2023-2024													
		BASE	SYSTEM MANAGEMENT AN										
1	804	MHS01	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$177,862.94	\$0.00	A	1	Y		
		BASE	SYSTEM MANAGEMENT AN										
1	804	MHS01	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$9,930.00	\$0.00	A	1	Y		
		BASE	SYSTEM MANAGEMENT AN										
1	804	MHS01	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$9,930.00	\$0.00	C	1	Y		7
		BCIVLM	SYS MGT CO-CHINOOK										
1	804	MHS01	1/1/2024 - 6/30/2024	0	/NA	\$4,065.62	\$24,393.72	\$0.00	A	1	Y		2
		MHCI14	SYS MGT CO-CADENZA										
1	804	MHS01	1/1/2024 - 6/30/2024	0	/NA	\$5,693.69	\$34,162.14	\$0.00	A	1	Y		1
TOTAL FOR SE# 1							\$256,278.80	\$0.00					
		BASE	AID & ASSIST PROJECT										
4	804	AAP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$300,263.46	\$0.00	A	1	Y		
		BASE	AID & ASSIST PROJECT										
4	804	AAP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$17,890.00	\$0.00	A	1	Y		
		BASE	AID & ASSIST PROJECT										
4	804	AAP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$17,890.00	\$0.00	C	1	Y		7
		BASE	AID & ASSIST PROJECT										
4	804	AAP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$75,065.87	\$0.00	C	1	Y		8
TOTAL FOR SE# 4							\$411,109.33	\$0.00					
		BASE	ASSERTIVE COMMUNITY										
5	804	MHACT	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$36,777.69	\$0.00	A	1	Y		
		BASE	ASSERTIVE COMMUNITY										
5	804	MHACT	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$1,545.00	\$0.00	A	1	Y		
		BASE	ASSERTIVE COMMUNITY										
5	804	MHACT	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$1,545.00	\$0.00	C	1	Y		7
TOTAL FOR SE# 5							\$39,867.69	\$0.00					
		BASE	NI JAIL DIVERSION										
9	406	NIJAIL	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$154,793.15	\$0.00	A	1	Y		
		BASE	NI JAIL DIVERSION										

MODIFICATION INPUT REVIEW REPORT

MOD#: M0792

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

		PROJ		EFFECTIVE		SLOT		OPERATING		STARTUP PART		PAAF		CLIENT		
SE#	FUND	CODE	CPMS	PROVIDER	DATES	CHANGE/TYPE		RATE	DOLLARS	DOLLARS	ABC	IV	CD	BASE	CODE	SP#
FISCAL YEAR:			2023-2024													
9	804		NIJAIL	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$8,388.95	\$0.00		A	1	Y		
		BASE	NI JAIL DIVERSION													
9	804		NIJAIL	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$6,854.00	\$0.00		A	1	Y		
		BASE	NI JAIL DIVERSION													
9	804		NIJAIL	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$6,854.00	\$0.00		C	1	Y		7
TOTAL FOR SE# 9									\$176,890.10	\$0.00						
		BASE	NI MH PROMO AND PREV													
10	411		NIMHPP	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$58,716.86	\$0.00		A	1	Y		
		BASE	NI MH PROMO AND PREV													
10	804		NIMHPP	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$3,182.14	\$0.00		A	1	Y		
		BASE	NI MH PROMO AND PREV													
10	804		NIMHPP	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$2,600.00	\$0.00		A	1	Y		
		BASE	NI MH PROMO AND PREV													
10	804		NIMHPP	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$2,600.00	\$0.00		C	1	Y		7
TOTAL FOR SE# 10									\$67,099.00	\$0.00						
		BASE	RENTAL ASSISTANCE													
12	804		RNTAST	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$217,012.78	\$0.00		A	1	Y		
		BASE	RENTAL ASSISTANCE													
12	804		RNTAST	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$19,215.00	\$0.00		A	1	Y		
		BASE	RENTAL ASSISTANCE													
12	804		RNTAST	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$19,215.00	\$0.00		C	1	Y		7
		BASE	RENTAL ASSISTANCE													
12	804		RNTAST	1/1/2024 - 6/30/2024		45	/SLT	\$0.00	\$240,480.25	\$0.00		C	1	Y		3
TOTAL FOR SE# 12									\$495,923.03	\$0.00						
		BASE	INVOICE SERVICES													
17	804		INVOIC	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$176,174.70	\$0.00		C	1	Y		4
TOTAL FOR SE# 17									\$176,174.70	\$0.00						
		CMHS	MH BLOCK GRANT													
20	301		BLOCK	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$191,738.67	\$0.00		A	1	Y		
		BASE	NON-RESIDENTIAL MENT													

MODIFICATION INPUT REVIEW REPORT

MOD#: M0792

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

		PROJ		EFFECTIVE		SLOT		OPERATING		STARTUP PART		PART		PAAF		CLIENT	
SE#	FUND	CODE	CPMS	PROVIDER	DATES	CHANGE/TYPE		RATE	DOLLARS	DOLLARS	ABC	IV	CD	BASE		CODE	SP#
FISCAL YEAR:			2023-2024														
20	804		MHNRMH	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$777,903.33	\$0.00	A		1	Y			
		BASE	NON-RESIDENTIAL MENT														
20	804		MHNRMH	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$40,725.00	\$0.00	A		1	Y			
		BASE	NON-RESIDENTIAL MENT														
20	804		MHNRMH	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$40,725.00	\$0.00	C		1	Y			7
TOTAL FOR SE# 20									\$1,051,092.00	\$0.00							
		BASE	ACUTE AND INTERMEDIA														
24	401		ACUTE	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$19,009.00	\$0.00	A		1	Y			
		BASE	ACUTE AND INTERMEDIA														
24	804		ACUTE	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$387,449.00	\$0.00	A		1	Y			
		BASE	ACUTE AND INTERMEDIA														
24	804		ACUTE	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$17,071.00	\$0.00	A		1	Y			
		BASE	ACUTE AND INTERMEDIA														
24	804		ACUTE	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$17,071.00	\$0.00	C		1	Y			7
TOTAL FOR SE# 24									\$440,600.00	\$0.00							
		BASE	CRISIS AND ACUTE TRA														
25	406		CATS	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$10,325.67	\$0.00	A 25A		1	Y			
		BASE	CRISIS AND ACUTE TRA														
25	804		CATS	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$18,877.00	\$0.00	A 25A		1	Y			
		BASE	CRISIS AND ACUTE TRA														
25	804		CATS	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$153,460.67	\$0.00	A 25A		1	Y			
		BASE	CRISIS AND ACUTE TRA														
25	804		CATS	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$18,877.00	\$0.00	C 25A		1	Y			7
		BASE	NI CRISIS SERVICES-M														
25	804		NICRSE	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$1,044,548.67	\$0.00	A		1	Y			
		BASE	NI CRISIS SERVICES-M														
25	806		NICRSE	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$679,413.67	\$0.00	A		1	Y			
		BASE	CRISIS AND ACUTE TRA														
25	815		CATS	1/1/2024 - 6/30/2024		0	/NA	\$0.00	\$287,368.00	\$0.00	A 25A		1	Y			
TOTAL FOR SE# 25									\$2,212,870.68	\$0.00							

MODIFICATION INPUT REVIEW REPORT

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INPUT CHECKED BY: _____ DATE CHECKED: _____

PROJ				EFFECTIVE	SLOT	OPERATING		STARTUP PART	PART	PAAF	CLIENT		
SE#	FUND	CODE	CPMS PROVIDER	DATES	CHANGE/TYPE	RATE	DOLLARS	DOLLARS ABC	IV	CD	BASE	CODE	SP#
FISCAL YEAR:		2023-2024											
	BASE	EARLY ASSESSMENT AN											
26	804	EASA	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$8,360.00	\$0.00	A 26A	1	Y		
	BASE	EARLY ASSESSMENT AN											
26	804	EASA	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$185,686.00	\$0.00	A 26A	1	Y		
	BASE	EARLY ASSESSMENT AN											
26	804	EASA	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$9,773.00	\$0.00	A	1	Y		
	BASE	EARLY ASSESSMENT AN											
26	804	EASA	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$8,360.00	\$0.00	C 26A	1	Y		7
TOTAL FOR SE# 26							\$212,179.00	\$0.00					
	BPSRBM	PSRB DESIG CLIENT											
30	804	PSRB	1/1/2024 - 6/30/2024	24	/SLT	\$465.27	\$115,789.60	\$0.00	A	1	Y		
	BPSRBM	PSRB DESIG CLIENT											
30	804	PSRB	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$7,802.00	\$0.00	A	1	Y		
	BPSRBM	PSRB DESIG CLIENT											
30	804	PSRB	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$7,802.00	\$0.00	C	1	Y		7
	BPSRBM	PSRB SH RNTSUB-STEPP											
30	804	PSRBR	1/1/2024 - 6/30/2024	5	/SLT	\$407.20	\$12,216.00	\$0.00	A	1	Y		5
	BPSRBM	PSRB SH-STEPPING STO											
30	804	PSRBSH	1/1/2024 - 6/30/2024	5	/SLT	\$2,241.28	\$67,238.40	\$0.00	A	1	Y		6
	JPSRBM	JPSRB DESIG CLIENT											
30	804	JPSRB	1/1/2024 - 6/30/2024	1	/SLT	\$465.27	\$2,791.62	\$0.00	A	1	Y		
TOTAL FOR SE# 30							\$213,639.62	\$0.00					
	BASE	GERO SPECIALISTS											
35	804	GERO	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$76,159.00	\$0.00	A 35A	1	Y		
	BASE	GERO SPECIALISTS											
35	804	GERO	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$3,198.67	\$0.00	A 35A	1	Y		
	BASE	GERO SPECIALISTS											
35	804	GERO	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$3,198.67	\$0.00	C 35A	1	Y		7
TOTAL FOR SE# 35							\$82,556.34	\$0.00					
	BASE	SUPPORTED EMPLOYMENT											

MODIFICATION INPUT REVIEW REPORT

MOD#: M0792

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

PROJ				EFFECTIVE	SLOT		OPERATING	STARTUP PART	PART	PAAF		CLIENT	
SE#	FUND	CODE	CPMS PROVIDER	DATES	CHANGE/TYPE	RATE	DOLLARS	DOLLARS ABC	IV	CD	BASE	CODE	SP#
FISCAL YEAR:		2023-2024											
38	406	SUPEMP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$43,091.79	\$0.00	A	1	Y		
	BASE	SUPPORTED EMPLOYMENT											
38	804	SUPEMP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$1,810.00	\$0.00	A	1	Y		
	BASE	SUPPORTED EMPLOYMENT											
38	804	SUPEMP	1/1/2024 - 6/30/2024	0	/NA	\$0.00	\$1,810.00	\$0.00	C	1	Y		7
TOTAL FOR SE# 38							\$46,711.79	\$0.00					
TOTAL FOR 2023-2024							\$5,882,992.08	\$0.00					
FISCAL YEAR:		2024-2025											
	BASE	SYSTEM MANAGEMENT AN											
1	804	MHS01	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$355,725.88	\$0.00	A	1	Y		
	BASE	SYSTEM MANAGEMENT AN											
1	804	MHS01	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$19,860.00	\$0.00	A	1	Y		
	BCIVLM	SYS MGT CO-CHINOOK											
1	804	MHS01	7/1/2024 - 6/30/2025	0	/NA	\$4,065.62	\$48,787.44	\$0.00	A	1	Y		2
	MHCI14	SYS MGT CO-CADENZA											
1	804	MHS01	7/1/2024 - 6/30/2025	0	/NA	\$5,693.69	\$68,324.28	\$0.00	A	1	Y		1
TOTAL FOR SE# 1							\$492,697.60	\$0.00					
	BASE	AID & ASSIST PROJECT											
4	804	AAP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$600,526.92	\$0.00	A	1	Y		
	BASE	AID & ASSIST PROJECT											
4	804	AAP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$35,780.00	\$0.00	A	1	Y		
	BASE	AID & ASSIST PROJECT											
4	804	AAP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$150,131.74	\$0.00	C	1	Y		8
TOTAL FOR SE# 4							\$786,438.66	\$0.00					
	BASE	ASSERTIVE COMMUNITY											
5	804	MHACT	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$73,555.38	\$0.00	A	1	Y		
	BASE	ASSERTIVE COMMUNITY											
5	804	MHACT	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$3,090.00	\$0.00	A	1	Y		
TOTAL FOR SE# 5							\$76,645.38	\$0.00					
	BASE	NI JAIL DIVERSION											
9	406	NIJAIL	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$309,586.30	\$0.00	A	1	Y		

MODIFICATION INPUT REVIEW REPORT

MOD#: M0792

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

PROJ				EFFECTIVE	SLOT	OPERATING	STARTUP PART	PART	PAAF	CLIENT			
SE#	FUND	CODE	CPMS PROVIDER	DATES	CHANGE/TYPE	RATE	DOLLARS	DOLLARS ABC	IV	CD	BASE	CODE	SP#
FISCAL YEAR:		2024-2025											
	BASE	NI JAIL DIVERSION											
9	804	NIJAIL	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$16,777.90	\$0.00	A	1	Y		
	BASE	NI JAIL DIVERSION											
9	804	NIJAIL	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$13,708.00	\$0.00	A	1	Y		
TOTAL FOR SE# 9							\$340,072.20	\$0.00					
	BASE	NI MH PROMO AND PREV											
10	411	NIMHPP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$117,433.72	\$0.00	A	1	Y		
	BASE	NI MH PROMO AND PREV											
10	804	NIMHPP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$6,364.28	\$0.00	A	1	Y		
	BASE	NI MH PROMO AND PREV											
10	804	NIMHPP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$5,200.00	\$0.00	A	1	Y		
TOTAL FOR SE# 10							\$128,998.00	\$0.00					
	BASE	RENTAL ASSISTANCE											
12	804	RNTAST	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$434,025.56	\$0.00	A	1	Y		
	BASE	RENTAL ASSISTANCE											
12	804	RNTAST	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$38,430.00	\$0.00	A	1	Y		
	BASE	RENTAL ASSISTANCE											
12	804	RNTAST	7/1/2024 - 6/30/2025	45	/SLT	\$0.00	\$480,960.50	\$0.00	C	1	Y		3
TOTAL FOR SE# 12							\$953,416.06	\$0.00					
	BASE	INVOICE SERVICES											
17	804	INVOIC	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$352,349.40	\$0.00	C	1	Y		4
TOTAL FOR SE# 17							\$352,349.40	\$0.00					
	CMHS	MH BLOCK GRANT											
20	301	BLOCK	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$383,477.33	\$0.00	A	1	Y		
	BASE	NON-RESIDENTIAL MENT											
20	804	MHNRMH	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$81,450.00	\$0.00	A	1	Y		
	BASE	NON-RESIDENTIAL MENT											
20	804	MHNRMH	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$1,555,806.67	\$0.00	A	1	Y		
TOTAL FOR SE# 20							\$2,020,734.00	\$0.00					
	BASE	ACUTE AND INTERMEDIA											

MODIFICATION INPUT REVIEW REPORT

MOD#: M0792

CONTRACT#: 026019

CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

SE#	FUND	PROJ CODE	CPMS PROVIDER	EFFECTIVE DATES	SLOT CHANGE/TYPE	RATE	OPERATING DOLLARS	STARTUP PART DOLLARS ABC	PART TV	PAAF CD	BASE	CLIENT CODE	SP#
FISCAL YEAR: 2024-2025													
24	401		ACUTE	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$38,018.00	\$0.00	A	1	Y		
		BASE	ACUTE AND INTERMEDIA										
24	804		ACUTE	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$774,898.00	\$0.00	A	1	Y		
		BASE	ACUTE AND INTERMEDIA										
24	804		ACUTE	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$34,142.00	\$0.00	A	1	Y		
TOTAL FOR SE# 24							\$847,058.00	\$0.00					
		BASE	CRISIS AND ACUTE TRA										
25	406		CATS	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$20,651.33	\$0.00	A 25A	1	Y		
		BASE	CRISIS AND ACUTE TRA										
25	804		CATS	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$37,754.00	\$0.00	A 25A	1	Y		
		BASE	CRISIS AND ACUTE TRA										
25	804		CATS	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$306,920.33	\$0.00	A 25A	1	Y		
		BASE	NI CRISIS SERVICES-M										
25	804		NICRSE	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$2,089,097.33	\$0.00	A	1	Y		
		BASE	NI CRISIS SERVICES-M										
25	806		NICRSE	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$1,358,827.33	\$0.00	A	1	Y		
		BASE	CRISIS AND ACUTE TRA										
25	815		CATS	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$574,736.00	\$0.00	A 25A	1	Y		
TOTAL FOR SE# 25							\$4,387,986.32	\$0.00					
		BASE	EARLY ASSESSMENT AN										
26	804		EASA	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$371,371.00	\$0.00	A 26A	1	Y		
		BASE	EARLY ASSESSMENT AN										
26	804		EASA	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$16,720.00	\$0.00	A 26A	1	Y		
		BASE	EARLY ASSESSMENT AN										
26	804		EASA	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$19,546.00	\$0.00	A	1	Y		
TOTAL FOR SE# 26							\$407,637.00	\$0.00					
		BPSRBM	PSRB DESIG CLIENT										
30	804		PSRB	7/1/2024 - 6/30/2025	24 /SLT	\$465.27	\$231,579.20	\$0.00	A	1	Y		
		BPSRBM	PSRB DESIG CLIENT										
30	804		PSRB	7/1/2024 - 6/30/2025	0 /NA	\$0.00	\$15,605.00	\$0.00	A	1	Y		

MODIFICATION INPUT REVIEW REPORT

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CONTRACTOR: MARION COUNTY

INPUT CHECKED BY: _____ DATE CHECKED: _____

PROJ				EFFECTIVE	SLOT	OPERATING	STARTUP PART	PART	PAAF	CLIENT			
SE#	FUND	CODE	CPMS PROVIDER	DATES	CHANGE/TYPE	RATE	DOLLARS	DOLLARS ABC	IV	CD	BASE	CODE	SP#
FISCAL YEAR:		2024-2025											
	BPSRBM	PSRB SH RNTSUB-STEPP											
30	804	PSRBR	7/1/2024 - 6/30/2025	5	/SLT	\$407.20	\$24,432.00	\$0.00	A	1	Y		5
	BPSRBM	PSRB SH-STEPPING STO											
30	804	PSRB SH	7/1/2024 - 6/30/2025	5	/SLT	\$2,241.28	\$134,476.80	\$0.00	A	1	Y		6
	JPSRBM	JPSRB DESIG CLIENT											
30	804	JPSRB	7/1/2024 - 6/30/2025	1	/SLT	\$465.27	\$5,583.24	\$0.00	A	1	Y		
TOTAL FOR SE# 30							\$411,676.24	\$0.00					
	BASE	GERO SPECIALISTS											
35	804	GERO	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$152,318.00	\$0.00	A 35A	1	Y		
	BASE	GERO SPECIALISTS											
35	804	GERO	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$6,397.33	\$0.00	A 35A	1	Y		
TOTAL FOR SE# 35							\$158,715.33	\$0.00					
	BASE	SUPPORTED EMPLOYMENT											
38	406	SUPEMP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$86,183.58	\$0.00	A	1	Y		
	BASE	SUPPORTED EMPLOYMENT											
38	804	SUPEMP	7/1/2024 - 6/30/2025	0	/NA	\$0.00	\$3,620.00	\$0.00	A	1	Y		
TOTAL FOR SE# 38							\$89,803.58	\$0.00					
TOTAL FOR 2024-2025							\$11,454,227.77	\$0.00					
TOTAL FOR M0792 026019							\$17,337,219.85	\$0.00					

OREGON HEALTH AUTHORITY
Financial Assistance Award Amendment (FAAA)

CONTRACTOR: MARION COUNTY
DATE: 12/27/2023

Contract#: 026019
REF#: 000

REASON FOR FAAA (for information only):

Payments provided through this Financial Assistance Agreement (FAA) are for Mental Health, Addictions Treatment, Recovery and Prevention, and Problem Gambling Services, as allocated within OHA's 2023-2025 Legislative Approved Budget (LAB), but only for the 18-month term of this Agreement, beginning on January 1, 2024 and ending June 30, 2025. This FAA may require modification by written amendment, or by administrative amendment (memo), provided that such administrative amendment is only used to change the fund source coding and not the amount of funding, to reflect the actual funding amounts remaining in the 2023-2025 LAB. It is OHA's intension to discontinue Calendar Year agreement terms and return the Financial Assistance Agreement (FAA) to a 2-year fiscal biennium term, beginning July 1, 2025, for the 2025-2027 Biennium.

The following special condition(s) apply to funds as indicated by the special condition number in column 9. Each special condition set forth below may be qualified by a full description in the Financial Assistance Award.

- | | |
|-------|--|
| M0792 | 1A) The financial assistance subject to this special condition is awarded for system management and coordination of Services in the Mental Health Services Program Area. If County terminates its obligation to include this Program Area under this Agreement, OHA shall have no obligation, after the termination, to pay or disburse to County financial assistance subject to this special condition. B) These funds are for MHS 1 at Cadenza RTH-YAT. |
| M0792 | 2A) The financial assistance subject to this special condition is awarded for system management and coordination of Services in the Mental Health Services Program Area. If County terminates its obligation to include this Program Area under this Agreement, OHA shall have no obligation, after the termination, to pay or disburse to County financial assistance subject to this special condition. B) These funds are for MHS 1 at Chinook House RTH. |
| M0792 | 3) These funds are for MHS 12 Services. B) The funds subject to this special condition will be disbursed to Contractor upon receipt of quarterly invoices from 1/1/2024-6/30/2025. |
| M0792 | 4A) These funds are for MHS 17, which encompasses Invoice Services found in service elements 26, 27, 28, 30, 34 and 36 from 01/01/2024 to 6/30/2025 with Part C. B) For Services delivered to |

OREGON HEALTH AUTHORITY
Financial Assistance Award Amendment (FAAA)

CONTRACTOR: MARION COUNTY
DATE: 12/27/2023

Contract#: 026019
REF#: 000

individuals, financial assistance awarded to County shall be disbursed to County and expended by County in accordance with and subject to the residential rate on the date of service delivery based upon the rate schedule found at www.oregon.gov/OHA/HSD/OHP/Pages/Fee-Schedule.aspx and incorporated into this Agreement by reference that is effective as of the effective date of this Agreement unless a new rate schedule is subsequently incorporated by amendment. Any expenditure by County in excess of the authorized rates as set forth www.oregon.gov/OHA/HSD/OHP/Pages/Fee-Schedule.aspx may be deemed unallowable and subject to recovery by OHA in accordance with the terms of this Agreement.

- M0792 5 These funds are for MHS 30 at Stepping Stone for rent subsidy.
- M0792 6 These funds are for MHS 30 for PSRB Supported Housing Services at Stepping Stone.
- M0792 7 The financial assistance subject to this special condition will be disbursed to County in one lump sum within 30 calendar days after the date this Agreement becomes executed.
- M0792 8 A) These funds are for MHS 04 Aid and Assist Client Services. B) The financial assistance subject to this special condition will be disbursed to County in one lump sum within 30 calendar days after the date this Agreement becomes executed.

EXPLANATION OF FINANCIAL ASSISTANCE AWARD

The Financial Assistance Award set forth above and any Financial Assistance Award amendment must be read in conjunction with this explanation for purposes of understanding the rights and obligations of OHA and County reflected in the Financial Assistance Award.

1. Format and Abbreviations in Financial Assistance Award

- a. **Heading.** The heading of the Financial Assistance Award consists of the following information:
 - (1) **MOD#** is the alphanumeric Modification code, assigned by the OHA HSD Contract Unit's staff member, for that specific Financial Assistance Award. A MOD# beginning with an M is a mental health modification; a MOD# beginning with an A is a substance use disorder or problem gambling modification.
 - (2) **CONTRACT#** is the unique identification number of the Agreement containing the Financial Assistance Award. This number is assigned by the Office of Contracts & Procurement (OC&P).
 - (3) **CONTRACTOR** is the County or the legal entity named in and for that specific Agreement containing the Financial Assistance Award.
 - (4) **Input Checked** is for OHA's internal use only.
 - (5) **Date Checked** is for OHA's internal use only.
- b. **Financial and Service Information.** Each Service awarded funds is listed by Fiscal Year and then by the Service Element number. The amount of financial assistance awarded for each Service and certain other Service information is listed below the Fiscal Year and then by the Service Element number on one or more lines. Financial assistance awarded for a particular Service may not be used to cover the costs of any other Service, except as permitted under Exhibit F, "General Terms and Conditions," section 3.a, of this Agreement. The funds, as set forth on a particular line, will be disbursed in accordance with and are subject to the restrictions set forth on that particular line. The awarded funds, disbursement information and restrictions on a particular line are displayed in a columnar format as follows:
 - (1) **Column 1, SE#:** The Service Element number(s) identifies the Service or Service capacity, as applicable, to be delivered under the approved Service Element(s), as set forth on that particular line of the Financial Assistance Award.
 - (2) **Column 2, Fund:** This column identifies the fund number and description of the funding source, according to HSD's financial system, used for payments for this specific line of the Financial Assistance Award. The types of funds are as follows:
 - (a) 301 Mental Health Block Grant (MHBG) – Federal Funds
 - (b) 313 Projects for Assistance in Transition from Homelessness (PATH) - Federal Funds
 - (c) 331 Mental Health Block Grant (MHBG) American Rescue Plan Act of 2021 (ARPA)
 - (d) 341 Mental Health Block Grant (MHBG) Coronavirus Response and Relief Supplement Appropriations Act of 2021

- (e)** 401 Mental Health Marijuana Tax – Other Funds
- (f)** 402 Cares Act Coronavirus Relief Fund– Federal Funds
- (g)** 406 Tobacco Tax New Investments – Other Funds
- (h)** 411 Tobacco Master Settlement Account – Other Funds
- (i)** 420 Beer and Wine Tax (20%) – Other Funds
- (j)** 421 Beer and Wine Tax (40%) Treatment – Other Funds
- (k)** 424 Intoxicated Driver Program Fund Outpatient – Other Funds
- (l)** 426 Criminal Fines Assessment Prevention – Other Funds
- (m)** 427 Marijuana Tax (20%) – Other Funds
- (n)** 428 Ballot Measure 110 – State Funds
- (o)** 450 Marijuana Tax (40%) – Other Funds
- (p)** 520 Substance Abuse Prevention and Treatment (SAPT) Treatment – Federal Funds
- (q)** 560 State Opioid Response – Federal Funds
- (r)** 708 Temporary Assistance for Needy Families (TANF) Programs – Federal Funds
- (s)** 804 Mental Health – General Funds
- (t)** 806 Mental Health New Investments – General Funds
- (u)** 807 Alcohol and Drug Treatment – General Funds
- (v)** 810 Behavioral Health Planning Grants – General Funds
- (w)** 811 Aid & Assist - General Funds
- (x)** 887 Veterans Behavioral Health Lottery Dollars – Lottery Funds
- (y)** 888 Gambling Treatment – Lottery Funds
- (z)** 908 Temporary Assistance for Needy Families (TANF) Programs – General Fund Match
- (aa)** DDX Standard Fund Splits – Uses multiple fund types by percentage
- (bb)** SBD Standard Fund Splits – Uses multiple fund types by percentage
- (cc)** SBT Standard Fund Splits – Uses multiple fund types by percentage
- (dd)** SDX Standard Fund Splits – Uses multiple fund types by percentage
- (ee)** STD Standard Fund Splits – Uses multiple fund types by percentage

Additional fund numbers may be added during the term of this Agreement and in the Financial Assistance Award by using an Administrative Memo to Counties via email to the contact person listed in Exhibit G, “Standard Terms and Conditions,” section 18., “Notice.” to note the new code number and description.

The fund numbers with source descriptions identifying General Funds or Other Funds as the funding source may actually be paid under a different fund number and source based upon actual funds available at the time of payment. Changes to

the Financial Assistance Award to move amounts from one fund source to another fund source but otherwise budget neutral will be processed as an Administrative Adjustment rather than issuing an Amendment to the Financial Assistance Award. The notice of Administrative Adjustment will be sent to the County via email to the contact person listed in Exhibit G, "Standard Terms and Conditions," Section 18., "Notice." County shall have 30 calendar days to request OHA replace the Administrative Adjustment notice with an Amendment to the Financial Assistance Award. If the County does not make such a request, the Financial Assistance Award shall be deemed amended as noted in the Administrative Adjustment and agreed to by both parties.

- (3) **Column 3, Proj Code:** This item is for OHA's internal use only.
- (4) **Column 4, CPMS:** This item is for OHA's internal use only.
- (5) **Column 5, Provider:** This is either the Provider's name or a description for a specific Service as set forth on that particular line of the Financial Assistance Award.
- (6) **Column 6, Effective Dates:** This specifies the time period during which the Service or Service capacity, as applicable, is expected to be delivered utilizing the approved Service funds as set forth on that particular line of the Financial Assistance Award. For purposes of disbursement method "A" (as described in Section (11), "Column 11, Part ABC," below), these dates also specify the time period during which the approved Service funds will be disbursed to County.
- (7) **Column 7, Slot Change/Type:** This is either the number of slots or number of days of Service or Service capacity, as applicable, OHA anticipates County to deliver during the period specified and utilizing the approved Service funds set forth on that particular line of the Financial Assistance Award. The Service or Service capacity, as applicable, must be delivered in the amounts and over the course of the time period specified on that line of the Financial Assistance Award. This column will be blank, followed by "NA" if the basis of payment set forth in the applicable Service Description is not tied to actual delivery of Services or Service capacity. The Slot Change/Type is the unit of measurement associated with the Effective Dates set forth in column 6. The Slot Change/Type is expressed in three-character designations and have the following meanings:
 - (a) **CSD:** One CSD (or Client Service Day) is one day of Service or Service capacity, as applicable, delivered to one Individual or made available for delivery to one Individual, as applicable.
 - (b) **N/A:** N/A means Slot Change/type is not applicable to the particular line.
 - (c) **SLT:** One SLT (or Slot) is the delivery or capacity to deliver, as applicable, the Service to an Individual during the entire period specified in the corresponding line of the Financial Assistance Award.
- (8) **Column 8, Rate:** This is the cost per day, per month, or per Slot Change/Type measurement for the Service or Service capacity, as applicable, to be delivered utilizing the approved Service funds as set forth on that line of the Financial Assistance Award.
- (9) **Column 9, Operating Dollars:** This is the total amount of funds awarded under this Agreement, as amended from time to time, for delivery of the Service and is

OHA's maximum, not-to-exceed obligation during the time period specified on that particular line, in support of the Services described on that particular line, of the Financial Assistance Award.

- (10) **Column 10, Startup Dollars:** This is the total amount of funds awarded under this Agreement, as amended from time to time, to be used only for one-time expenses incurred in initiating, expanding, or upgrading the specified Service, or for other special one-time expenses related to the Service. Startup funds may only be spent for the purposes specified in the Special Condition(s) as listed in Column 16, "SP#." Startup funds are to be expended only in accordance with Exhibit K of this Agreement and with startup procedures within the applicable Service Elements.
- (11) **Column 11, Part ABC:** This column indicates the method by which OHA disburses the funds awarded under the Agreement, as amended from time to time. The disbursement method listed in this column, as indicated by the letter A, B, or C, will usually be consistent with the disbursement method set forth in the Service Description for the particular Service Element. The characters A, B and C indicate the following disbursement methods:
- (a) The letter 'A' indicates OHA will disburse the awarded funds to County in substantially equal monthly allotments during the period set forth in Column 6, "Effective Dates."
 - (b) The letter 'B' indicates OHA will disburse awarded funds under another agreement and are set forth in this Agreement for tracking purposes only.
 - (c) The letter 'C' indicates OHA will disburse the awarded funds in the manner specified in Column 16, "SP#."

If the disbursement method listed in this column is different than the method set forth in the Service Description, the disbursement method listed in this column shall control. This column only indicates the disbursement method to be used should County be entitled to receive funds awarded, which shall be determined in accordance with the basis of payment as set forth in the applicable Service Element. Any disbursements made to County in excess of the funds County is entitled to, as determined in accordance with the applicable basis of payment and through the Agreement Settlement process, will be recovered by OHA in accordance with the terms of this Agreement.

- (12) **Column 12, Part IV:** This is the Specialized Service Requirement Code, if applicable, and corresponds with the Specialized Service Requirement described in Exhibit B-2. If a code appears in this column, the Service must be delivered in accordance with the Specialized Service Requirement when the Service is delivered using approved Service funds, as set forth on that line of the Financial Assistance Award.
- (13) **Column 13, PAAF CD:** This column is the Plan/Amendment Approval Form (PAAF) code, which is the lookup field to title the various sections of the PAAF based on this PAAF code.
- (14) **Column 14, Base:** This is the code used to indicate how the Services being provided, as set forth on that line of the Financial Assistance Award, are to be handled at the end of the respective biennium, as follows:

- (a) The letter “Y” in this field indicates the Services subject to and modified by this Agreement, hereafter referred to as MOD, as set forth on that line of the Financial Assistance Award may continue into the next biennium. This will be contingent on the Services still being required, at that time and at that level, and upon OHA’s funding being continued at the present funding level or higher, through the Legislatively Adopted Budget for that specific biennium.
 - (b) The letter “N” in this field indicates the Services being modified in this MOD, as set forth on that line of the Financial Assistance Award, are not continuing into the next biennium.
 - (c) The letter “M” in this field indicates the Services being modified in this MOD, as set forth on that line of the Financial Assistance Award, are “maybe” going to continue into the next biennium. This will be determined at the time OHA is preparing the next biennium’s Agreements. This code is typically used for Services paid by Federal Grants.
- (15) **Column 15, Client Code:** This column is used when Service funds, as set forth on that line of the Financial Assistance Award, are for a specific client. The coded client name indicates the approved Service funds may only be expended on the delivery of the specified Service to the specified Individual. If this column is blank, Service funds are not intended for any particular Individual.
- (16) **Column 16, SP#:** This column is for Special Conditions, if any, that must be complied with when providing the Service using approved service funds set forth on that line of the Financial Assistance Award. For certain Services, the Special Conditions specify the rate at which financial assistance will be calculated for delivery of that Service or delivery of capacity for that Service. The Special Conditions are identified by a numeric code. A table or tables listing the Special Conditions by numeric code is included in the Financial Assistance Award.

2. **Format and Abbreviations in Financial Assistance Award Amendments.** The format and abbreviations in a Financial Assistance Award amendment are the same as those used in the initial Financial Assistance Award. If a Financial Assistance Award amendment amends the financial and service information in the Financial Assistance Award, the financial and service information line in the amendment will either amend an existing line in the financial and service information of the Financial Assistance Award or constitute a new line added to the financial and service information of the Financial Assistance Award. A financial and service information line in a Financial Assistance Award amendment (an “Amending Line”) amends an existing line of the Financial Assistance Award (a “Corresponding Line”) if the line in the Financial Assistance Award amendment awards funds for the same Service, specifies the same Child and Adolescent Needs and Strengths (CANS) Name (if applicable), and specifies the same SE# as an existing line (as previously amended, if at all) in the Financial Assistance Award and specifies a date range falling within the Effective Dates specified in that existing line (as previously amended, if at all). If an Amending Line has a positive number in the approved Operating Dollars column, those funds are added to the approved Operating Dollars of the Corresponding Line for the period specified in the Amending Line. If an Amending Line has a negative number in the approved Operating Dollars column, those funds are subtracted from the approved Operating Dollars of the Corresponding Line for period specified in the Amending Line. If an Amending Line has a positive number in the Slot Change/Type column, those Slots are added to the Slot Change/Type in the Corresponding Line for the period specified in the Amending Line. If an Amending Line

has a negative number in the Slot Change/Type column, those Slots are subtracted from the Slot Change/Type in the Corresponding Line for the period specified in the Amending Line. All Special Conditions identified in a Corresponding Line apply to funds identified on an Amending Line (unless a Special Condition or portion thereof on an Amending Line specifies a rate). If an Amending Line contains a Special Condition or portion of a Special Condition that specifies a rate, that Special Condition or portion thereof replaces, for the period specified in the Amending Line, any Special Condition or portion thereof in the Corresponding Line that specifies a rate. If a financial and service information line in a Financial Assistance Award amendment is not an Amending Line, as described above, it is a new line added to the Financial Assistance Award.

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**EXHIBIT D
PAYMENT, SETTLEMENT, AND CONFIRMATION REQUIREMENTS**

1. OHA provides funding for Services through Part A, B, or C awards. The award type is identified in Exhibit C, “Financial Assistance Award,” on lines in which column “Part ABC,” contains an “A” for Part A award, a “B” for Part B award, and a “C” for Part C award:
 - a. Funds awarded to County or Service Providers are subject to the following:
 - (1) OHA shall not authorize in aggregate, under this “Financial Assistance Calculation and Disbursement” section, financial assistance requested for Services in excess of the contractual Not-to-Exceed amount. “Total aggregate funding” means the total of all funding authorized in Exhibit C, “Financial Assistance Award.” The monthly rate will be prorated for any month in which the Individual does not receive Services for a portion of the month. Funding received by the County or Service Provider from an Individual, the Individual’s health insurance provider, another person’s health insurance provider under which Individual is also covered, or any other Third-Party Resource (TPR) in support of Individual’s care and Services, in addition to payments received under this financial assistance agreement for the same Service, during the same time period or date of Service for the same Individual, must be returned to OHA unless TPR funding is used to provide additional Service – increasing capacity – under the same Service Element for which payment from OHA and TPR was provided.

County must make reasonable efforts to obtain payment first from other resources consistent with OAR 410-120-1280. County is obligated to report to OHA, by email at hsd.contracts@odhsoha.oregon.gov, any TPR payments received, no later than 30 calendar days following expiration of this Agreement. The following information shall be provided:

 - (a) OHA Contract name and number;
 - (b) Client name and date of birth;
 - (c) Service for which payment was received;
 - (d) Date of service covered by payment;
 - (e) Date of TPR payment received by County or Service Provider; and
 - (e) Amount of payment.
 - (2) County is not entitled to funding in combination with Medicaid funds for the same Service, during the same time period or date of Services for the same Individual;
 - (3) At no time will OHA pay above the Medicaid rate. Additionally, OHA will not pay above the Medicaid rate in accordance with the OHA Mental Health and Developmental Disability Services Medicaid Payment for Rehabilitative Mental Health Services Rule, posted on the HSD PASRR website located at: <https://www.oregon.gov/oha/HSD/AMH/Pages/PASRR.aspx>, as it may be revised from time to time.
 - (4) OHA is not obligated to provide funding for any Services that are not properly reported in accordance with the “Reporting Requirements” and “Special Reporting

Requirements” sections of this Contract or as required in an applicable Specialized Service Requirement by the date 60 calendar days after the earlier of expiration or termination of this Agreement, termination of OHA’s obligation to provide funding for Services, or termination of County’s obligation to include the Program Area in which Services fall.

b. Part A awards:

OHA provides financial assistance for Services through Part A awards for non-Medicaid-eligible Services. County and Service Providers shall maintain compliance with OAR 410-172-0600 through 0860 Medicaid Payment for Behavioral Health, and OAR 943-120-0310 through 0320 Provider Enrollment Services, for Service Elements MHS 01, 09, 10, 12, 13, 15, 16, 20, 24, 25, 25A, 26, 27, 28, 31, 34, 36, and A&D 61, 63, 65, 66, and 67.

- (1) Calculation of Financial Assistance: OHA will provide financial assistance for Services provided under a particular line of Exhibit C, “Financial Assistance Award,” containing an “A” in column “Part ABC,” from funds identified in that line in an amount equal to that line of the Financial Assistance Award during the period specified in that line. The total of OHA funds for all Services delivered under a particular line of Exhibit C, “Financial Assistance Award” containing an “A” in column “Part ABC,” shall not exceed the total of awards for Services as specified in that line of the Financial Assistance Award and are subject to the limitations described herein.
- (2) Disbursement of Financial Assistance: Unless a different disbursement method is specified in that line of Exhibit C, “Financial Assistance Award,” OHA will disburse the Part A allotments for Services provided under a particular line of the Financial Assistance Award containing an “A” in column “Part ABC,” to County in substantially equal monthly allotments during the period specified in that line of the Financial Assistance Award subject to the following:
 - (a) OHA may, upon written request of County, adjust monthly allotments;
 - (b) Upon amendment to the Financial Assistance Award, OHA shall adjust monthly allotments as necessary, to reflect changes in the funds shown for Services provided under that line of the Financial Assistance Award; and,
 - (c) OHA may, after 30 calendar days (unless parties agree otherwise) written notice to County, reduce the monthly allotments based on under-used funding identified through MOTS and other reports in accordance with the “Reporting Requirements” and “Special Reporting Requirements” sections or applicable special conditions.

c. Part B awards:

Part B is used for any award or payment that is made outside of the State Financial Management Application (SFMA) payment system. For this Agreement, an example of that type of system is the Medicaid Management Information System (MMIS). Part B Limitation awards are not disbursed or settled under this Agreement, but may be included for budgetary purposes.

- (1) Part B awards are calculated and applied as follows:
 - (a) The provider of Services must be enrolled as a Medicaid Provider and follow the procedures for billing OHA for Medicaid Community Mental

Health, or Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services for Medicaid-eligible Individuals through MMIS as outlined in the Medicaid Professional Billing Instructions Manual, available on the OHA website at:

<https://www.oregon.gov/OHA/HSD/OHP/Pages/webportal.aspx?wp4796=1:100>.

- (b) OHA calculates the rates and then processes claims through OHA's MMIS. Part B Limitation is calculated, and payment is made through MMIS directly to the Service Provider on a fee-for-services (FFS) basis. The FFS rates and additional Medicaid Provider resources are available on the OHA website at: <https://www.oregon.gov/oha/HSD/OHP/Pages/index.aspx>; and
- (c) OHA will provide notice to County in a timely manner if there is a change in rates, which shall be established by OHA's Rate Standardization Committee in its sole discretion. All Medicaid reimbursable service billings shall be in accordance with OHA HSD's Medical Assistance Program Rules as listed in OAR 410-172-0600 through 410-172-0860.

d. Part C awards:

(1) Part C awards are calculated and applied as follows:

Unless a different disbursement method is specified in that line of Exhibit C, "Financial Assistance Award," OHA will disburse the Part C funds for Services provided under a particular line of the Financial Assistance Award containing a "C" in column "Part ABC" to County per receipt and approval of a written invoice with required attachments, as specified below, in the monthly allotment during the period specified in that line of the Financial Assistance Award. Invoice and required attachments are due no later than 45 calendar days following the end of the subject month or quarter, and must be submitted to

hsd.contracts@odhsoha.oregon.gov with the subject line "Invoice, contract # (your contract number), contractor name." Financial assistance provided by OHA shall be subject to the limitations described in this Agreement.

- (a) For Services to Medicaid-eligible Individuals for whom the Services provided are not covered under Medicaid but are medically appropriate, County shall attach a copy of the Plan of Care (POC) and Coordinated Care Organization (CCO) refusal of payments for the item or Service. OHA will provide funding at the Medicaid Fee Schedule rate. At no time will OHA provide funding above the Medicaid Fee Schedule rate for Services.
- (b) For Services to non-Medicaid-eligible Individuals, County shall attach a copy of the bill or receipt, for the item or Service, to a combined monthly invoice, itemized by Individual. Part C funding for Psychiatric Security Review Board (PSRB) non-medically approved Services are only for the time period shown and do not carry forward into following years' allotments.

e. Start-Up awards:

- (1) Calculation of Financial Assistance: OHA will provide financial assistance for A&D 60 and MHS 37 Services from funds identified in a particular line of Exhibit C, "Financial Assistance Award," in an amount equal to the amount requested on

the Start-Up form submitted by County, subject to the requirements of Exhibit K, "Start-Up Procedures." The total OHA financial assistance for all A&D 60 and MHS 37 activities described herein under a particular line of the Financial Assistance Award shall not exceed the total funds awarded for A&D 60 and MHS 37 as specified in that line of the Financial Assistance Award.

(2) Disbursement of Financial Assistance:

- (a)** Unless a different disbursement method is specified in that line of Exhibit C, "Financial Assistance Award," OHA will disburse the Start-Up funds awarded for A&D 60 and MHS 37 in a particular line of the Financial Assistance Award after OHA's receipt, review, and approval of County's properly completed "Start-Up Request & Expenditure Report," as described in and in accordance with Exhibit L, "Start-Up Procedures."
- (b)** After execution of the Agreement or any amendment(s) for Start-Up disbursements, County may request an advance of funds it anticipates using in the subsequent 120 calendar days.

(f) Settlement and Confirmation of Performance Requirements:

OHA uses either Settlement or Confirmation of Performance requirements at the end of each contracting period. The specific requirement will be listed in each individual Service Description.

(1) Agreement Settlement:

- (a)** Agreement Settlement will be used to reconcile any discrepancies that may have occurred during the term of this Agreement between actual OHA disbursements of funds awarded for Services under a particular line of Exhibit C, "Financial Assistance Award," containing an "A" in column "Part ABC," and amounts due for such Services based on the rate set forth in the special condition identified in that line of the Financial Assistance Award. For purposes of this section, amounts due to County are determined by the actual amount of Services delivered under that line of the Financial Assistance Award, as properly reported in accordance with the "Reporting Requirements" and "Special Reporting Requirements" sections of the Agreement or as required in an applicable Specialized Service Requirement, and subject to the terms and limitations in this Agreement.

The settlement process will not apply to funds awarded for an approved reserved service capacity payment.

(b) Agreement Settlement for Start-Up Services:

Agreement Settlement will be used to reconcile any discrepancies that may have occurred during the term of this Agreement between actual OHA disbursements of funds awarded for Start-Up and amounts due for Services based on actual allowable expenditures incurred in accordance with the Service Description and Exhibit L, "Start-Up Procedures."

County shall submit all Start-Up Request and Expenditure Reports at the level of detail prescribed by OHA. Any reports not submitted by 45 calendar days after the expiration or termination date of this Agreement, whichever is earlier, shall not be accepted nor any funds owed by OHA.

(2) Confirmation of Performance and Reporting Requirements:

County shall be required to demonstrate through the data properly reported in accordance with the “Reporting Requirements” and “Special Reporting Requirements” sections, the qualifying Services to which these Services can be attributed, how funds awarded were utilized consistent with the terms and limitations herein to meet the performance requirements of the Service Description, and that County shall be subject to the monitoring and review of performance requirements and quality measures by the OHA Contract Administrator for the Program under which these Services falls and subject to the terms and limitations in this Agreement.

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**EXHIBIT E
SPECIAL TERMS AND CONDITIONS**

1. **County Expenditures on Addiction Treatment, Recovery, & Prevention Services.** In accordance with ORS 430.345 to 430.380 (the “Mental Health Alcoholism and Drug Services Account”), County shall maintain its 2023-2024 financial contribution to alcohol and other drug treatment and prevention services at an amount not less than that for fiscal year 2023. Furthermore, and in accordance with the Mental Health Alcoholism and Drug Services Account, County shall maintain its 2025 financial contribution to alcohol and other drug treatment and prevention services at an amount not less than that for calendar year 2024. OHA may waive all or part of the financial contribution requirement in consideration of severe financial hardship or any other grounds permitted by law.
2. **Limitations on use of Financial Assistance Awarded for Addiction Treatment, Recovery, & Prevention Services.** Financial assistance awarded under this Agreement for Addiction Treatment, Recovery, & Prevention Services (as reflected in the Financial Assistance Award), may not be used to:
 - a. Provide inpatient hospital services;
 - b. Make cash payments to intended recipients of health services;
 - c. Purchase or improve land, or purchase, construct or permanently improve (other than minor remodeling) any building or other facility or to purchase major medical equipment;
 - d. Satisfy any requirement for expenditure of non-federal funds as a condition for receipt of federal funds (whether the federal funds are Federal Funds under this Agreement or otherwise); or
 - e. Carry out any program prohibited by section 256(b) of the Health Omnibus Programs Extension Act of 1988 (codified at 42 U.S.C. 300ee-5), which specifically prohibits funds provided under this Agreement from being used to provide Individuals with hypodermic needles or syringes so that such Individuals may use illegal drugs, unless the Surgeon General of the Public Health Service determines that a demonstration needle exchange program would be effective in reducing drug abuse.
3. County shall maintain separate fund balances for the Community Mental Health Services, Addiction Treatment, Recovery, & Prevention Services, and Problem Gambling Services.
4. **County Investigating and Reporting Allegations of Abuse for Mental Health Services.** County shall investigate and report all allegations of abuse regarding served Individuals and provide protective services to those Individuals to prevent further abuse. The investigation, reporting and protective services must be completed in compliance with ORS 430.735 through 430.765 and OAR 407-045-0120 through 407-045-0955, as such statutes and rules may be revised from time to time.
5. **Trauma Informed Services** also referred to as **Trauma Informed Care (TIC).** CMHP shall comply with OAR 309-019-0105(118) as it relates to TIC. Providing any OHA Services, CMHP will have a TIC plan and TIC will appear as a core principle in CMHP policies, mission statement, and written program and service information, in accordance with OHA Trauma Informed Care

(TIC) Policy located at <https://www.oregon.gov/oha/amh/trauma-policy/Trauma%20Policy.pdf>. CMHP will initiate and complete an agency self-assessment and have a quality assurance structure/process to further develop and sustain TIC.

6. **Promotion, Prevention, Early Identification, and Intervention.** Within available funds, CMHP will focus on promotion, prevention and early identification and intervention of conditions that lead to behavioral and mental health conditions in the array of interventions supported by CMHP services. This focus will lead to improved outcomes and enhanced healthcare experiences for Individuals as well as reduce overall expenditures.
7. **Clinical Interventions and Support Services** provided to any Individual enrolled in the Oregon Health Plan (OHP) who is covered for these Services and for which the CCO or Medical Assistance Programs (MAP) pays for these Services are not eligible for Services. The OHP benefit package includes many of the Services provided under this Agreement. The intent is not to duplicate OHP but rather augment the package of Services.
8. **Performance Standards and Quality Measures.** County shall comply with the following:
 - a. A Provider delivering Services with funds provided through this Agreement may not use funds to deliver covered Services to any Individual known to be enrolled in the Oregon Health Plan.
 - b. The quality of Services supported with funds provided through this Agreement will be measured in accordance with the criteria set forth below. The criteria are applied on a countywide basis each calendar quarter (or portion thereof) during the period for which the funds are awarded. County shall develop and implement quality assurance and quality improvement processes to progressively improve, as measured by the criteria set forth below, the quality of Services provided under this Agreement. OHA may provide performance incentive funds to some or all of these standards and measures. OHA may recommend additional actions to improve quality.
 - (1) **Access:** Access is measured by OHA as the percentage of county residents, as estimated by an OHA approved survey to determine treatment need, who are enrolled in Services with the exception of prevention and promotion. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, and Prevention, and Problem Gambling Services.
 - (2) **Treatment Service Initiation:** Treatment service initiation is measured as the percentage of Individuals served within 14 calendar days of the original assessment, also known as the index date. The index date is a start date with no Services in the prior 60 calendar days. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, and Prevention, and Problem Gambling Services.
 - (3) **Treatment Service Retention:** Treatment service retention is measured as the percentage of Individuals engaged in and receiving Services (excluding prevention and promotion) with funds provided through this Agreement who are actively engaged in Services for 90 calendar days or more. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.
 - (4) **Reduced Use:** Reduced use is measured as the percentage of Individuals engaged in and receiving Addiction Treatment, Recovery, & Prevention Services with funds

provided through this Agreement who reduce their use of alcohol or other drugs during treatment/Services, as reported in MOTS.

- (5) **Facility-Based Care Follow-Up:** Facility-based care follow-up is measured by the percentage of Individuals with a follow-up visit within 7 calendar days after hospitalization for mental illness or any facility-based Service defined as residential. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.
- (6) **Hospital and Facility-Based Readmission Rates:** Hospital and facility-based readmission rates are measured as a percentage of the number of Individuals returning to the same or higher levels of care within 30 and 180 calendar days divided by the total number of discharges. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.
- (7) **Parent-Child Reunification:** Parent-child reunification is measured as a percentage by dividing the number of parents reunited with a child (or multiple children) by the total number of parents served who had children in an out-of-home placement or foster care due to child welfare involvement. This measure applies to Addiction Treatment, Recovery, & Prevention Services only.
- (8) **Functional Outcomes – Housing Status; Employment Status; School Performance; and Criminal Justice Involvement:** Four functional outcome measures will be monitored by OHA and reported to the County as follows:
 - (a) **Housing Status:** This measure will be monitored and reported when improved housing status is established as a goal of treatment and Services; or when a person is homeless or in a licensed care facility. The measure is expressed as the number of Individuals who improve housing status, as indicated by a change from homelessness or licensed facility-based care to private housing, divided by the total number of Individuals with a goal to improve housing. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.
 - (b) **Supported Housing:** This measure is to count integrated housing for Individuals with Serious and Persistent Mental Illness (SPMI). The measure will be calculated based on the Individuals receiving rental assistance through the Rental Assistance Program and through the identification of Supported Housing in the community.
 - (c) **Employment Status:** This measure will be monitored and reported when employment is a goal of treatment and Services. This measure is expressed as the number of Individuals who become employed, as indicated by a change in employment status, divided by the total number of Individuals with a goal of becoming employed. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.
 - (d) **School Performance:** This measure will be monitored and reported when improved school attendance is a goal of treatment and Services. The measure is expressed as the number of Individuals who improve attendance in school while in active treatment, divided by the total number of

Individuals with a goal of improved attendance. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.

- (e) **Criminal Justice Involvement:** This measure will be monitored by OHA for Individuals referred by the justice system. The measure is expressed as the number of Individuals who were not arrested after an episode of active treatment or two consecutive quarters (whichever comes first), divided by the total number of Individuals referred by the justice system. This measure applies to all program areas; Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services.
- (f) **Oregon State Hospital (OSH) Ready to Transition List (RTT):** All Contractors need to work together to make sure when an Individual is deemed Ready to Transition, they are discharged timely and with the appropriate Services and supports. This measure will be calculated by identifying the length of time from RTT to discharge for Individuals at OSH under civil commitment. This measure applies only to Community Mental Health services.

9. Upon OHA's identification of any deficiencies in the County's performance under this Agreement, including without limitation failure to submit reports as required, failure to expend available funding, or failure to meet performance requirements, County shall prepare and submit to OHA within 30 calendar days a Corrective Action Plan (CAP) to be reviewed and approved by OHA. The CAP shall include, but is not limited to, the following information:

- a. Reason or reasons for the CAP;
- b. The date the CAP will become effective, with timelines for implementation;
- c. Planned action already taken to correct the deficiencies, as well as proposed resolutions to address remaining deficits identified, with oversight and monitoring by OHA; and
- d. Proposed remedies, short of termination, should County not come into compliance within the timeframe set forth in the CAP.

10. **Reporting Requirement for MOTS**

All Individuals receiving Services under Service Element(s) A&D 61, 62, 63, 64, 65, 66, 67, and/or MHS 01, 04, 05, 09, 13, 15, 20, 25, 25A, 26, 27, 28, 30, 34, 35, 36, 38, 39 with funds provided through this Agreement must be enrolled and that Individual's record maintained in the Measures and Outcomes Tracking System (MOTS) as specified in OHA's MOTS Reference Manual located, at: <https://www.oregon.gov/OHA/HSD/AMH-MOTS/pages/resource.aspx>, and the Who Reports in MOTS Policy, as follows:

The data collection system for the Health Systems Division (HSD) is the Measures and Outcomes Tracking System or MOTS. In general, behavioral health providers who are either licensed or have a letter of approval from the HSD (or the former Addictions & Mental Health Division [AMH], and receive public funds to provide treatment services are required to report to MOTS. In addition to the general rule above, there are four basic ways to classify who is required to submit data to MOTS:

- a. Providers with HSD contracts that deliver treatment services (this includes Community Mental Health Programs [CMHP], Local Mental Health Authorities [LMHA] and other

types of community behavioral health providers); these programs shall all have a license or letter of approval from the HSD or AMH;

- b. Providers that are subcontractors (can be a subcontractor or a CMHP or other entity that holds a contract with HSD or OHA, such as a Mental Health Organization [MHO], or a Coordinated Care Organization [CCO]);
- c. Providers that HSD does not contract with but are required to submit data to MOTS by State/Federal statute or rule; these include DUII services providers and methadone maintenance providers; and
- d. Providers that contract with other governmental agencies (e.g., Oregon Youth Authority [OYA] or the Department of Corrections [DOC] to deliver mental health and/or substance abuse services).
- e. Primary care physicians that provide a single service on behalf of the CMHP are not required to report the MOTS status or service level data.

If there are any questions, contact MOTS Support at MOTS.Support@dhsosha.state.or.us.

11. Funding Resource Availability

- a. Services described herein are subject to the availability of funds and resources in the community.
- b. The County acknowledges that OHA does not provide direct Services to Individuals described in MHS 4, 24 and 28; and that OHA provides financial assistance under this Agreement to assist the County in providing these Services to Individuals.
- c. If the County determines that it cannot reasonably meet the requirements in subsection a(2)(h)(ii) in MHS 4 related to the identification and placement of an Individual with a Provider outside of the state hospital within 60 calendar days from when the state hospital notifies the County that the Individual no longer requires state hospital level of care, then the County shall follow the resolution process described in subsections 11(f) and (g) of this Exhibit.
- d. If the County determines that it cannot reasonably meet the requirements in subsection a(2)(h) in MHS 24 related to the identification and placement of an Individual with a Provider outside of a state or community hospital within 60 calendar days from when the state or community hospital notifies the County that the Individual no longer requires hospital level of care, then the County shall follow the resolution process described in subsections 11(f) and (g) of this Exhibit.
- e. If the County determines that it cannot reasonably meet the requirements in subsection a(2)(c) in MHS 28 related to the identification and placement of an Individual with a Provider outside of the state hospital within 60 calendar days from when the state hospital notifies the County that the Individual no longer requires state hospital level of care, then the County shall follow the resolution process described in subsections 11(f) and (g) of this Exhibit.
- f. If the County determines that it cannot reasonably provide the Services described in subsections 11(c) through (e) of this Exhibit, the County shall:
 - (1) Develop a Plan of Resolution that provides the following information to OHA:

- (a) Identify the barrier(s) to providing the Service to the Individual including, but not limited to: insufficient funds, lack of Providers, Individual-specific barrier(s), or coordination issues with Providers, governmental bodies or contractors, or any other interested parties;
 - i. If the barrier is insufficient funding, provide OHA with specific information about the amount of funds that the County has left under this Agreement to provide Services under MHS 4, 24, or 28 for the remaining term of the Agreement;
 - ii. If the barrier is lack of Providers, identify the specific gaps in Provider capacity (e.g., level of care and geographic area);
 - iii. If the barrier is an Individual-specific barrier(s), provide information whether this is an exceptional case or likely to reoccur with other Individuals; or
 - iv. If the barrier is in coordination, identify the issue and the entities or persons involved.
 - (b) Provide information on how the County has already attempted to address the identified barrier(s), such as:
 - i. Requested proposals for contracts from new Providers;
 - ii. Used existing funds to develop and fund new Providers;
 - iii. Contacted OHA to discuss complex case management and the use of funds or need for additional funds for new Providers or Individual-specific Services; or
 - iv. Coordinated with other entities or persons involved in providing or funding the Services to the Individual under MHS 4, 24, or 28.
 - (c) Provide a plan on how to resolve the identified barrier(s); and
 - (d) Identify how OHA can assist the County in resolving the barrier(s).
- (2) Timely submit the Plan of Resolution to OHA , but no later than 10 business days from the date that the County determines it cannot provide the Services to the Individual due to insufficient funds, lack of Providers, Individual-specific barrier(s), or coordination issues;
 - (3) Meet with OHA within 10 business days of submitting the Plan of Resolution in order to discuss it, unless the timeframe is extended by the mutual written agreement of the County and OHA;
 - (4) If, after the meeting, OHA does not approve the Plan of Resolution, submit a first Revised Plan of Resolution to OHA within 10 business days of receiving OHA's notice of non-approval unless a longer period is specified in the notice; OHA will provide a rationale for why the plan was not approved in writing within 3 business days of the meeting if the plan is not approved.
 - (5) Implement the OHA-approved Plan of Resolution or OHA-approved Revised Plan of Resolution within the reasonable deadline set by OHA in its approval notice or by the mutually agreed upon deadline set by the County and OHA, whichever is longer.

- g.** If the County's Plan of Resolution and first Revised Plan of Resolution are not approved by OHA, the County shall elevate the issue to senior management or appropriate designee.
 - (1)** The County's senior management or their designee shall meet with OHA's senior management or designee to discuss the first Revised Plan of Resolution and the ways OHA can support the County in resolving the issue within 10 business days from the date of OHA's notice of non-approval of the first Revised Plan of Resolution.
 - (2)** The County shall continue to submit Revised Plans of Resolution to OHA for review until it is approved by OHA. If the County and OHA agree that further revisions will not resolve the barriers or allow the County to provide the Services to the Individual, no further revisions of the Plan of Resolution will be required.
 - (3)** If a revised Plan of Resolution is approved by OHA, the County shall implement it within the reasonable deadline set by OHA in its approval notice or by the mutually agreed upon deadline set by the County and OHA, whichever is longer.
- h.** OHA agrees to provide the following support to the County for Services provided to Individuals under MHS 4, 24, and 28:
 - (1)** Provide complex case management support to assist the County in locating placements or Services for Individuals with placement barriers (e.g., sex offender history, special medical needs, and dual diagnosis).
 - (2)** Assist the County in resolving coordination issues with Coordinated Care Organizations, CHOICE contractors, the Department of Human Services, and any other entities involved in providing or funding the Individual's Services.
 - (3)** Act as a good faith partner with the County to address shortages in staffing, capacity, or other needs required by the County to provide the Services to Individuals under MHS 4, 24, and 28.
 - (4)** If the County submits a Plan of Resolution or Revised Plan of Resolution under subsections 11(f) and (g) of this Exhibit, OHA shall:
 - (a)** Review the Plan of Resolution or Revised Plan of Resolution and set a meeting with the County;
 - (b)** Meet with the County within 10 business days of receiving the Plan of Resolution or Revised Plan of Resolution in order to discuss it, unless the timeframe is extended by the mutual written agreement of the County and OHA;

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**EXHIBIT F
GENERAL TERMS AND CONDITIONS**

1. Disbursement and Recovery of Financial Assistance.

- a. Disbursement Generally.** Subject to the conditions precedent set forth below, OHA shall disburse the financial assistance described in the Financial Assistance Award to County in accordance with the procedures set forth below and, as applicable, in the Service Descriptions and the Financial Assistance Award. Disbursement procedures may vary by Service.
 - (1) Disbursement of Financial Assistance Awarded for Services in Financial Assistance Award.** As set forth in the Service Description for a particular Service, OHA will generally disburse financial assistance that is described in the Financial Assistance Award to County in monthly allotments in advance of actual delivery of the Service.
 - (2) Disbursements Remain Subject to Recovery.** All disbursements of financial assistance under this Agreement, including disbursements made directly to Providers, remain subject to recovery from County, in accordance with Recovery of Financial Assistance section below.
- b. Conditions Precedent to Disbursement.** OHA's obligation to disburse financial assistance to County under this Agreement is subject to satisfaction, with respect to each disbursement, of each of the following conditions precedent:
 - (1)** No County default, as described in Section 6 of Exhibit G, "Standard Terms and Conditions," has occurred.
 - (2)** County's representations and warranties, as set forth in Section 4 of Exhibit G, "Standard Terms and Conditions," are true and correct on the date of disbursement with the same effect as though made on the date of disbursement.
- c. Recovery of Financial Assistance.**
 - (1) Notice of Underexpenditure, Overexpenditure.** If OHA believes there has been an Underexpenditure or Overexpenditure (as defined in Exhibit A "Definitions") of moneys disbursed under this Agreement, OHA shall provide County with written notice thereof, with a detailed spreadsheet providing supporting data of an under or over expenditure, and OHA and County shall engage in the process described in the Recovery of Underexpenditure or Overexpenditure section below. If OHA believes there has been a Misexpenditure (as defined in Exhibit A "Definitions") of moneys disbursed to County under this Agreement, OHA shall provide County with written notice thereof and OHA and County shall engage in the process described in Recovery of Misexpenditures section below.

(2) **Recovery of Underexpenditure or Overexpenditure.**

- (a) **County's Response.** County shall have 90 calendar days from the effective date of the notice of Underexpenditure or Overexpenditure or from the date of receipt of the notice, whichever is later, to pay OHA in full or notify OHA that it wishes to engage in the appeals process set forth in the Appeals Process section below. If County fails to respond within that 90 calendar-day time period, County shall promptly pay the noticed Underexpenditure or Overexpenditure.
- (b) **Appeals Process.** Upon receipt of the final notice, if County notifies OHA that it wishes to engage in the Appeals Process, County and OHA shall engage in non-binding discussions to give the County an opportunity to present reasons why it believes that there was no Underexpenditure or Overexpenditure, or that the amount of the Underexpenditure or Overexpenditure was different than the amount identified by OHA, and to give OHA the opportunity to reconsider its notice. County and OHA may negotiate an appropriate apportionment of responsibility for the repayment of an Underexpenditure or Overexpenditure. At County request, OHA will meet and negotiate with County in good faith concerning appropriate apportionment of responsibility for repayment of an Underexpenditure or Overexpenditure. In determining an appropriate apportionment of responsibility, County and OHA may consider any relevant factors. An example of a relevant factor is the extent to which either party contributed to an interpretation of a statute, regulation or rule prior to the expenditure that was officially reinterpreted after the expenditure. If OHA and County reach agreement on the amount owed to OHA, County shall promptly repay that amount to OHA by issuing payment to OHA or by directing OHA to withhold future payments pursuant to the Recovery from Future Payment section below. If OHA and County are unable to agree to whether there has been an Underexpenditure or Overexpenditure or as to the amount owed, the parties may agree to consider further appropriate dispute resolution processes, including, subject to State of Oregon Department of Justice and County Counsel approval, arbitration. If both parties are unable to agree to further dispute resolution, the parties shall proceed according to the procedures described in the Recovery from Future Payments section below.
- (c) **Recovery from Future Payments.** To the extent that OHA is entitled to recover an Underexpenditure or Overexpenditure pursuant to this Recovery of Underexpenditure or Overexpenditure section, OHA may recover the Underexpenditure or Overexpenditure by offsetting the amount thereof against future amounts owed to County by OHA, including, but not limited to, any amount owed to County by OHA under any other agreement between County and OHA, present or future. OHA shall provide County written notice of its intent to recover the amount of the Underexpenditure or Overexpenditure from amounts owed County by OHA as set forth in this Section and shall identify the amounts, which OHA intends to offset, (including the agreements, if any, under which the amounts owed arose and from those from which OHA wishes to deduct payments). County shall then have 14 calendar days from the date of OHA's notice in which to request the deduction be made from other amounts owed to County by OHA and

identified by County. OHA shall comply with County's request for alternate offset. In the event that OHA and County are unable to agree on which specific amounts, owed to County by OHA, OHA may offset in order to recover the amount of the Underexpenditure or Overexpenditure, OHA may select the particular agreements, between OHA and County, and amounts from which it will recover the Underexpenditure or Overexpenditure, after providing notice to the County and subject to the following limitations: OHA shall first look to amounts owed to County (but unpaid) under this Agreement. If that amount is insufficient, then OHA may look to any other amounts currently owing or owed in the future to County by OHA. In no case, without the prior consent of County, shall OHA deduct from any one payment due to County under the agreement from which OHA is offsetting funds an amount in excess of twenty-five percent (25%) of that payment. OHA may look to as many future payments as necessary in order to fully recover the amount of the Underexpenditure or Overexpenditure.

(3) Recovery of Misexpenditure.

- (a)** If OHA believes there has been a Misexpenditure (as defined in Exhibit A "Definitions") of money disbursed to County under this Agreement, OHA shall provide to County a written notice of recovery, with a detailed spreadsheet providing supporting data of the Misexpenditure attached, and OHA and County shall engage in the process described in the Appeal Process section below.
- (b) County's Response.** From the effective date of the Misexpenditure notice or from the date of receipt of notice, whichever is later, County shall have the lesser of 60 calendar days; or if a Misexpenditure relates to a federal government request for reimbursement, 30 calendar days fewer than the number of days (if any) OHA has to appeal a final written decision from the federal government, to either:
 - i.** Make a payment to OHA in the full amount of the Misexpenditure as identified by OHA in the notice; or
 - ii.** Notify OHA that County wishes to repay the amount of the Misexpenditure, as identified by OHA in the notice, from future payments pursuant to the Recovery from Future Payments section below; or
 - iii.** Notify OHA that it wishes to engage in the applicable appeal process, as set forth in the Appeal Process section below.

If County fails to respond within the time required by this Section, OHA may recover the amount of the Misexpenditure identified in the notice from future payments as set forth in Recovery from Future Payment section below.

- (c) **Appeal Process.** If County notifies OHA that it wishes to engage in an appeal process with respect to a notice of Misexpenditure from OHA, the parties shall comply with the following procedures, as applicable:
- i. **Appeal from OHA-Identified Misexpenditure.** If OHA’s notice of Misexpenditure is based on a Misexpenditure solely of the type described in Section 20(b) or (c) of Exhibit A, “Definitions,” County and OHA shall engage in the process described in this Appeal Process section to resolve a dispute regarding the notice of Misexpenditure. First, County and OHA shall engage in non-binding discussions, to give the County an opportunity to present reasons why it believes that there is, in fact, no Misexpenditure or that the amount of the Misexpenditure is different than the amount identified by OHA in the notice, and to give OHA the opportunity to reconsider its notice. County and OHA may negotiate an appropriate apportionment of responsibility for the repayment of the Misexpenditure. At County’s request, OHA will meet and negotiate with County in good faith concerning appropriate apportionment of responsibility for repayment of the Misexpenditure. In determining an appropriate apportionment of responsibility, County and OHA may consider any relevant factors. An example of a relevant factor is the extent to which either party contributed to an interpretation of a statute, regulation or rule prior to the expenditure that was officially reinterpreted after the expenditure. If OHA and County reach agreement on the amount owed to OHA, County shall promptly repay that amount to OHA by issuing payment to OHA or by directing OHA to withhold future payments pursuant to the Recovery from Future Payments section below. If OHA and County continue to disagree as to whether there has been a Misexpenditure or as to the amount owed, the parties may agree to consider further appropriate dispute resolution processes, including, subject to State of Oregon Department of Justice and County Counsel approval, arbitration.
 - ii. **Appeal from Federal-Identified Misexpenditure.**
 - A. If OHA’s notice of Misexpenditure is based on a Misexpenditure of the type described in Section 20(a) of Exhibit A, “Definitions,” and the relevant federal agency provides a process either by statute or administrative rule to appeal the determination of improper use of federal funds, the notice of disallowance or other federal identification of improper use of funds, and if the disallowance is not based on a federal or state court judgment founded in allegations of Medicaid fraud or abuse, then County may, 30 calendar days prior to the applicable federal appeals deadline, request that OHA appeal the determination of improper use, notice of disallowance or other federal identification of improper use of funds in accordance with the process established or adopted by the federal agency. If County so requests that OHA appeal the determination of improper use of federal

funds, federal notice of disallowance or other federal identification of improper use of funds, the amount in controversy shall, at the option of County, be retained by the County or returned to OHA pending the final federal decision resulting from the initial appeal. If the County requests, prior to the deadline set forth above, that OHA appeal, OHA shall appeal the determination of improper use, notice of disallowance or other federal identification of improper use of funds in accordance with the established process and shall pursue the appeal until a decision is issued by the Departmental Grant Appeals Board of the Department of Health and Human Services (the "Grant Appeals Board") pursuant to the process for appeal set forth in 45 C.F.R. Subtitle A, Part 16, or an equivalent decision is issued under the appeal process established or adopted by the federal agency. County and OHA shall cooperate with each other in pursuing the appeal. If the Grant Appeals Board or its equivalent denies the appeal then either County, OHA, or both may, at their discretion, pursue further appeals. Regardless of any further appeals, within 90 calendar days of the date the federal decision resulting from the initial appeal is final, County shall repay to OHA the amount of the Misexpenditure (reduced, if at all, as a result of the appeal) by issuing payment to OHA or by directing OHA to withhold future payments pursuant to Recovery from Future Payments section below. To the extent that County retained any of the amount in controversy while the appeal was pending, the County shall also pay to OHA the interest, if any, charged by the federal government on such amount.

- B.** If the relevant federal agency does not provide a process either by statute or administrative rule to appeal the determination of improper use of federal funds, the notice of disallowance or other federal identification of improper use of funds or County does not request that OHA pursue an appeal 30 calendar days prior to the applicable federal appeals deadline, and if OHA does not appeal, within 90 calendar days of the date the federal determination of improper use of federal funds, the federal notice of disallowance or other federal identification of improper use of funds is final, County shall repay to OHA the amount of the Misexpenditure by issuing a payment to OHA or by directing OHA to withhold future payments pursuant to the Recovery from Future Payments section below.

- C.** If County does not request that OHA pursue an appeal of the determination of improper use of federal funds, the notice of disallowance or other federal identification of improper use of funds 30 calendar days prior to the applicable federal appeals deadline but OHA nevertheless appeals, County shall repay to OHA the amount of the Misexpenditure (reduced, if at all, as a result of the appeal), within 90 calendar days of the date the federal decision resulting from the appeal is final, by issuing payment to OHA or by directing OHA to withhold future payments pursuant to the Recovery from Future Payments section below.
- D.** Notwithstanding County's Response section above, if the Misexpenditure was expressly authorized by OHA rule or an OHA writing that applied when the expenditure was made but was prohibited by federal statutes or regulations that applied when the expenditure was made, County will not be responsible for repaying the amount of the Misexpenditure to OHA, provided that:

 - I.** Where post-expenditure official reinterpretation of federal statutes or regulations results in a Misexpenditure, County and OHA will meet and negotiate in good faith an appropriate apportionment of responsibility between them for repayment of the Misexpenditure.
 - II.** For purposes of this Section, an OHA writing must interpret this Agreement or OHA rule and be signed by the Director of OHA, the Director of Health Systems Division or the Section Director.

OHA shall designate an alternate officer in the event the Health Systems Division is abolished. Upon County's request, OHA shall notify County of the names of the individual officers listed above. OHA shall send OHA writings described in this paragraph to County by mail and email and to CMHP directors by email.
 - III.** The OHA writing must be in response to a request from County for expenditure authorization or a statement intended to provide official guidance to County or counties generally for making expenditures under this Agreement. The writing must not be contrary to this Agreement or contrary to law or other applicable authority that is clearly established at the time of the OHA writing.

- IV. If the OHA writing is in response to a request from County for expenditure authorization, the County's request must be in writing and signed by the director of a County department with the authority to make such a request or by the County Counsel. It must identify the supporting data, provisions of this Agreement and provisions of applicable law relevant to determining if the expenditure should be authorized.
 - V. An OHA writing expires on the date stated in the writing, or if no expiration date is stated, six years from the date of the writing. An expired OHA writing continues to apply to County expenditures that were made in compliance with the writing and during the term of the writing.
 - VI. OHA may revoke or revise an OHA writing at any time if it determines in its sole discretion that the writing allowed expenditure in violation of this Agreement, law, or any other applicable authority. However, County is not responsible for a misexpenditure that was based on an OHA writing that was effective at the time of the misexpenditure.
 - VII. OHA rule does not authorize an expenditure that this Agreement prohibits.
- (d) **Recovery from Future Payments.** To the extent that OHA is entitled to recover a Misexpenditure pursuant to the Appeal Process section above, OHA may recover the Misexpenditure by offsetting the amount thereof against future amounts owed to County by OHA, including, but not limited to, any amount owed to County by OHA under this Agreement or any amount owed to County by OHA under any other agreement between County and OHA, present or future. OHA shall provide County written notice of its intent to recover the amount of the Misexpenditure from amounts owed County by OHA as set forth in this Section, and shall identify the amounts owed by OHA which OHA intends to offset (including the agreements, if any, under which the amounts owed arose and from those from which OHA wishes to deduct payments). County shall then have 14 calendar days from the date of OHA's notice to request the deduction be made from other amounts owed to County by OHA and identified by County. OHA shall comply with County's request for alternate offset. In the event that OHA and County are unable to agree on which specific amounts, owed to County by OHA, OHA may offset in order to recover the amount of the Misexpenditure, then OHA may select the particular agreements between OHA and County and amounts from which it will recover the amount of the Misexpenditure, after providing notice to the County, and subject to the following limitations: OHA shall first look to amounts owed to County (but unpaid) under this Agreement. If that amount is insufficient, then OHA may look to any other amounts currently owing or owed in the

future to County by OHA. In no case, without the prior consent of County, shall OHA deduct from any one payment due County under the agreement from which OHA is offsetting funds an amount in excess of twenty-five percent (25%) of that payment. OHA may look to as many future payments as necessary in order to fully recover the amount of the Misexpenditure.

(4) Additional Provisions related to parties' rights and obligations with respect to Underexpenditures, Overexpenditures and Misexpenditures.

- (a)** County shall cooperate with OHA in the Agreement Settlement process.
- (b)** OHA's right to recover Underexpenditures, Overexpenditures and Misexpenditures from County under this Agreement is not subject to or conditioned upon County's recovery of any money from any other entity.
- (c)** If the exercise of OHA's right to offset under this provision requires the County to complete a re-budgeting process, nothing in this provision shall be construed to prevent the County from fully complying with its budgeting procedures and obligations, or from implementing decisions resulting from those procedures and obligations.
- (d)** Nothing in this provision shall be construed as a requirement or agreement by the County to negotiate and execute any future contract with OHA.
- (e)** Nothing in this Section shall be construed as a waiver by either party of any process or remedy that might otherwise be available.

2. Use of Financial Assistance. County shall use the financial assistance disbursed to County under this Agreement solely to cover actual Allowable Costs reasonably and necessarily incurred to deliver Services during the term of this Agreement.

3. Award Adjustments

- a.** County may use funds awarded in a Program Area to cover actual Allowable Costs reasonably and necessarily incurred to deliver Services in that Program Area, from the Effective Date of this Agreement through the termination or expiration of this Agreement,.
 - (1)** In addition to the financial assistance provided to County under this Agreement expressly for those Services, County may reallocate up to 10 percent (10%) of the aggregate financial assistance awarded to County at the time the use occurs (as such award is reflected in the Financial Assistance Award without giving effect to any prior adjustments under this Award Adjustments section and other than from Federal Funds) for other Services in that Program Area. The only Service Elements subject to this Award Adjustments section (1) and eligible for this 10% reallocation are: MHS 16, MHS 16A, MHS 17, MHS 20, MHS 30, MHS 31, MHS 34, MHS 35, MHS 35A, MHS 35B, MHS 36, MHS 38, MHS 39, and A&D 63, A&D 64, A&D 66, and A&D 67.
 - (2)** In addition, to the financial assistance provided to County under this Agreement expressly for those Services, the County may reallocate up to 25 percent (25%) of the aggregate financial assistance awarded to County at the time the use occurs (as such award is reflected in the Financial Assistance Award without giving effect to any prior adjustments under this Award Adjustments section and other than Federal Funds) for other Services in that Program Area. The only Service Element subject

to this Award Adjustments section (2) and eligible for the 25% reallocation are: MHS 01, MHS 04, MHS 24, MHS 28, and MHS 28A.

(3) If County uses financial assistance described in the Financial Assistance Award in reliance on this Award Adjustments section (1) or (2) above, County shall promptly notify OHA in writing of such use.

- b. Financial assistance disbursed to County under this Agreement that County would be entitled to retain if used prior to the termination or expiration of this Agreement (as calculated in accordance with the methodologies set forth in the applicable Service Descriptions), may be retained by County even if not used prior to the termination or expiration of this Agreement provided that other provisions of this Agreement do not require the financial assistance to be used by County prior to the termination or expiration of this Agreement and provided further that County uses the financial assistance solely to deliver future Services for the purpose it was originally awarded.

4. Amendments Proposed by OHA.

- a. **Amendments of Financial Assistance Award.** County shall review all proposed amendments to the Financial Assistance Award prepared and presented to County by OHA in accordance with this Section. Amendments to the Financial Assistance Award will be presented to County in electronic form. OHA may withdraw a proposed amendment by and effective upon written notice to County. If not sooner accepted or rejected by County, or withdrawn by OHA, a proposed amendment shall be deemed rejected by County 60 calendar days after County's receipt thereof and OHA's offer to amend the Financial Assistance Award shall be automatically revoked. If County chooses to accept a proposed amendment presented in electronic form, County shall return the proposed amendment to OHA signed by the County Financial Assistance Administrator. Upon OHA's actual physical receipt and signature of a proposed amendment signed by the County Financial Assistance Administrator but otherwise unaltered, the proposed amendment shall be considered accepted by the parties and the Financial Assistance Award, as amended by the proposed amendment, shall become the Financial Assistance Award under this Agreement. If County returns a proposed amendment altered in any way (other than by signature of the County Financial Assistance Administrator), OHA may, in its discretion, accept the proposed amendment as altered by County but only if the County Financial Assistance Administrator has initialed each alteration. A proposed amendment altered by County and returned to OHA shall be considered accepted by OHA on the date OHA initials each alteration and on that date the Financial Assistance Award, as amended by the proposed amendment (as altered), shall become the Financial Assistance Award.
- b. **Other Amendments.** County shall review all proposed amendments to this Agreement prepared and presented to County by OHA, other than those described in the previous subsection a., promptly after County's receipt thereof. If County does not accept a proposed amendment within 60 calendar days of County's receipt thereof, County shall be deemed to have rejected the proposed amendment and the offer to amend the Agreement, as set forth in the proposed amendment, shall be automatically revoked. If County chooses to accept the proposed amendment, County shall return the proposed amendment to OHA signed by a duly authorized County official. Upon OHA's actual physical receipt and signature of a proposed amendment signed by a duly authorized County official but otherwise unaltered, the proposed amendment shall be considered accepted by the parties and this Agreement shall be considered amended as set forth in the accepted amendment. If

County returns a proposed amendment altered in any way (other than by signature of a duly authorized County official), OHA may, in its discretion, accept the proposed amendment as altered by County but only if a duly authorized County official has initialed each alternation. A proposed amendment altered by County and returned to OHA shall be considered accepted by OHA on the date OHA initials each alteration and on that date this Agreement shall be considered amended as set forth in the accepted amendment.

5. **Provider Contracts.** Except when the Service expressly requires the Service or a portion thereof to be delivered by County directly and subject to the Provider Monitoring section below, County may use financial assistance provided under this Agreement for a particular Service to purchase that Service, or a portion thereof, from a third person or entity (a “Provider”) through a contract (a “Provider Contract”). Subject to the Provider Monitoring section below, County may permit a Provider to purchase the Service, or a portion thereof, from another person or entity under a subcontract and such subcontractors shall also be considered Providers for purposes of this Agreement and those subcontracts shall be considered Provider Contracts under this Agreement. County shall not permit any person or entity to be a Provider unless the person or entity holds all licenses, certificates, authorizations, and other approvals required by applicable law to deliver the Service. If County purchases a Service, or portion thereof, from a Provider, the Provider Contract must be in writing, identify for sub-recipients the amount of federal funds included in the Provider Contract, provide the CFDA number, and contain each of the provisions set forth in Exhibit I, “Required Provider Contract Provisions,” in substantially the form set forth therein, in addition to any other provisions that must be included to comply with applicable law, that must be included in a Provider Contract under the terms of this Agreement or that are necessary to implement Service delivery in accordance with the applicable Service Descriptions, Specialized Service Requirements and Special Conditions. County shall maintain an originally executed copy of each Provider Contract at its office and shall furnish a copy of any Provider Contract to OHA upon request.
6. **Provider Monitoring.** County shall monitor each Provider’s delivery of Services and promptly report to OHA when County identifies a deficiency in a Provider’s delivery of a Service or in a Provider’s compliance with the Provider Contract between the Provider and County. County shall promptly take all necessary action to remedy any identified deficiency on the part of the Provider. County shall also monitor the fiscal performance of each Provider and shall take all lawful management and legal action necessary to pursue this responsibility. In the event of a deficiency in a Provider’s delivery of a Service or in a Provider’s compliance with the Provider Contract between the Provider and County, nothing in this Agreement shall limit or qualify any right or authority OHA has under state or federal law to take action directly against the Provider.
7. **Alternative Formats and Translation of Written Materials, Interpreter Services.**
In connection with the delivery of Program Element services, County shall make available to Client, without charge, upon the Client’s reasonable request:
 - a. All written materials related to the services provided to the Client in alternate formats, including accessible electronic formats, brailled documents, and large print upon request. If County does not have access to such alternate formats, then County can request them from OHA.
 - b. All written materials related to the services provided to the Client in the Client’s language. If County does not have access to such languages, then County can request written materials in the Client’s language from OHA.

- c. Oral interpretation services related to the services provided to the Client in the Client's language.
- d. Sign language interpretation services and telephone communications access services related to the services provided to the Client. County shall work with OHA if it does not have staff that fluently speak the language of an eligible Client, including qualified Sign Language Interpreters for Client's who are deaf or hard of hearing and whose preferred mode of communication is sign language.

For purposes of the foregoing, "written materials" means materials created by County, in connection with the Service being provided to the requestor. The County may develop its own forms and materials and with such forms and materials the County shall be responsible for making them available to a Client, without charge to the Client in the prevalent non-English language(s) within the County service area. OHA shall be responsible for making its forms and materials available, without charge to the Client or County, in the prevalent non-English language(s) within the County service area.

8. **Reporting Requirements.** If County delivers a Service directly, County shall prepare and furnish the following information to OHA when that Service is delivered:
 - a. Client, Service, and financial information as specified in the Service Description.
 - b. All additional information and reports that OHA reasonably requests.
9. **Operation of CMHP.** County shall operate or contract for the operation of a CMHP during the term of this Agreement. If County uses funds provided under this Agreement for a particular Service, County shall include that Service in its CMHP from the date it begins using the funds for that Service until the earlier of: (a) termination or expiration of this Agreement; (b) termination by OHA of OHA's obligation to provide financial assistance for that Service in accordance with Exhibit G, Termination section; or (c) termination by the County, in accordance with Exhibit G, Termination section, of County's obligation to include in its CMHP a Program Area that includes that Service.
10. **OHA Reports.**
 - a. To the extent resources are available to OHA to prepare and deliver the information, OHA shall, during the term of this Agreement, provide County with the following reports:
 - (1) Summary reports to County and County's Providers from MOTS data as reported to OHA under this Agreement; and
 - (2) Monthly reports to County that detail disbursement of financial assistance under the Financial Assistance Award in Exhibit C for the delivery of Services.
 - b. OHA shall prepare and send to each Provider to whom OHA makes direct payments on behalf of County under this Agreement during a calendar year, an IRS Form 1099 for that year specifying the total payments made by OHA to that Provider.
11. **Technical Assistance.** During the term of this Agreement, OHA shall provide technical assistance to County in the delivery of Services to the extent resources are available to OHA for this purpose. If the provision of technical assistance to the County concerns a Provider, OHA may require, as a condition to providing the assistance, that County take all action with respect to the Provider reasonably necessary to facilitate the technical assistance.
12. **Payment of Certain Expenses.** If OHA requests that an employee of County or a Provider or a citizen of County attend OHA training or an OHA conference or business meeting and County has obligated itself to reimburse the individual for travel expenses incurred by the individual in

attending the training or conference, OHA may pay those travel expenses on behalf of County but only at the rates and in accordance with the reimbursement procedures set forth in the Oregon Accounting Manual (<https://www.oregon.gov/das/Financial/Acctng/Pages/oam.aspx>) under 40.10.00 as of the date the expense was incurred and only to the extent that OHA determines funds are available for such reimbursement.

13. **Effect of Amendments Reducing Financial Assistance.** If County and OHA amend this Agreement to reduce the amount of financial assistance awarded for a particular Service, County is not required by this Agreement to utilize other County funds to replace the funds no longer received under this Agreement as a result of the amendment and County may, from and after the date of the amendment, reduce the quantity of that Service included in its CMHP commensurate with the amount of the reduction in financial assistance awarded for that Service. Nothing in the preceding sentence shall affect County's obligations under this Agreement with respect to financial assistance actually disbursed by OHA under this Agreement or with respect to Services actually delivered.
14. **Resolution of Disputes over Additional Financial Assistance Owed County After Termination or Expiration.** If, after termination or expiration of this Agreement, County believes that OHA disbursements of financial assistance under this Agreement for a particular Service are less than the amount of financial assistance that OHA is obligated to provide to County under this Agreement for that Service, as determined in accordance with the applicable financial assistance calculation methodology, County shall provide OHA with written notice thereof. OHA shall have 90 calendar days from the effective date of County's notice to pay County in full or notify County that it wishes to engage in a dispute resolution process. If OHA notifies County that it wishes to engage in a dispute resolution process, County and OHA's Chief Health Systems Officer for the Health Systems Division shall engage in non-binding discussion to give OHA an opportunity to present reasons why it believes that it does not owe County any additional financial assistance or that the amount owed is different than the amount identified by County in its notices, and to give County the opportunity to reconsider its notice. If OHA and County reach agreement on the additional amount owed to County, OHA shall promptly pay that amount to County. If OHA and County continue to disagree as to the amount owed, the parties may agree to consider further appropriate dispute resolution processes, including, subject to Department of Justice and County Counsel approval, binding arbitration. Nothing in this Section shall preclude the County from raising underpayment concerns at any time prior to termination or expiration of this Agreement under Alternative Dispute Resolution below.
15. **Alternative Dispute Resolution.** The parties should attempt in good faith to resolve any dispute arising out of this agreement. This may be done at any management level, including at a level higher than persons directly responsible for administration of the agreement. In addition, the parties may agree to utilize a jointly selected mediator or arbitrator (for non-binding arbitration) to resolve the dispute short of litigation.
16. **Purchase and Disposition of Equipment.**
 - a. For purposes of this Section, "Equipment" means tangible, non-expendable personal property having a useful life of more than one year and a net acquisition cost of more than \$5,000 per unit. However, for purposes of information technology equipment, the monetary threshold does not apply (except as provided below for Software and storage devices). Information technology equipment shall be tracked for the mandatory line categories listed below:

- (1) Network;

- (2) Personal Computer;
- (3) Printer/Plotter;
- (4) Server;
- (5) Storage device that will contain client information;
- (6) Storage device that will not contain client information, when the acquisition cost is \$100 or more; and
- (7) Software, when the acquisition cost is \$100 or more.

b. For any Equipment authorized by OHA for purchase with funds from this Agreement, ownership shall be in the name of the County and County is required to accurately maintain the following Equipment inventory records:

- (1) Description of the Equipment;
- (2) Serial number;
- (3) Where Equipment was purchased;
- (4) Acquisition cost and date; and
- (5) Location, use, and condition of the Equipment.

County shall provide the Equipment inventory list electronically to the Agreement Administrator at hsd.contracts@odhsoha.oregon.gov no later than 45 calendar days following the end of this Agreement. County shall be responsible to safeguard any Equipment and maintain the Equipment in good repair and condition while in the possession of County or any Providers. County shall depreciate all Equipment, with a value of more than \$5,000, using the straight-line method.

- c. Upon termination of this Agreement, or any Service thereof, for any reason whatsoever, County shall, upon request by OHA, immediately, or at such later date specified by OHA, tender to OHA any and all Equipment purchased with funds under this Agreement as OHA may require to be returned to the State. At OHA's direction, County may be required to deliver said Equipment to a subsequent contractor for that contractor's use in the delivery of Services formerly provided by County. Upon mutual agreement, in lieu of requiring County to tender the Equipment to OHA or to a subsequent contractor, OHA may require County to pay to OHA the current value of the Equipment. Equipment value will be determined as of the date of Agreement or Service termination.
- d. If funds from this Agreement are authorized by OHA to be used as a portion of the purchase price of Equipment, requirements relating to title, maintenance, Equipment inventory reporting and residual value shall be negotiated, and the agreement reflected in a Special Condition authorizing the purchase.
- e. Notwithstanding anything herein to the contrary, County shall comply with 45 CFR 75.320, which generally describes the required maintenance, documentation, and allowed disposition of equipment purchased with federal grant funds.

17. Nothing in this Agreement shall cause or require County or OHA to act in violation of state or federal constitutions, statutes, regulations, or rules. The parties intend this limitation to apply in addition to any other limitation in this Agreement, including limitations in Disbursement and Recovery of Financial Assistance above.

**2024-2025 INTERGOVERNMENTAL AGREEMENT
FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

**EXHIBIT G
STANDARD TERMS AND CONDITIONS**

1. **Governing Law, Consent to Jurisdiction.** This Agreement shall be governed by and construed in accordance with the laws of the State of Oregon without regard to principles of conflicts of law. Any claim, action, suit or proceeding (collectively, "Claim") between the parties that arises from or relates to this Agreement shall be brought and conducted solely and exclusively within a circuit court for the State of Oregon of proper jurisdiction. THE PARTIES, BY EXECUTION OF THIS AGREEMENT, HEREBY CONSENT TO THE IN PERSONAM JURISDICTION OF SAID COURTS. Except as provided in this section, neither party waives any form of defense or immunity, whether sovereign immunity, governmental immunity, immunity based on the eleventh amendment to the Constitution of the United States or otherwise, from any Claim or from the jurisdiction of any court. The parties acknowledge that this is a binding and enforceable agreement and, to the extent permitted by law, expressly waive any defense alleging that either party does not have the right to seek judicial enforcement of this Agreement.
2. **Compliance with Law.** Both parties shall comply with laws, regulations, and executive orders to which they are subject and which are applicable to the Agreement or to the delivery of Services. Without limiting the generality of the foregoing, both parties expressly agree to comply with the following laws, rules, regulations and executive orders to the extent they are applicable to the Agreement: (a) OAR 943-005-0000 through 943-005-0070, prohibiting discrimination against Individuals with disabilities, as may be revised, and all applicable requirements of state civil rights and rehabilitation statutes, rules and regulations; (b) all state laws governing operation of Community Mental Health Programs, including without limitation, all administrative rules adopted by OHA related to Community Mental Health Programs or related to client rights; (c) all state laws requiring reporting of Client abuse; and (d) ORS 659A.400 to 659A.409, ORS 659A.145, (e) 45 CFR 164 Subpart C, and all regulations and administrative rules established pursuant to those laws in the construction, remodeling, maintenance and operation of any structures and facilities, and in the conduct of all programs, services and training associated with the delivery of Services. These laws, regulations and executive orders are incorporated by reference herein to the extent that they are applicable to the Agreement and required by law to be so incorporated. All employers, including County and OHA that employ subject workers who provide Services in the State of Oregon shall comply with ORS 656.017 and provide the required Workers' Compensation coverage, unless such employers are exempt under ORS 656.126.
3. **Independent Contractors.** The parties agree and acknowledge that their relationship is that of independent contracting parties and that County is not an officer, employee, or agent of the State of Oregon as those terms are used in ORS 30.265 or otherwise.
4. **Representations and Warranties.**
 - a. County represents and warrants as follows:
 - (1) **Organization and Authority.** County is a political subdivision of the State of Oregon duly organized and validly existing under the laws of the State of Oregon. County has full power, authority, and legal right to make this Agreement and to incur and perform its obligations hereunder.

- (2) **Due Authorization.** The making and performance by County of this Agreement: (a) have been duly authorized by all necessary action by County; (b) do not and will not violate any provision of any applicable law, rule, regulation, or order of any court, regulatory commission, board, or other administrative agency or any provision of County's charter or other organizational document; and (c) do not and will not result in the breach of, or constitute a default or require any consent under any other agreement or instrument to which County is a party or by which County may be bound or affected. No authorization, consent, license, approval of, filing or registration with or notification to any governmental body or regulatory or supervisory authority is required for the execution, delivery, or performance by County of this Agreement.
- (3) **Binding Obligation.** This Agreement has been duly executed and delivered by County and constitutes a legal, valid, and binding obligation of County, enforceable in accordance with its terms subject to the laws of bankruptcy, insolvency, or other similar laws affecting the enforcement of creditors' rights generally.
- (4) County has the skill and knowledge possessed by well-informed members of its industry, trade or profession and County will apply that skill and knowledge with care and diligence to perform the Services in a professional manner and in accordance with standards prevalent in County's industry, trade, or profession;
- (5) County shall, at all times during the term of this Agreement, be qualified, professionally competent, and duly licensed to perform the Services; and
- (6) County prepared its proposal related to this Agreement, if any, independently from all other proposers, and without collusion, fraud, or other dishonesty.
- (7) **Services.** To the extent Services are performed by County, the delivery of each Service will comply with the terms and conditions of this Agreement and meet the standards for such Service as set forth herein, including but not limited to, any terms, conditions, standards, and requirements set forth in the Financial Assistance Award, applicable Service Description, and applicable Specialized Service Requirement.

b. OHA represents and warrants as follows:

- (1) **Organization and Authority.** OHA has full power, authority, and legal right to make this Agreement and to incur and perform its obligations hereunder.
- (2) **Due Authorization.** The making and performance by OHA of this Agreement: (a) have been duly authorized by all necessary action by OHA; (b) do not and will not violate any provision of any applicable law, rule, regulation, or order of any court, regulatory commission, board, or other administrative agency; and (c) do not and will not result in the breach of, or constitute a default or require any consent under any other agreement or instrument to which OHA is a party or by which OHA may be bound or affected. No authorization, consent, license, approval of, filing or registration with or notification to any governmental body or regulatory or supervisory authority is required for the execution, delivery, or performance by OHA of this Agreement, other than approval by the Department of Justice if required by law.
- (3) **Binding Obligation.** This Agreement has been duly executed and delivered by OHA and constitutes a legal, valid, and binding obligation of OHA, enforceable in

accordance with its terms subject to the laws of bankruptcy, insolvency, or other similar laws affecting the enforcement of creditors' rights generally.

- c. **Warranties Cumulative.** The warranties set forth in this section are in addition to, and not in lieu of, any other warranties provided.

5. **Ownership of Intellectual Property.**

- a. Except as otherwise expressly provided herein, or as otherwise required by state or federal law, OHA will not own the right, title and interest in any intellectual property created or delivered by County or a Provider in connection with the Services. With respect to that portion of the intellectual property that the County owns, County grants to OHA a perpetual, worldwide, non-exclusive, royalty-free and irrevocable license, subject to any provisions in the Agreement that restrict or prohibit dissemination or disclosure of information, to: (1) use, reproduce, prepare derivative works based upon, distribute copies of, perform and display the intellectual property; (2) authorize third parties to exercise the rights set forth in Section 5.a.(1) on OHA's behalf; and (3) sublicense to third parties the rights set forth in Section 5.a.(1).
- b. If state or federal law requires that OHA or County grant to the United States a license to any intellectual property, or if state or federal law requires that OHA or the United States own the intellectual property, then County shall execute such further documents and instruments as OHA may reasonably request in order to make any such grant or to assign ownership in the intellectual property to the United States or OHA. To the extent that OHA becomes the owner of any intellectual property created or delivered by County in connection with the Services, OHA will grant a perpetual, worldwide, non-exclusive, royalty-free, and irrevocable license, subject to any provisions in the Agreement that restrict or prohibit dissemination or disclosure of information, to County to use, copy, distribute, display, build upon and improve the intellectual property.
- c. County shall include in its Provider Contracts terms and conditions necessary to require that Providers execute such further documents and instruments as OHA may reasonably request in order to make any grant of license or assignment of ownership that may be required by federal or state law.

6. **County Default.** County shall be in default under this Agreement upon the occurrence of any of the following events:

- a. County fails to perform, observe, or discharge any of its covenants, agreements or obligations set forth herein;
- b. Any representation, warranty or statement made by County herein or in any documents or reports made in connection herewith or relied upon by OHA to measure the delivery of Services, the expenditure of financial assistance or the performance by County is untrue in any material respect when made;

- c. County: (1) applies for or consents to the appointment of, or taking of possession by, a receiver, custodian, trustee, or liquidator of itself or all of its property; (2) admits in writing its inability, or is generally unable, to pay its debts as they become due; (3) makes a general assignment for the benefit of its creditors; (4) is adjudicated a bankrupt or insolvent; (5) commences a voluntary case under the Federal Bankruptcy Code (as now or hereafter in effect); (6) files a petition seeking to take advantage of any other law relating to bankruptcy, insolvency, reorganization, winding-up, or composition or adjustment of debts; (7) fails to controvert in a timely and appropriate manner, or acquiesces in writing to, any petition filed against it in an involuntary case under the Bankruptcy Code; or (8) takes any action for the purpose of effecting any of the foregoing;
- d. A proceeding or case is commenced, without the application or consent of County, in any court of competent jurisdiction, seeking: (1) the liquidation, dissolution or winding-up, or the composition or readjustment of debts, of County; (2) the appointment of a trustee, receiver, custodian, liquidator, or the like of County or of all or any substantial part of its assets; or (3) similar relief in respect to County under any law relating to bankruptcy, insolvency, reorganization, winding-up, or composition or adjustment of debts, and such proceeding or case continues undismissed, or an order, judgment, or decree approving or ordering any of the foregoing is entered and continues unstayed and in effect for a period of sixty consecutive days, or an order for relief against County is entered in an involuntary case under the Federal Bankruptcy Code (as now or hereafter in effect); or
- e. The delivery of any Service fails to comply with the terms and conditions of this Agreement or fails to meet the standards for Service as set forth herein, including but not limited to, any terms, condition, standards, and requirements set forth in the Financial Assistance Award and applicable Service Description.

7. OHA Default. OHA shall be in default under this Agreement upon the occurrence of any of the following events:

- a. OHA fails to perform, observe, or discharge any of its covenants, agreements, or obligations set forth herein; or
- b. Any representation, warranty or statement made by OHA herein or in any documents or reports made in connection herewith or relied upon by County to measure performance by OHA is untrue in any material respect when made.

8. Termination.

- a. **County Termination.** County may terminate this Agreement in its entirety or may terminate its obligation to include a particular Program Area in its CMHP:
 - (1) For its convenience, upon at least three calendar months advance written notice to OHA, with the termination effective as of the first day of the month following the notice period;
 - (2) Upon 45 calendar days advance written notice to OHA, if County does not obtain funding, appropriations, and other expenditure authorizations from County's governing body, federal, state, or other sources sufficient to permit County to satisfy its performance obligations under this Agreement, as determined by County in the reasonable exercise of its administrative discretion;
 - (3) Upon 30 calendar days advance written notice to OHA, if OHA is in default under this Agreement and such default remains uncured at the end of said 30 calendar day period or such longer period, if any, as County may specify in the notice; or

- (4) Immediately upon written notice to OHA, if Oregon statutes or federal laws, regulations or guidelines are modified, changed, or interpreted by the Oregon Legislative Assembly, the federal government, or a court in such a way that County no longer has the authority to meet its obligations under this Agreement.
- b. **OHA Termination.** OHA may terminate this Agreement in its entirety or may terminate its obligation to provide financial assistance under this Agreement for one or more particular Services described in the Financial Assistance Award:
- (1) For its convenience, upon at least three calendar months advance written notice to County, with the termination effective as of the first day of the month following the notice period;
 - (2) Upon 45 calendar days advance written notice to County, if OHA does not obtain funding, appropriations, and other expenditure authorizations from federal, state, or other sources sufficient to meet the payment obligations of OHA under this Agreement, as determined by OHA in the reasonable exercise of its administrative discretion. Notwithstanding the preceding sentence, OHA may terminate this Agreement in its entirety or may terminate its obligation to provide financial assistance under this Agreement for one or more particular Services, immediately upon written notice to County or at such other time as it may determine if action by the Oregon Legislative Assembly or Emergency Board reduces OHA's legislative authorization for expenditure of funds to such a degree that OHA will no longer have sufficient expenditure authority to meet its payment obligations under this Agreement, as determined by OHA in the reasonable exercise of its administrative discretion, and the effective date for such reduction in expenditure authorization is less than 45 calendar days from the date the action is taken;
 - (3) Immediately upon written notice to County if Oregon statutes or federal laws, regulations or guidelines are modified, changed, or interpreted by the Oregon Legislative Assembly, the federal government, or a court in such a way that OHA no longer has the authority to meet its obligations under this Agreement or no longer has the authority to provide the financial assistance from the funding source it had planned to use;
 - (4) Upon 30 calendar days advance written notice to County, if County is in default under this Agreement and such default remains uncured at the end of said 30 calendar day period or such longer period, if any, as OHA may specify in the notice;
 - (5) Immediately upon written notice to County, if any license or certificate required by law or regulation to be held by County or a Provider to deliver a Service described in the Financial Assistance Award is for any reason denied, revoked, suspended, not renewed, or changed in such a way that County or a Provider no longer meets requirements to deliver the Service. This termination right may only be exercised with respect to the particular Service or Services impacted by loss of necessary licensure or certification; or
 - (6) Immediately upon written notice to County, if OHA reasonably determines that County or any of its Providers have endangered or are endangering the health or safety of a Client or others in performing the Services covered in this Agreement.
- c. OHA and County agree that this Agreement extends to September 1, 2025, but only for the purpose of amendments to adjust the allocated budget (Exhibit C, "Financial Assistance

Award”) for Services performed, or not performed, by County during the 2024calendar year and first quarter of the 2025-2027 biennium, prior to July 1, 2025. If there is more than one amendment modifying the Financial Assistance Award, the amendment shall be applied to the Financial Assistance Award in the order in which the amendments are executed by County and OHA. In no event is the County authorized to provide any Services under this Agreement, and County is not required to provide any Services under this Agreement, after June 30, 2025.

9. Effect of Termination.

a. Entire Agreement.

- (1) Upon termination of this Agreement in its entirety, OHA shall have no further obligation to pay or disburse financial assistance to County under this Agreement, whether or not OHA has paid or disbursed to County all financial assistance described in the Financial Assistance Award except: (a) with respect to funds described in the Financial Assistance Award, to the extent OHA’s disbursement of financial assistance for a particular Service, the financial assistance for which is calculated on a rate per unit of service or service capacity basis, is less than the applicable rate multiplied by the number of applicable units of Service or Service capacity of that type performed or made available from the effective date of this Agreement through the termination date; and (b) with respect to funds described in the Financial Assistance Award, to the extent OHA’s disbursement of financial assistance for a particular Service, the financial assistance for which is calculated on a cost reimbursement basis, is less than the cumulative actual Allowable Costs reasonably and necessarily incurred with respect to delivery of that Service, from the effective date of this Agreement through the termination date.
- (2) Upon termination of this Agreement in its entirety, County shall have no further obligation under this Agreement to operate a CMHP.

b. Individual Program Area or Service.

- (1) Upon termination of OHA’s obligation to provide financial assistance under this Agreement for a particular Service, OHA shall have no further obligation to pay or disburse any financial assistance to County under this Agreement for that Service, whether or not OHA has paid or disbursed to County all financial assistance described in the Financial Assistance Award for that Service except: (a) with respect to funds described in the Financial Assistance Award and if the financial assistance for that Service is calculated on a rate per unit of service or service capacity basis, to the extent that OHA’s prior disbursement of financial assistance for that Service is less than the applicable rate multiplied by the number of applicable units of Service or Service capacity of that type performed or made available during the period from the first day of the period for which the funds were awarded through the earlier of the termination of OHA’s obligation to provide financial assistance for that Service or the last day of the period for which the funds were awarded; and (b) with respect to funds described in the Financial Assistance Award and if the financial assistance for that Service is calculated on a cost reimbursement basis, to the extent that OHA’s prior disbursement of financial assistance for that Service is less than the cumulative actual Allowable Costs reasonably and necessarily incurred by County with respect to delivery of that Service, during the period from the effective date of this Agreement through the termination of OHA’s obligation to provide financial assistance for that Service.

- (2) Upon termination of OHA's obligation to provide financial assistance under this Agreement for a particular Service, County shall have no further obligation under this Agreement to include that Service in its CMHP.
- (3) Upon termination of County's obligation to include a Program Area in its CMHP, OHA shall have (a) no further obligation to pay or disburse financial assistance to

County under this Agreement for System Management and Coordination – Community Mental Health Services (MHS 01) and System Management and Coordination - Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services (A&D 03) in that Program Area whether or not OHA has paid or disbursed to County all financial assistance described in the Financial Assistance Award for local administration of Services in that Program Area; and (b) no further obligation to pay or disburse any financial assistance to County under this Agreement for Services in that Program Area, whether or not OHA has paid or disbursed to County all financial assistance described in the Financial Assistance Award for those Services except: (1) with respect to funds described in the Financial Assistance Award, to the extent OHA's disbursement of financial assistance for a particular Service falling within that Program Area, the financial assistance for which is calculated on a rate per unit of service or service capacity basis, is less than the applicable rate multiplied by the number of applicable units of Service or Service capacity of that type performed or made available during the period from the Effective Date of this Agreement through the termination of County's obligation to include the Program Area, in which that Service falls, in County's CMHP; and (2) with respect to funds described in the Financial Assistance Award, to the extent OHA's disbursement of financial assistance for a particular Service falling within that Program Area, the financial assistance for which is calculated on a cost reimbursement basis, is less than the cumulative actual Allowable Costs reasonably and necessarily incurred by County with respect to delivery of that Service, during the period from the Effective Date of this Agreement through the termination of County's obligation to include the Program Area, in which that Service falls, in County's CMHP.

- (4) Upon termination of County's obligation to include a Program Area in its CMHP, County shall have no further obligation under this Agreement to include that Program Area in its CMHP.

c. Disbursement Limitations. Notwithstanding subsections (a) and (b) above:

- (1) Under no circumstances will OHA be obligated to provide financial assistance to County for a particular Service in excess of the amount awarded under this Agreement for that Service as set forth in the Financial Assistance Award; and
- (2) Under no circumstances will OHA be obligated to provide financial assistance to County from funds described in the Financial Assistance Award in an amount greater than the amount due County under the Financial Assistance Award for Services, as determined in accordance with the financial assistance calculation methodologies in the applicable Services Descriptions.

d. Survival. Exercise of a termination right set forth in the Termination section of this Exhibit or expiration of this Agreement in accordance with its terms, shall not affect County's right to receive financial assistance to which it is entitled hereunder, as described in subsections a. and b. above and as determined through the Agreement Settlement

process, or County's right to invoke the dispute resolution processes under Sections 14 and 15 of Exhibit F. Notwithstanding subsections a. and b. above, exercise of the termination rights in Section 8 of this Exhibit or expiration of this Agreement in accordance with its terms, shall not affect County's obligations under this Agreement or OHA's right to enforce this Agreement against County in accordance with its terms, with respect to financial assistance actually disbursed by OHA under this Agreement, or with respect to Services actually delivered. Specifically, but without limiting the generality of the preceding sentence, exercise of a termination right set forth in Section 8 of this Exhibit or expiration of this Agreement in accordance with its terms shall not affect County's representations and warranties, reporting obligations, record-keeping and access obligations, confidentiality obligations, obligation to comply with applicable federal requirements, the restrictions and limitations on County's use of financial assistance actually disbursed by OHA hereunder, County's obligation to cooperate with OHA in the Agreement Settlement process, or OHA's right to recover from County, in accordance with the terms of this Agreement, any financial assistance disbursed by OHA under this Agreement that is identified as an Underexpenditure, Overexpenditure or Misexpenditure. If a termination right set forth in Section 8 of this Exhibit is exercised, both parties shall make reasonable, good faith efforts to minimize unnecessary disruption or other problems associated with the termination.

10. Insurance. County shall require Providers to maintain insurance as set forth in Exhibit J, "Provider Insurance Requirements," which is attached hereto.

11. Records Maintenance; Access and Confidentiality.

- a. Access to Records and Facilities.** OHA, the Secretary of State's Office of the State of Oregon, the Federal Government, and their duly authorized representatives shall have access to the books, documents, papers and records of the County that are directly related to this Agreement, the financial assistance provided hereunder, or any Service for the purpose of making audits, examinations, excerpts, copies and transcriptions. In addition, County shall permit authorized representatives of OHA to perform site reviews of all Services delivered by County.
- b. Retention of Records.** County shall retain and keep accessible all books, documents, papers, and records that are directly related to this Agreement, the financial assistance provided hereunder or any Service, for a minimum of six years, or such longer period as may be required by other provisions of this Agreement or applicable law, following the termination or expiration of this Agreement. If there are unresolved audit or Agreement Settlement questions at the end of the applicable retention period, County shall retain the records until the questions are resolved.
- c. Expenditure Records.** County shall document the use and expenditure of all financial assistance paid by OHA under this Agreement. Unless applicable federal law requires County to utilize a different accounting system, County shall create and maintain all use and expenditure records in accordance with generally accepted accounting principles and in sufficient detail to permit OHA to verify how the financial assistance paid by OHA under this Agreement was used or expended.
- d. Client Records.** If County delivers a Service directly, County shall create and maintain a Client record for each Client who receives that Service, unless the Service Description precludes delivery of the Service on an individual Client basis and reporting of Service commencement and termination information is not required by the Service Description. The Client record shall contain:

- (1) Client identification;
- (2) Problem assessment;
- (3) Treatment, training, or care plan;
- (4) Medical information when appropriate; and
- (5) Progress notes including Service termination summary and current assessment or evaluation instrument as designated by OHA in administrative rules.

County shall retain Client records in accordance with OAR 166-150-0005 through 166-150-0215 (State Archivist). Unless OAR 166-150-0005 through 166-150-0215 requires a longer retention period, Client records must be retained for a minimum of six years from termination or expiration of this Agreement.

- e. **Safeguarding of Client Information.** County shall maintain the confidentiality of Client records as required by applicable state and federal law, including without limitation, ORS 179.495 to 179.509 45 CFR Part 205, 42 CFR Part 2, any administrative rule adopted by OHA implementing the foregoing laws, and any written policies made available to County by OHA. County shall create and maintain written policies and procedures related to the disclosure of Client information, and shall make such policies and procedures available to OHA for review and inspection as reasonably requested by OHA.

- 12. **Information Privacy/Security/Access.** If the Services performed under this Agreement requires County or its Provider(s) to access or otherwise use any OHA Information Asset or Network and Information System to which security and privacy requirements apply, and OHA grants County, its Provider(s), or both access to such OHA Information Assets or Network and Information Systems, County shall comply and require its Provider(s) to which such access has been granted to comply with the terms and conditions applicable to such access or use, including OAR 943-014-0300 through OAR 943-014-0320, as such rules may be revised from time to time. For purposes of this section, "Information Asset" and "Network and Information System" have the meaning set forth in OAR 943-014-0305, as such rule may be revised from time to time.

- 13. **Force Majeure.** Neither OHA nor County shall be held responsible for delay or default caused by fire, civil unrest, labor unrest, natural causes, or war which is beyond the reasonable control of OHA or County, respectively. Each party shall, however, make all reasonable efforts to remove or eliminate such cause of delay or default and shall, upon the cessation of the cause, diligently pursue performance of its obligations under this Agreement. Either party may terminate this Agreement upon written notice to the other party after reasonably determining that the delay or default will likely prevent successful performance of this Agreement.

- 14. **Assignment of Agreement, Successors in Interest.**

- a. County shall not assign or transfer its interest in this Agreement without prior written approval of OHA. Any such assignment or transfer, if approved, is subject to such conditions and provisions as OHA may deem necessary. No approval by OHA of any assignment or transfer of interest shall be deemed to create any obligation of OHA in addition to those set forth in this Agreement.
- b. The provisions of this Agreement shall be binding upon and shall inure to the benefit of the parties to this Agreement, and their respective successors and permitted assigns.

- 15. **No Third Party Beneficiaries.** OHA and County are the only parties to this Agreement and are the only parties entitled to enforce its terms. The parties agree that County's performance under this Agreement is solely for the benefit of OHA to assist and enable OHA to accomplish its statutory mission. Nothing in this Agreement gives, is intended to give, or shall be construed to give or provide any benefit or right, whether directly, indirectly, or otherwise, to third persons any

greater than the rights and benefits enjoyed by the general public unless such third persons are individually identified by name herein and expressly described as intended beneficiaries of the terms of this Agreement.

16. **Amendment.** No amendment, modification or change of terms of this Agreement shall bind either party unless in writing and signed by both parties and when required by the Department of Justice. Such amendment, modification, or change, if made, shall be effective only in the specific instance and for the specific purpose given.
17. **Severability.** The parties agree that if any term or provision of this Agreement is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Agreement did not contain the particular term or provision held to be invalid.
18. **Notice.** Except as otherwise expressly provided in this Agreement, any communications between the parties hereto or notices to be given hereunder shall be given in writing by personal delivery, facsimile, or mailing the same, postage prepaid to County or OHA at the address or number set forth below, or to such other addresses or numbers as either party may indicate pursuant to this section. Any communication or notice so addressed and mailed shall be effective five calendar days after mailing. Any communication or notice delivered by facsimile shall be effective on the day the transmitting machine generates a receipt of the successful transmission, if transmission was during normal business hours of the recipient, or on the next business day, if transmission was outside normal business hours of the recipient. To be effective against the other party, any notice transmitted by facsimile must be confirmed by telephone notice to the other party at number listed below. Any communication or notice given by personal delivery shall be effective when actually delivered to the addressee.

OHA: Office of Contracts & Procurement
 500 Summer Street NE, 5th floor
 Salem, OR 97301
 Telephone: 503-945-5818 Facsimile: 503-378-4324
 E-mail address: _____

COUNTY: Contact Name: _____
 Title: _____
 Street Address: _____
 City, State Zip: _____
 Telephone: _____ Facsimile: _____
 E-mail address: _____

19. **Headings.** The headings and captions to sections of this Agreement have been inserted for identification and reference purposes only and shall not be used to construe the meaning or to interpret this Agreement.
20. **Counterparts.** This Agreement and any subsequent amendments may be executed in several counterparts, all of which when taken together shall constitute one agreement binding on all parties, notwithstanding that all parties are not signatories to the same counterpart. Each copy of this Agreement and any amendments so executed shall constitute an original.
21. **Integration and Waiver.** This Agreement, including all Exhibits, constitutes the entire Agreement between the parties on the subject matter hereof. There are no understandings, agreements, or representations, oral or written, not specified herein regarding this Agreement. The

failure of either party to enforce any provision of this Agreement shall not constitute a waiver by that party of that or any other provision. No waiver or consent shall be effective unless in writing and signed by the party against whom it is asserted.

22. **Construction.** This Agreement is the product of extensive negotiations between OHA and representatives of county governments. The provisions of this Agreement are to be interpreted and their legal effects determined as a whole. An arbitrator or court interpreting this Agreement shall give a reasonable, lawful, and effective meaning to the Agreement to the extent possible, consistent with the public interest.
23. **Contribution.** If any third party makes any claim or brings any action, suit or proceeding alleging a tort as now or hereafter defined in ORS 30.260 ("Third Party Claim") against a party (the "Notified Party") with respect to which the other party ("Other Party") may have liability, the Notified Party must promptly notify the Other Party in writing of the Third Party Claim and deliver to the Other Party a copy of the claim, process, and all legal pleadings with respect to the Third Party Claim. Either party is entitled to participate in the defense of a Third-Party Claim, and to defend a Third-Party Claim with counsel of its own choosing. Receipt by the Other Party of the notice and copies required in this paragraph and meaningful opportunity for the Other Party to participate in the investigation, defense, and settlement of the Third-Party Claim with counsel of its own choosing are conditions precedent to the Other Party's liability with respect to the Third-Party Claim.

With respect to a Third-Party Claim for which the State is jointly liable with the County (or would be if joined in the Third-Party Claim), the State shall contribute to the amount of expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred and paid or payable by the County in such proportion as is appropriate to reflect the relative fault of the State on the one hand and of the County on the other hand in connection with the events which resulted in such expenses, judgments, fines or settlement amounts, as well as any other relevant equitable considerations. The relative fault of the State on the one hand and of the County on the other hand shall be determined by reference to, among other things, the parties' relative intent, knowledge, access to information and opportunity to correct or prevent the circumstances resulting in such expenses, judgments, fines, or settlement amounts. The State's contribution amount in any instance is capped to the same extent it would have been capped under Oregon law if the State had sole liability in the proceeding.

With respect to a Third Party Claim for which the County is jointly liable with the State (or would be if joined in the Third Party Claim), the County shall contribute to the amount of expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred and paid or payable by the State in such proportion as is appropriate to reflect the relative fault of the County on the one hand and of the State on the other hand in connection with the events which resulted in such expenses, judgments, fines or settlement amounts, as well as any other relevant equitable considerations. The relative fault of the County on the one hand and of the State on the other hand shall be determined by reference to, among other things, the parties' relative intent, knowledge, access to information and opportunity to correct or prevent the circumstances resulting in such expenses, judgments, fines, or settlement amounts. The County's contribution amount in any instance is capped to the same extent it would have been capped under Oregon law if it had sole liability in the proceeding.

24. **Indemnification by Providers.** County shall take all reasonable steps to cause its Provider(s) that are not units of local government as defined in ORS 190.003, if any, to indemnify, defend, save and hold harmless the State of Oregon and its officers, employees and agents ("Indemnatee") from and against any and all claims, actions, liabilities, damages, losses, or expenses (including

attorneys' fees) arising from a tort (as now or hereafter defined in ORS 30.260) caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of County's Provider or any of the officers, agents, employees or subcontractors of the contractor("Claims"). It is the specific intention of the parties that the Indemnatee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the Indemnatee, be indemnified by the contractor from and against any and all Claims.

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**EXHIBIT H
REQUIRED FEDERAL TERMS AND CONDITIONS**

In addition to the requirements of section 2 of Exhibit G, County shall comply, and as indicated, require all Providers to comply with the following federal requirements when federal funding is being used. For purposes of this Agreement, all references to federal and state laws are references to federal and state laws as they may be amended from time to time.

1. **Miscellaneous Federal Provisions.** County shall comply and require all Providers to comply with all federal laws, regulations, and executive orders applicable to the Agreement or to the delivery of Services. Without limiting the generality of the foregoing, County expressly agrees to comply and require all Providers to comply with the following laws, regulations and executive orders to the extent they are applicable to the Agreement: (a) Title VI and VII of the Civil Rights Act of 1964, as amended, (b) Sections 503 and 504 of the Rehabilitation Act of 1973, as amended, (c) the Americans with Disabilities Act of 1990, as amended, (d) Executive Order 11246, as amended, (e) the Health Insurance Portability and Accountability Act of 1996, as amended, (f) the Age Discrimination in Employment Act of 1967, as amended, and the Age Discrimination Act of 1975, as amended, (g) the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, (h) all regulations and administrative rules established pursuant to the foregoing laws, (i) all other applicable requirements of federal civil rights and rehabilitation statutes, rules and regulations, and (j) all federal law governing operation of Community Mental Health Programs, including without limitation, all federal laws requiring reporting of Client abuse. These laws, regulations and executive orders are incorporated by reference herein to the extent that they are applicable to the Agreement and required by law to be so incorporated. No federal funds may be used to provide Services in violation of 42 U.S.C. 14402.
2. **Equal Employment Opportunity.** If this Agreement, including amendments, is for more than \$10,000, then County shall comply and require all Providers to comply with Executive Order 11246, entitled "Equal Employment Opportunity," as amended by Executive Order 11375, and as supplemented in U.S. Department of Labor regulations (41 CFR Part 60).
3. **Clean Air, Clean Water, EPA Regulations.** If this Agreement, including amendments, exceeds \$100,000 then County shall comply and require all Providers to comply with all applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 U.S.C. 7606), the Federal Water Pollution Control Act as amended (commonly known as the Clean Water Act) (33 U.S.C. 1251 to 1387), specifically including, but not limited to Section 508 (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (2 CFR Part 1532), which prohibit the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA List of Violating Facilities. Violations shall be reported to OHA, United States Department of Health and Human Services and the appropriate Regional Office of the Environmental Protection Agency. County shall include and require all Providers to include in all contracts with subcontractors receiving more than \$100,000, language requiring the subcontractor to comply with the federal laws identified in this section.

4. **Energy Efficiency** . County shall comply and require all Providers to comply with applicable mandatory standards and policies relating to energy efficiency that are contained in the Oregon energy conservation plan issued in compliance with the Energy Policy and Conservation Act 42 U.S.C. 6201 et. seq. (Pub. L. 94-163).
5. **Truth in Lobbying**. By signing this Agreement, the County certifies, to the best of the County's knowledge and belief that:
 - a. No federal appropriated funds have been paid or will be paid, by or on behalf of County, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any federal contract, grant, loan or cooperative agreement.
 - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the County shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions.
 - c. The County shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients and subcontractors shall certify and disclose accordingly.
 - d. This certification is a material representation of fact upon which reliance was placed when this Agreement was made or entered into. Submission of this certification is a prerequisite for making or entering into this Agreement imposed by section 1352, Title 31 of the U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
 - e. No part of any federal funds paid to County under this Agreement shall be used, other than for normal and recognized executive legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the United States Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government.
 - f. No part of any federal funds paid to County under this Agreement shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the United States Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

- g. The prohibitions in subsections (e) and (f) of this section shall include any activity to advocate or promote any proposed, pending, or future Federal, State, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.
 - h. No part of any federal funds paid to County under this Agreement may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive congressional communications. This limitation shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.
6. **Resource Conservation and Recovery.** County shall comply and require all Providers to comply with all mandatory standards and policies that relate to resource conservation and recovery pursuant to the Resource Conservation and Recovery Act (codified at 42 U.S.C. 6901 et. seq.). Section 6002 of that Act (codified at 42 U.S.C. 6962) requires that preference be given in procurement programs to the purchase of specific products containing recycled materials identified in guidelines developed by the Environmental Protection Agency. Current guidelines are set forth in 40 CFR Part 247.
 7. **Audits.** Sub recipients, as defined in 45 CFR 75.2, which includes, but is not limited to County, shall comply, and County shall require all Providers to comply, with applicable Code of Federal Regulations (CFR) governing expenditure of federal funds including, but not limited to, if a sub-recipient expends \$500,000 or more in Federal funds (from all sources) in its fiscal year beginning prior to December 26, 2014, a sub-recipient shall have a single organization-wide audit conducted in accordance with the Single Audit Act. If a sub-recipient expends \$750,000 or more in federal funds (from all sources) in a fiscal year beginning on or after December 26, 2014, it shall have a single organization-wide audit conducted in accordance with the provisions of 45 CFR part 75, subpart F. Copies of all audits must be submitted to OHA within 30 calendar days of completion. If a sub recipient expends less than \$500,000 in Federal funds in a fiscal year beginning prior to December 26, 2014, or less than \$750,000 in a fiscal year beginning on or after that date, it is exempt from Federal audit requirements for that year. Records must be available for review or audit by appropriate officials.
 8. **Debarment and Suspension.** County shall not permit any person or entity to be a Provider if the person or entity is listed on the non-procurement portion of the General Service Administration's "List of Parties Excluded from Federal Procurement or Non-procurement Programs" in accordance with Executive Orders No. 12549 and No. 12689, "Debarment and Suspension". (See 2 CFR Part 180). This list contains the names of parties debarred, suspended, or otherwise excluded by agencies, and contractors declared ineligible under statutory authority other than Executive Order No. 12549. Providers with awards that exceed the simplified acquisition threshold shall provide the required certification regarding their exclusion status and that of their principals prior to award.

- 9. Drug-Free Workplace.** County shall comply and require all Providers to comply with the following provisions to maintain a drug-free workplace: (i) County certifies that it will provide a drug-free workplace by publishing a statement notifying its employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, except as may be present in lawfully prescribed or over-the-counter medications, is prohibited in County's workplace or while providing Services to OHA clients. County's notice shall specify the actions that will be taken by County against its employees for violation of such prohibitions; (ii) Establish a drug-free awareness program to inform its employees about: The dangers of drug abuse in the workplace, County's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations; (iii) Provide each employee to be engaged in the performance of Services under this Agreement a copy of the statement mentioned in paragraph (i) above; (iv) Notify each employee in the statement required by paragraph (i) above that, as a condition of employment to provide services under this Agreement, the employee will: abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) calendar days after such conviction; (v) Notify OHA within ten (10) calendar days after receiving notice under subparagraph (iv) above from an employee or otherwise receiving actual notice of such conviction; (vi) Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program by any employee who is so convicted as required by Section 5154 of the Drug-Free Workplace Act of 1988; (vii) Make a good-faith effort to continue a drug-free workplace through implementation of subparagraphs (i) through (vi) above; (viii) Require any Provider to comply with subparagraphs (i) through (vii) above; (ix) Neither County, or any of County's employees, officers, agents or Providers may provide any Service required under this Agreement while under the influence of drugs. For purposes of this provision, "under the influence" means observed abnormal behavior or impairments in mental or physical performance leading a reasonable person to believe the County or County's employee, officer, agent, or Provider has used a controlled substance, prescription or non-prescription medication that impairs the County or County's employee, officer, agent, or Provider's performance of essential job function or creates a direct threat to OHA clients or others. Examples of abnormal behavior include, but are not limited to hallucinations, paranoia, or violent outbursts. Examples of impairments in physical or mental performance include, but are not limited to slurred speech, difficulty walking or performing job activities; and (x) Violation of any provision of this subsection may result in termination of this Agreement.
- 10. Pro-Children Act.** County shall comply and require all Providers to comply with the Pro-Children Act of 1994 (codified at 20 U.S.C. Section 6081 et. seq.).
- 11. Medicaid Services.** To the extent County provides any Service in which costs are paid in whole or in part by Medicaid, County shall comply with all applicable federal and state laws and regulation pertaining to the provision of Medicaid Services under the Medicaid Act, Title XIX, 42 U.S.C. Section 1396 et. seq., including without limitation:
- a.** Keep such records as are necessary to fully disclose the extent of the services provided to Individuals receiving Medicaid assistance and shall furnish such information to any state or federal agency responsible for administering the Medicaid program regarding any payments claimed by such person or institution for providing Medicaid Services as the state or federal agency may from time to time request. 42 U.S.C. Section 1396a (a) (27); 42 CFR Part 431.107(b)(1) & (2).
 - b.** Comply with all disclosure requirements of 42 CFR Part 1002.3(a) and 42 CFR 455 Subpart (B).

- c. Maintain written notices and procedures respecting advance directives in compliance with 42 U.S.C. Section 1396 (a) (57) and (w), 42 CFR Part 431.107 (b) (4), and 42 CFR Part 489 subpart I.
 - d. Certify when submitting any claim for the provision of Medicaid Services that the information submitted is true, accurate and complete. County shall acknowledge County's understanding that payment of the claim will be from federal and state funds and that any falsification or concealment of a material fact may be prosecuted under federal and state laws.
 - e. Entities receiving \$5 million or more annually (under this Agreement and any other Medicaid agreement) for furnishing Medicaid health care items or services shall, as a condition of receiving such payments, adopt written fraud, waste and abuse policies and procedures and inform employees, Providers and agents about the policies and procedures in compliance with Section 6032 of the Deficit Reduction Act of 2005, 42 U.S.C. § 1396a (a) (68).
12. **ADA.** County shall comply with Title II of the Americans with Disabilities Act of 1990 (codified at 42 U.S.C. 12131 et. seq.) in the construction, remodeling, maintenance and operation of any structures and facilities, and in the conduct of all programs, services and training associated with the delivery of Services.
13. **Agency-Based Voter Registration.** If applicable, County shall comply with the Agency-based Voter Registration sections of the National Voter Registration Act of 1993 that require voter registration opportunities be offered where an Individual may apply for or receive an application for public assistance.
14. **Disclosure.**
- a. 42 CFR 455.104 requires the State Medicaid agency to obtain the following information from any provider of Medicaid or CHIP services, including fiscal agents of providers and managed care entities: (1) the name and address (including the primary business address, every business location and P.O. Box address) of any person (Individual or corporation) with an ownership or control interest in the provider, fiscal agent or managed care entity; (2) in the case of an Individual, the date of birth and Social Security Number, or, in the case of a corporation, the tax identification number of the entity, with an ownership interest in the provider, fiscal agent or managed care entity or of any subcontractor in which the provider, fiscal agent or managed care entity has a 5% or more interest; (3) whether the person (Individual or corporation) with an ownership or control interest in the provider, fiscal agent or managed care entity is related to another person with ownership or control interest in the provider, fiscal agent or managed care entity as a spouse, parent, child or sibling, or whether the person (Individual or corporation) with an ownership or control interest in any subcontractor in which the provider, fiscal agent or managed care entity has a 5% or more interest is related to another person with ownership or control interest in the provider, fiscal agent or managed care entity as a spouse, parent, child or sibling; (4) the name of any other provider, fiscal agent or managed care entity in which an owner of the provider, fiscal agent or managed care entity has an ownership or control interest; and, (5) the name, address, date of birth and Social Security Number of any managing employee of the provider, fiscal agent or managed care entity.

- b. 42 CFR 455.434 requires as a condition of enrollment as a Medicaid or CHIP provider, to consent to criminal background checks, including fingerprinting when required to do so under state law, or by the category of the provider based on risk of fraud, waste, and abuse under federal law. As such, a provider must disclose any person with a 5% or greater direct or indirect ownership interest in the provider who has been convicted of a criminal offense related to that person's involvement with the Medicare, Medicaid, or title XXI program in the last 10 years.
- c. OHA reserves the right to take such action required by law, or where OHA has discretion, it deems appropriate, based on the information received (or the failure to receive) from the provider, fiscal agent, or managed care entity.

15. Special Federal Requirements Applicable to Addiction Treatment, Recovery, & Prevention Services for Counties receiving Substance Abuse Prevention and Treatment (SAPT) Block Grant funds.

a. Order for Admissions:

- (1) Pregnant women who inject drugs;
- (2) Pregnant substance abusers;
- (3) Other Individuals who inject drugs; and
- (4) All others.

b. Women's or Parent's Services. If County provides A&D 61 and A&D 62 Services, County must:

- (1) Treat the family as a unit and admit both women or parent and their children if appropriate.
- (2) Provide or arrange for the following services to pregnant women and women with dependent children:
 - (a) Primary medical care, including referral for prenatal care;
 - (b) Pediatric care, including immunizations, for their children;
 - (c) Gender-specific treatment and other therapeutic interventions, e.g., sexual and physical abuse counseling, parenting training, and childcare;
 - (d) Therapeutic interventions for children in custody of women or parent in treatment, which address, but are not limited to, the children's developmental needs and issues of abuse and neglect; and
 - (e) Appropriate case management services and transportation to ensure that women or parents and their children have access to the services in (a) through (d) above.

c. Pregnant Women. If County provides any Addiction Treatment, Recovery, & Prevention Services other than A&D 84, Problem Gambling, Client Finding Outreach Services, County must:

- (1) Within the priority categories, if any, set forth in a particular Service Description, give preference in admission to pregnant women in need of treatment, who seek or are referred for and would benefit from such Services, within 48 hours;

- (2) If County has insufficient capacity to provide treatment Services to a pregnant woman, County must refer the women to another Provider with capacity or if no available treatment capacity can be located, the outpatient Provider that the Individual is enrolled with will ensure that Interim Services are being offered. Counseling on the effects of alcohol and drug use on the fetus must be given within 48 hours, including a referral for prenatal care; and
 - (3) Perform outreach to inform pregnant women of the availability of treatment Services targeted to them and the fact that pregnant women receive preference in admission to these programs.
- d. **Intravenous Drug Abusers.** If County provides any Addiction Treatment, Recovery, & Prevention Services, other than A&D 84 Problem Gambling, Client Finding Outreach Services, County must:
 - (1) Within the priority categories, if any, set forth in a particular Service Description and subject to the preference for pregnant women described above, give preference in admission to intravenous drug abusers;
 - (2) Programs that receive funding under the grant and that treat Individuals for intravenous substance abuse, upon reaching 90 percent of its capacity to admit Individuals to the program, must provide notification of that fact to the State within 7 calendar days;
 - (3) If County receives a request for admission to treatment from an intravenous drug abuser, County must, unless it succeeds in referring the Individual to another Provider with treatment capacity, admit the Individual to treatment not later than:
 - (a) 14 calendar days after the request for admission to County is made;
 - (b) 120 calendar days after the date of such request if no Provider has the capacity to admit the Individual on the date of such request and, if Interim Services are made available not less than 48 hours after such request; or
 - (c) If County has insufficient capacity to provide treatment Services to an intravenous drug abuser, refer the intravenous drug abuser to another Provider with capacity or if no available treatment capacity can be located, the outpatient provider that the Individual is enrolled with will ensure that interim services are being offered. If the Individual is not enrolled in outpatient treatment and is on a waitlist for residential treatment, the provider from the county of the Individual's residence that is referring the Individual to residential services will make available counseling and education about human immunodeficiency virus (HIV) and tuberculosis (TB), risk of sharing needles, risks of transmission to sexual partners and infant, steps to ensure HIV and TB transmission does not occur, referral for HIV or TB treatment services, if necessary, within 48 hours.
- e. **Infectious Diseases.** If County provides any Addiction Treatment, Recovery, & Prevention Services, other than A&D 84 Problem Gambling, Client Finding Outreach Services, County must:
 - (1) Complete a risk assessment for infectious disease including Human Immunodeficiency Virus (HIV) and tuberculosis, as well as sexually transmitted

diseases, based on protocols established by OHA, for every Individual seeking Services from County; and

- (2) Routinely make tuberculosis services available to each Individual receiving Services for alcohol/drug abuse either directly or through other arrangements with public or non-profit entities and, if County denies an Individual admission on the basis of lack of capacity, refer the Individual to another provider of tuberculosis Services.
- (3) For purposes of (2) above, “tuberculosis services” means:
 - (a) Counseling the Individual with respect to tuberculosis;
 - (b) Testing to determine whether the Individual has contracted such disease and testing to determine the form of treatment for the disease that is appropriate for the Individual; and
 - (c) Appropriate treatment services.
- f. **OHA Referrals.** If County provides any Addiction Treatment, Recovery, & Prevention Services, other than A&D 84 Problem Gambling, Client Finding Outreach Services, County must, within the priority categories, if any, set forth in a particular Service Description and subject to the preference for pregnant women and intravenous drug users described above, give preference in Addiction Treatment, Recovery, & Prevention and Problem Gambling Service delivery to persons referred by OHA.
- g. **Barriers to Treatment.** Where there is a barrier to delivery of any Addiction Treatment, Recovery, & Prevention, and Problem Gambling Service due to culture, gender, language, illiteracy, or disability, County shall develop support services available to address or overcome the barrier, including:
 - (1) Providing, if needed, hearing impaired or foreign language interpreters.
 - (2) Providing translation of written materials to appropriate language or method of communication (except as provided in Exhibit F, “General Terms and Conditions,” Section 7., “Alternative Formats and Translation of Written Materials, Interpreter Services”).
 - (3) Providing devices that assist in minimizing the impact of the barrier.
 - (4) Not charging clients for the costs of measures, such as interpreters, that are required to provide nondiscriminatory treatment.
- h. **Misrepresentation.** County shall not knowingly or willfully make or cause to be made any false statement or representation of a material fact in connection with the furnishing of items or Services for which payments may be made by OHA.
- i. **Oregon Residency.** Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services funded through this Agreement may only be provided to residents of Oregon. Residents of Oregon are Individuals who live in Oregon. There is no minimum amount of time an Individual must live in Oregon to qualify as a resident so long as the Individual intends to remain in Oregon. A child’s residence is not dependent on the residence of his or her parents. A child living in Oregon may meet the residency requirement if the caretaker relative with whom the child is living is an Oregon resident.
- j. **Tobacco Use.** If County has Addiction Treatment, Recovery, & Prevention Services treatment capacity that has been designated for children, adolescents, pregnant women, and women with dependent children, County must implement a policy to eliminate smoking

and other use of tobacco at the facilities where the Services are delivered and on the grounds of such facilities.

- k. **Client Authorization.** County must comply with 42 CFR Part 2 when delivering an Addiction Treatment, Recovery, & Prevention Service that includes disclosure of Client information for purposes of eligibility determination. County must obtain Client authorization for disclosure of billing information, to the extent and in the manner required by 42 CFR Part 2, before a Disbursement Claim is submitted with respect to delivery of an Addiction Treatment, Recovery, & Prevention Service to that Individual.

16. Special Federal Requirements Applicable To Addiction Treatment, Recovery, & Prevention Services for Counties Receiving Temporary Assistance for Needy Families (TANF) Grant Funds.

Funding requirements. TANF may only be used for families receiving TANF, and for families at risk of receiving TANF, and for the purpose of providing housing services (room and board) for Individuals who are dependent children ages 18 years old or younger whose parent is in adult addiction residential treatment, so that the children may reside with their parent in the same treatment facility. Families at-risk of receiving TANF must:

- a. Include a dependent child age 18 years of age or under, who is living with a parent or caretaker relative. "Caretaker relative" means a blood relative of the child; stepmother, stepfather, stepbrother, or stepsister; or an individual who has legally adopted the child.
- b. Be an Oregon resident.
- c. Have income at or below 250% of the Federal Poverty Level.

Use of TANF block grant funds and state expenditures counted towards TANF MOE must meet the requirements of 45 CFR Part 263. Only non-medical Services may be provided with TANF Block Grant funds.

17. Community Mental Health Block Grant. All funds, if any, awarded under this Agreement for Community Mental Health Services are subject to the federal use restrictions and requirements set forth in Catalog of Federal Domestic Assistance Number 93.958 and to the federal statutory and regulatory restrictions imposed by or pursuant to the Community Mental Health Block Grant portion of the Public Health Services Act, 42 U.S.C. 300x-1 *et. seq.*, and County shall comply with those restrictions.

18. Substance Abuse Prevention and Treatment. To the extent County provides any Service in which costs are paid in whole or in part by the Substance Abuse, Prevention, and Treatment Block Grant, County shall comply with federal rules and statutes pertaining to the Substance Abuse, Prevention, and Treatment Block Grant, including the reporting provisions of the Public Health Services Act (42 U.S.C. 300x through 300x-66) and 45 CFR 96.130 regarding the sale of tobacco products. Regardless of funding source, to the extent County provides any substance abuse prevention or treatment services, County shall comply with the confidentiality requirements of 42 CFR Part 2. CMHP may not use the funds received under this Agreement for inherently religious activities, as described in 45 CFR Part 87.

19. Information Required by 2 CFR Subtitle B with guidance at 2 CFR Part 200. All required data elements in accordance with 45 CFR 75.352 are available at:
<http://www.oregon.gov/oha/hsd/amh/Pages/federal-reporting.aspx>.

20. Super Circular Requirements. 2 CFR Part 200, or the equivalent applicable provision adopted by the awarding federal agency in 2 CFR Subtitle B, including but not limited to the following:

- a. **Property Standards.** 2 CFR 200.313, or the equivalent applicable provision adopted by the awarding federal agency in 2 CFR Subtitle B, which generally describes the required maintenance, documentation, and allowed disposition of equipment purchased with federal funds.
- b. **Procurement Standards.** When procuring goods or services (including professional consulting services), applicable state procurement regulations found in the Oregon Public Contracting Code, ORS chapters 279A, 279B and 279C or 2 CFR §§ 200.318 through 200.326, or the equivalent applicable provision adopted by the awarding federal agency in 2 CFR Subtitle B, as applicable.
- c. **Contract Provisions.** The contract provisions listed in 2 CFR Part 200, Appendix II, or the equivalent applicable provision adopted by the awarding federal agency in 2 CFR Subtitle B, that are hereby incorporated into this Exhibit, are, to the extent applicable, obligations of Recipient, and Recipient shall also include these contract provisions in its contracts with non-Federal entities.

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FOR THE FINANCING OF COMMUNITY MENTAL HEALTH, ADDICTION TREATMENT,
RECOVERY, & PREVENTION, AND PROBLEM GAMBLING SERVICES**

**EXHIBIT I
REQUIRED PROVIDER CONTRACT PROVISIONS**

- 1. Expenditure of Funds.** Provider may expend the funds paid to Provider under this Contract solely on the delivery of _____, subject to the following limitations (in addition to any other restrictions or limitations imposed by this Contract):
 - a.** Provider may not expend on the delivery of _____ any funds paid to Provider under this Contract in excess of the amount reasonable and necessary to provide quality delivery of _____.
 - b.** If this Contract requires Provider to deliver more than one service, Provider may not expend funds paid to Provider under this Contract for a particular service on the delivery of any other service.
 - c.** If this Contract requires Provider to deliver Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services, Provider may not use the funds paid to Provider under this Contract for such services to:
 - (1)** Provide inpatient hospital services;
 - (2)** Make cash payments to intended recipients of health services;
 - (3)** Purchase or improve land, to purchase, construct or permanently improve (other than minor remodeling) any building or other facility or to purchase major medical equipment;
 - (4)** Satisfy any requirement for expenditure of non-federal funds as a condition for receipt of federal funds (whether the federal funds are received under this Contract or otherwise); or
 - (5)** Carry out any program prohibited by section 245(b) of the Health Omnibus Programs Extension Act of 1988 (codified at 42 U.S.C. 300ee-5), which generally prohibits funds provided under this Agreement from being used to provide Individuals with hypodermic needles or syringes so that such Individuals may use illegal drugs, unless the Surgeon General of the Public Health Service determines that a demonstration needle exchange program would be effective in reducing drug abuse.
 - d.** Provider may expend funds paid to Provider under this Contract only in accordance with OMB Circulars or 45 CFR Part 75, as applicable on Allowable Costs. If Provider receives \$500,000 or more in Federal funds (from all sources) in its fiscal year beginning prior to December 26, 2014, it shall have a single organization-wide audit conducted in accordance with the Single Audit Act. If Provider expends \$750,000 or more in federal funds (from all sources) in a fiscal year beginning on or after December 26, 2014, it shall have a single organization-wide audit conducted in accordance with the provisions of 45 CFR part 75, subpart F. If Provider expends less than \$500,000 in Federal funds in a fiscal year beginning prior to December 26, 2014, or less than \$750,000 in a fiscal year beginning on or after that date, it is exempt from Federal audit requirements for that year. Records must

be available for review or audit by appropriate officials. Provider, if subject to this requirement, shall at Provider's own expense submit to OHA a copy of, or electronic link to, its annual audit subject to this requirement covering the funds expended under this Agreement and shall submit or cause to be submitted to OHA the annual audit of any subrecipient(s), contractor(s), or subcontractor(s) of Provider responsible for the financial management of funds received under this Agreement. Copies of all audits must be submitted to OHA within 30 calendar days of completion. Audit costs for audits not required in accordance with the Single Audit Act are unallowable. Provider may not use the funds received under this Agreement for inherently religious activities, as described in 45 CFR Part 87.

2. Records Maintenance, Access, and Confidentiality.

- a. Access to Records and Facilities.** County, the Oregon Health Authority, the Secretary of State's Office of the State of Oregon, the Federal Government, and their duly authorized representatives shall have access to the books, documents, papers, and records of Provider that are directly related to this Contract, the funds paid to Provider hereunder, or any services delivered hereunder for the purpose of making audits, examinations, excerpts, copies, and transcriptions. In addition, Provider shall permit authorized representatives of County and the Oregon Health Authority to perform site reviews of all services delivered by Provider hereunder.
- b. Retention of Records.** Provider shall retain and keep accessible all books, documents, papers, and records, that are directly related to this Contract, the funds paid to Provider hereunder or to any services delivered hereunder, for a minimum of 6 years, or such longer period as may be required by other provisions of this Contract or applicable law, following the termination or expiration of this Contract. If there are unresolved audit or other questions at the end of the six-year period, Provider shall retain the records until the questions are resolved.
- c. Expenditure Records.** Provider shall document the expenditure of all funds paid to Provider under this Contract. Unless applicable federal law requires Provider to utilize a different accounting system, Provider shall create and maintain all expenditure records in accordance with generally accepted accounting principles and in sufficient detail to permit County and the Oregon Health Authority to verify how the funds paid to Provider under this Contract were expended.
- d. Client Records.** Unless otherwise specified in this Contract, Provider shall create and maintain a client record for each client who receives services under this Contract. The client record must contain:
 - (1) Client identification;
 - (2) Problem assessment;
 - (3) Treatment, training and/or care plan;
 - (4) Medical information when appropriate; and
 - (5) Progress notes including service termination summary and current assessment or evaluation instrument as designated by the Oregon Health Authority in administrative rules.

Provider shall retain client records in accordance with OAR 166-150-0005 through 166-150-0215 (State Archivist). Unless OAR 166-150-0005 through 166-150-0215 requires a

longer retention period, client records must be retained for a minimum of six years from termination or expiration of this contract.

- e. **Safeguarding of Client Information.** Provider shall maintain the confidentiality of client records as required by applicable state and federal law, including without limitation, ORS 179.495 to 179.507, 45 CFR Part 205, 42 CFR Part 2, any administrative rule adopted by the Oregon Health Authority, implementing the foregoing laws, and any written policies made available to Provider by County or by the Oregon Health Authority. Provider shall create and maintain written policies and procedures related to the disclosure of client information, and shall make such policies and procedures available to County and the Oregon Health Authority for review and inspection as reasonably requested by County or the Oregon Health Authority.

- f. **Data Reporting.**

All Individuals receiving Services with funds provided under this Contract must be enrolled and that Individual's record maintained in the Measures and Outcome Tracking System (MOTS) as specified in OHA's MOTS Reference Manual located at:

<http://www.oregon.gov/oha/hsd/amh-mots/Pages/index.aspx>, and the "Who Reports in MOTS Policy" as follows:

Which Behavioral Health Providers are Required to Report in MOTS?

The data collection system for the Health Systems Division (HSD) is the Measures and Outcomes Tracking System or MOTS. In general, behavioral health providers who are either licensed or have a letter of approval from the HSD (or the former Addictions & Mental Health Division [AMH]), and receive public funds to provide treatment services are required to report to MOTS. In addition to the general rule above, there are four basic ways to classify who is required to submit data to MOTS:

- (1) Providers with HSD contracts that deliver treatment services (this includes Community Mental Health Programs [CMHP], Local Mental Health Authorities [LMHA] and other types of community behavioral health providers); these programs should all have a license or letter of approval from the HSD or AMH;
- (2) Providers that are subcontractors (can be a subcontractor of a CMHP or other entity that holds a contract with HSD or OHA, such as a Mental Health Organization [MHO], or a Coordinated Care Organization [CCO]);
- (3) Providers that HSD does not contract with but are required to submit data to MOTS by State/Federal statute or rule; these include DUII providers and methadone maintenance providers; and
- (4) Providers that contract with other governmental agencies (e.g., Oregon Youth Authority [OYA] or the Department of Corrections [DOC] to deliver mental health and/or substance abuse services).

Note: Primary care physicians that provide a single service on behalf of the CMHP are not required to report the MOTS status or service level data.

If there are any questions, contact MOTS Support at MOTS.Support@dhsosha.state.or.us.

3. Alternative Formats of Written Materials, Interpreter Services.

In connection with the delivery of Program Element Services, Provider shall make available to Client, without charge, upon the Client's reasonable request:

- a. All written materials related to the services provided to the Client in alternate formats, including accessible electronic formats, brailled documents, and large print upon request. If Provider does not have access to such alternate formats, then Provider can request written materials in the Client's preferred format from OHA.
- b. All written materials related to the services provided to the Client in the Client's language. If Provider does not have access to such languages, then Provider can request written materials in the Client's language from OHA.
- c. Oral interpretation services related to the services provided to the Client in the Client's language.
- d. Sign language interpretation services and telephone communications access services related to the services provided to the Client. Provider shall work with OHA if it does not have staff that fluently speak the language of an eligible Client, including qualified Sign Language Interpreters for Client's who are deaf or hard of hearing and whose preferred mode of communication is sign language.

For purposes of the foregoing, "written materials" means materials created by Provider, in connection with the Service being provided to the requestor. The Provider may develop its own forms and materials and with such forms and materials the Provider shall be responsible for making them available to a Client, without charge to the Client in the prevalent non-English language(s) within the County service area. OHA shall be responsible for making its forms and materials available, without charge to the Client or Provider, in the prevalent non-English language(s) within the Providers service area.

4. **Reporting Requirements.** Provider shall prepare and furnish the following information to County and the Oregon Health Authority when a service is delivered under this Contract:
 - a. Client, service, and financial information as specified in the applicable Service Description attached hereto and incorporated herein by this reference.
 - b. All additional information and reports that County or the Oregon Health Authority reasonably requests, including, but not limited to, the information or disclosure described in Exhibit H, Required Federal Terms and Conditions, Section 14. "Disclosure."
5. **Compliance with Law.** Provider shall comply with all state and local laws, regulations, executive orders, and ordinances applicable to the Contract or to the delivery of services hereunder. Without limiting the generality of the foregoing, Provider expressly agrees to comply with the following laws, regulations and executive orders to the extent they are applicable to the Contract: (a) all applicable requirements of state civil rights and rehabilitation statutes, rules and regulations; (b) all state laws governing operation of community mental health programs, including without limitation, all administrative rules adopted by the Oregon Health Authority related to community mental health programs or related to client rights, OAR 943-005-0000 through 943-005-0070, prohibiting discrimination against Individuals with disabilities; (c) all state laws requiring reporting of client abuse; and (d) ORS 659A.400 to 659A.409, ORS 659A.145 and all regulations and administrative rules established pursuant to those laws in the construction, remodeling, maintenance and operation of any structures and facilities, and in the conduct of all programs, services and training associated with the delivery of services under this Contract. These laws, regulations and executive orders are incorporated by reference herein to the extent that they are applicable to the Contract and required by law to be so incorporated. All employers, including

Provider, that employ subject workers who provide services in the State of Oregon shall comply with ORS 656.017 and provide the required Workers' Compensation coverage, unless such employers are exempt under ORS 656.126. In addition, Provider shall comply, as if it were County thereunder, with the federal requirements set forth in Exhibit H "Required Federal Terms and Conditions," to the certain 2024-2025 Intergovernmental Agreement for the Financing of Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services between County and the Oregon Health Authority dated as of 1/1/2024, which Exhibit is incorporated herein by this reference. For purposes of this Contract, all references in this Contract to federal and state laws are references to federal and state laws as they may be amended from time to time.

6. Unless Provider is a State of Oregon governmental agency, Provider agrees that it is an independent contractor and not an agent of the State of Oregon, the Oregon Health Authority or County.
7. To the extent permitted by applicable law, Provider shall defend (in the case of the state of Oregon and the Oregon Health Authority, subject to ORS Chapter 180), save and hold harmless the State of Oregon, the Oregon Health Authority, County, and their officers, employees, and agents from and against all claims, suits, actions, losses, damages, liabilities, costs and expenses of any nature whatsoever resulting from, arising out of or relating to the operations of the Provider, including but not limited to the activities of Provider or its officers, employees, subcontractors or agents under this Contract.
8. Provider understands that Provider may be prosecuted under applicable federal and state criminal and civil laws for submitting false claims, concealing material facts, misrepresentation, falsifying data system input, other acts of misrepresentation, or conspiracy to engage therein.
9. Provider shall only conduct transactions that are authorized by the County for transactions with the Oregon Health Authority that involve County funds directly related to this Contract.
10. First tier Provider(s) that are not units of local government as defined in ORS 190.003 shall obtain, at Provider's expense, and maintain in effect with respect to all occurrences taking place during the term of the contract, insurance requirements as specified in Exhibit J "Provider Insurance Requirements," of the certain 2024-2025 Intergovernmental Agreement for the Financing of Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling Services between County and the Oregon Health Authority dated as of 1/1/2024, which Exhibit is incorporated herein by this reference.
11. Provider(s) that are not units of local government as defined in ORS 190.003, shall indemnify, defend, save and hold harmless the State of Oregon and its officers, employees and agents ("Indemnatee") from and against any and all claims, actions, liabilities, damages, losses, or expenses (including attorneys' fees) arising from a tort (as now or hereafter defined in ORS 30.260) caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of Provider or any of the officers, agents, employees or subcontractors of the contractor ("Claims"). It is the specific intention of the parties that the Indemnatee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the Indemnatee, be indemnified by the Provider from and against any and all Claims.
12. Provider shall include sections 1 through 11, in substantially the form set forth above, in all permitted Provider Contracts under this Agreement.

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**EXHIBIT J
PROVIDER INSURANCE REQUIREMENTS**

County shall require its first tier Providers(s) that are not units of local government as defined in ORS 190.003, if any, to: i) obtain insurance specified under TYPES AND AMOUNTS and meeting the requirements under ADDITIONAL INSURED, "TAIL" COVERAGE, NOTICE OF CANCELLATION OR CHANGE, and CERTIFICATES OF INSURANCE before the Providers perform under contracts between County and the Providers (the "Provider Contracts"); and ii) maintain the insurance in full force throughout the duration of the Provider Contracts. The insurance must be provided by insurance companies or entities that are authorized to transact the business of insurance and issue coverage in the State of Oregon and that are acceptable to OHA. County shall not authorize Providers to begin work under the Provider Contracts until the insurance is in full force. Thereafter, County shall monitor continued compliance with the insurance requirements on an annual or more frequent basis. County shall incorporate appropriate provisions in the Provider Contracts permitting it to enforce Provider compliance with the insurance requirements and shall take all reasonable steps to enforce such compliance. Examples of "reasonable steps" include issuing stop work orders (or the equivalent) until the insurance is in full force or terminating the Provider Contracts as permitted by the Provider Contracts, or pursuing legal action to enforce the insurance requirements. In no event shall County permit a Provider to work under a Provider Contract when the County is aware that the Provider is not in compliance with the insurance requirements. As used in this section, a "first tier" Provider is a Provider with whom the County directly enters into a Provider Contract. It does not include a subcontractor with whom the Provider enters into a contract.

TYPES AND AMOUNTS.

1. **Workers Compensation:** Must be in compliance with ORS 656.017, which requires all employers that employ subject workers, as defined in ORS 656.027, to provide workers' compensation coverage for those workers, unless they meet the requirement for an exemption under ORS 656.126(2).

2. Professional Liability: ☒ **Required by OHA** ☐ **Not required by OHA.**

Professional Liability Insurance covering any damages caused by an error, omission or any negligent acts related to the services to be provided under the Provider Contract, with limits not less than the following, as determined by OHA, or such lesser amount as OHA approves in writing:

☒ Per occurrence for all claimants for claims arising out of a single accident or occurrence:

Provider Contract containing the following Services:	Required Insurance Amount:
A&D 60, A&D 62, A&D 63, A&D 64, A&D 65, A&D 66, A&D 80, A&D 81, A&D 82, A&D 83, A&D 84, MHS 01, MHS 04, MHS 05, , MHS 09, MHS 10, MHS 12, MHS 13, MHS 15, MHS 16, MHS 16A, MHS 20, MHS 24, MHS 25, MHS 25A, MHS 26, MHS 26A, MHS 30, MHS 34, MHS 34A, MHS 35, MHS 35A, MHS 35B, MHS 36, MHS 37, MHS 38, MHS 39,	\$1,000,000
A&D 61, A&D 67, A&D 71, MHS 27, MHS 28, MHS 28B, MHS 31	\$2,000,000

3. **Commercial General Liability:** ☒ **Required by OHA** ☐ **Not required by OHA.**

Commercial General Liability Insurance covering bodily injury, death, and property damage in a form and with coverages that are satisfactory to OHA. This insurance shall include personal injury liability, products and completed operations. Coverage shall be written on an occurrence form basis, with not less than the following amounts as determined by OHA, or such lesser amount as OHA approves in writing:

Bodily Injury, Death and Property Damage:

☒ Per occurrence for all claimants for claims arising out of a single accident or occurrence:

Provider Contract containing the following services:	Required Insurance Amount:
, A&D 60, A&D 61, A&D 62, A&D 63, A&D 64, A&D 65, A&D 66, A&D 67, A&D 71, A&D 80, A&D 81, A&D 82, A&D 83, A&D 84, MHS 01, MHS 04, MHS 05, MHS 06, , MHS 09, MHS 10, MHS 12, MHS 13, MHS 15, MHS 16, MHS 16A, MHS 20, MHS 24, MHS 25, MHS 25A, MHS 26, MHS 26A, MHS 27, MHS 28, MHS 28B, MHS 30, MHS 31, MHS 34, MHS 34A, MHS 35, MHS 35A, MHS 35B, MHS 36, MHS 37, MHS 38, MHS 39	\$1,000,000

4. **Automobile Liability:** ☒ **Required by OHA** ☐ **Not required by OHA.**

Automobile Liability Insurance covering all owned, non-owned and hired vehicles. This coverage may be written in combination with the Commercial General Liability Insurance (with separate limits for “Commercial General Liability” and “Automobile Liability”). Automobile Liability Insurance must be in not less than the following amounts as determined by OHA, or such lesser amount as OHA approves in writing:

Bodily Injury, Death and Property Damage:

☒ Per occurrence for all claimants for claims arising out of a single accident or occurrence:

Provider Contract not-to-exceed under this Agreement:	Required Insurance Amount:
A&D 61, A&D 62, A&D 63, A&D 66, A&D 71, A&D 81, A&D 82, A&D 83, A&D 84, MHS 04, MHS 09, MHS 12, MHS 13, MHS 15, MHS 16, MHS 16A, MHS 20, MHS 24, MHS 25, MHS 25A, MHS 26, MHS 26A, MHS 30, MHS 34, MHS 34A, MHS 36, MHS 37, MHS 39,	\$1,000,000
MHS 27, MHS 28, MHS 28B	\$2,000,000

5. **Additional Insured.** The Commercial General Liability insurance and Automobile Liability insurance must include the State of Oregon, its officers, employees, and agents as Additional Insureds but only with respect to the Provider's activities to be performed under the Provider Contract. Coverage must be primary and non-contributory with any other insurance and self-insurance.
6. **Notice of Cancellation or Change.** The Provider or its insurer must provide written notice to County at least 30 calendar days before cancellation of, material change to, potential exhaustion of aggregate limits of, or non-renewal of the required insurance coverage(s).
7. **“Tail” Coverage.** If any of the required insurance policies is on a “claims made” basis, such as professional liability insurance, the Provider shall maintain either “tail” coverage or continuous “claims made” liability coverage, provided the effective date of the continuous “claims made” coverage is on or before the effective date of the Provider Contract, for a minimum of 24 months following the later of : (i) the Provider’s completion and County ’s acceptance of all Services required under the Provider Contract; or (ii) the expiration of all warranty periods provided under the Provider Contract. Notwithstanding the foregoing 24-month requirement, if the Provider elects to maintain “tail” coverage and if the maximum time period “tail” coverage reasonably available in the marketplace is less than the 24-month period described above, then the Provider may request and OHA may grant approval of the maximum “tail” coverage period reasonably available in the marketplace. If OHA approval is granted, the Provider shall maintain “tail” coverage for the maximum time period that “tail” coverage is reasonably available in the marketplace.
8. **Certificate(s) of Insurance.** County shall obtain from the Provider a certificate(s) of insurance for all required insurance before the Provider performs under the Provider Contract. The certificate(s) or an attached endorsement must specify: i) all entities and individuals who are endorsed on the policy as Additional Insured; and ii) for insurance on a “claims made” basis, the extended reporting period applicable to “tail” or continuous “claims made” coverage.

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**EXHIBIT K
START-UP PROCEDURES**

**Addiction Treatment, Recovery, & Prevention, and Problem Gambling (Service Element A&D 60)
Community Mental Health (Service Element MHS 37)**

INTRODUCTION

Start-Up funds are awarded for expenses necessary to begin, expand, or improve services. These expenses are distinct from routine operating expenses incurred in the course of providing ongoing services.

Start-Up funds are typically disbursed prior to initiation of services. Funds are used to cover costs such as employee salaries and training, furnishings and supplies, renovation of facilities under \$10,000, and purchase of vehicles and other capital items that will be needed to provide the services planned and delivered at the specified sites.

Requirements for Start-Up Payment

Payment of Start-Up funds is subject to the following requirements and any Special Conditions which are specified in Exhibit C.

1. Basis and Method of Payment

- a. Funds are paid for actual allowable expenses up to the limit specified for Start-Up. Allowable expenses for each service element are limited to those listed under Allowable Start-Up Expenditures in this Exhibit. OHA must approve payment for all Start-Up funds.
- b. After execution of this Agreement or any amendment(s) awarding Program Start-Up funds, County may request an advance of funds it anticipates using in the subsequent 120 calendar days.
- c. A request for payment of Start-Up funds may only be made using forms and procedures prescribed by OHA. Special instructions are applicable as follows:
 - (1) When OHA Start-Up funds in the amount of \$1,000 and above are to be used for purchase of a vehicle, as security for the County's performance of its obligations under this Agreement, the County grants to OHA a security interest in, all of the County's right, title, and interest in and to the goods, i.e., the vehicle. The County agrees that from time to time, at its expense, the County will promptly execute and deliver all further instruments and documents, and take all further action, that may be necessary or desirable, or that OHA may reasonably request, in order to perfect and protect the security interest granted under this Agreement or to enable OHA to exercise and enforce its rights and remedies under this Agreement with respect to the vehicle. County must forward a copy of the title registration application showing Health Systems Division as the Security Interest Holder to OHA within 5 calendar days of the acquisition from the seller. File Security Interest Holder information as follows:

Oregon Health Authority
Health Systems Division
500 Summer Street NE, E86

Salem, OR 97301

- (2) When County requests payment of Start-Up funds, the request must be made on forms prescribed by OHA.

2. **Special Written Approval Authorizations**

When using Start-Up funds the following circumstances require special written authorization from OHA prior to acquisition. These circumstances should be communicated to OHA within 14 calendar days of the anticipated acquisition date.

a. **WHEN LEASING:**

- (1) Acquisition of real property, vehicles, or capital items pursuant to a Lease;
- (2) Acquisition of real property, vehicles, or capital items where another party, in addition to OHA, will also become a secured party (lienholder) at the time of acquisition; and
- (3) Renovations or alterations of real property where County is not the owner of the property and OHA has no security interest in the property.

b. **OTHER:**

A change in the intended use of Start-Up funds or a change in the amount or date of anticipated acquisition indicated on County's request for payment of Start-Up funds, for those acquisitions requiring OHA's interest to be secured.

3. **Release of Payments**

Following review and approval of County's request for payment of Start-Up funds and any ancillary documentation, OHA will issue an advance of funds to County as applicable. These funds will generally be issued as a separate check on a weekly basis; however, requests processed in time for the monthly allotment process will be included in the allotment. The request for funds should be communicated to OHA within 14 calendar days of the anticipated acquisition date. Approval of special requests will be made on a limited basis only.

County will keep a copy of all Requests for Payment of Start-Up funds and report actual expenditures to OHA on the same form using procedures prescribed by OHA.

4. **Start-Up Expenditure Documentation Maintained by County**

County shall maintain an Expenditure Report for Start-Up payments. County also is responsible for requiring its Providers to comply with expenditure reporting requirements and furnishing evidence of filing OHA's security interest on applicable items. OHA may inspect these reports. The reports must include the following by service element:

- a. The amount advanced;
- b. The amount expended on each allowable category, and the amount expended on each item listed as required in Special Written Approval Authorizations above and pre-approved by OHA; and
- c. Copies of all Provider Contracts awarding Start-Up funds. Such Provider Contracts must require Providers to have executed dedicated use agreements and the other security documentation described in this Exhibit.

County must maintain supporting documentation for all expenditures (i.e., receipts).

5. Expenditure Reports to OHA

County must submit Start-Up expenditure reports separately for each OHA Start-Up request. Expenditure reports are due within 45 calendar days following the termination or expiration of the Agreement. County shall report actual expenditure of Start-Up funds, using forms and procedures prescribed by OHA, and forward expenditure reports to OHA.

6. Recovery of Start-Up Funds

In the event County fails to submit an expenditure report when due for itself or its Provider(s), fails to submit security interests, vehicle titles, or other instrument as required by OHA to secure the State's interest, or reports unauthorized expenditures, or reports under expenditures without accompanying repayment, OHA may act, at its option, to recover Start-Up funds as follows:

- a. Bill County for subject funds;
- b. Following 30 calendar days nonresponse to the billing, initiate an allotment reduction schedule against any current payments or advances being made to County; or
- c. Take other action needed to obtain payment.

7. Dedicated Use Requirement

Vehicles costing \$1,000 or more must be used to provide the service for which OHA approved the Start-Up funds. Dedicated use must continue for the useful life of the vehicle or five years whichever is less.

8. Removal of Liens

The following steps describe the process for removal of liens:

To release a vehicle title on which OHA is listed security interest holder, County or any of its' Providers, must make a request in writing to OHA. The request must specify why the vehicle is being disposed of and the intended use of any funds realized from the transaction.

If approved, the original title is signed off by OHA and forwarded to County.

ALLOWABLE START-UP EXPENDITURES

Community Mental Health, Addiction Treatment, Recovery, & Prevention, and Problem Gambling

1. Policies: Start-Up funds:

- a. Must be expended consistent with County's request for payment of Start-Up funds, and/or any required itemized budget, as approved by OHA.
- b. Must be expended only for items and services listed below.
- c. Must not be used for personnel costs, facility costs (as defined below) or equipment lease costs (including vehicle leases) in any month in which the provider receives OHA-funded service payments, or room and board payments for clients.
- d. Are subject to dedicated use requirements and other procedures for securing the State's interest, as described within this Exhibit.

Exceptions to the policies stated above and/or the itemized list below must be approved in writing by HSD.

2. Allowable Costs

- a. **Personnel Costs:** Costs for personnel hired to work at program/facility incurred prior to the date clients are enrolled.
 - (1) Salaries and wages up to 2 months for Program Administrator and up to 2 weeks for program staff, or as otherwise approved by OHA;
 - (2) OPE costs; and
 - (3) Professional contract services (e.g., Psychiatrist, Specialized Treatment Providers, etc.).
- b. **Facility Costs:** Up to 2 months prior to opening, or as otherwise approved by OHA.
 - (1) Lease/mortgage payments and deposits;
 - (2) Property taxes and maintenance fees not included in lease or mortgage payments;
 - (3) Utility costs, including hook-up fees;
 - (4) Equipment rental costs; and
 - (5) Initial insurance premiums (general liability and professional liability insurance).
- c. **Program Staff Training:** Up to 2 weeks for program staff, or as otherwise approved by OHA:
 - (1) Training materials;
 - (2) Training fees;
 - (3) Trainer fees; and
 - (4) Travel costs (excluding out of state).
- d. **Services and Supplies:**
 - (1) Program and office supplies; and
 - (2) Initial supplies of food, maintenance, and housekeeping items.

e. **Capital Outlay:**

- (1) Furnishings and equipment appropriate for the type of service being provided, e.g., household furnishings and appliances for residential programs;
- (2) Technical or adaptive equipment needed by clients but not available through the Adult and Family Services (client medical card), Vocational Rehabilitation, or other appropriate service agency;
- (3) Office furnishings and equipment proportionate to size of residential program/staff being implemented;
- (4) Vehicle purchases or down payments; lease payments and deposits; as well as costs for purchase and/or installation of necessary adaptive equipment such as lifts or ramps; and
- (5) Renovation of real property costing less than \$10,000.

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**EXHIBIT L
CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBER LISTING**

Marion County				
Service Description #	Service Description Name	Vendor or Sub-recipient	All Funding Sources	CFDA #
MHS 01	System Management and Coordination		N/A	
A&D 60	Start-Up - Addictions Services		N/A	
A&D 61	Adult Substance Use Disorder Residential Treatment Services		N/A	
A&D 62	Supported Capacity For Dependent Children Whose Parents Are In Adult Substance Use Disorder Residential Treatment	Vendor	TANF	93.558
A&D 63	Peer Delivered Services		N/A	
A&D 64	Housing Assistance		N/A	
A&D 66	Community Behavioral And Substance Use Disorder Services	Subrecipient	SAPT	93.959
A&D 67	Substance Use Disorder Residential & Day Treatment Capacity		N/A	
A&D 80	Problem Gambling Prevention Services		N/A	
A&D 81	Problem Gambling Treatment Services		N/A	
A&D 82	Problem Gambling Residential Services		N/A	
A&D 83	Problem Gambling Respite Treatment Services		N/A	
A&D 84	Problem Gambling Client Finding Referral Pathways Outreach Services		N/A	

MHS 04	Aid and Assist Client Services		N/A	
MHS 05	Assertive Community Treatment Services (ACT)		N/A	
MHS 09	Jail Diversion Services		N/A	
MHS 10	Mental Health Promotion and Prevention Services		N/A	
MHS 12	Rental Assistance Program Services		N/A	
MHS 13	School-Based Mental Health Services		N/A	
MHS 15	Young Adult Hub Programs (YAHP)		N/A	
MHS 17	Non-OHP Community and Residential Assistance		N/A	
MHS 20	Non-Residential Community Mental Health Services For Child , Youth and Adults	Subrecipient	MHBG	93.958
MHS 24	Acute and Intermediate Psychiatric Inpatient Services		N/A	
MHS 25	Community MH Crisis Services for Adults and Children		N/A	
MHS 25A	Mobile Response and Stabilization Services (MRSS) for Children, Young Adults and their Families		N/A	
MHS 26	Non-Residential Mental Health Services for Youth & Young Adults In Transition		N/A	
MHS 26A	Non-Residential Mental Health Services For Youth & Young Adults in Transition - Early Assessment and Support Alliance (EASA)		N/A	
MHS 28	Residential Treatment Services		N/A	
MHS 28A	Secure Residential Treatment Facility		N/A	
MHS 30	Monitoring, Security and Supervision Services for Individuals under the Jurisdiction of the Adult and Juvenile Panels of the Psychiatric Security Review Board		N/A	
MHS 35	Older or Disabled Adult Mental Health Services		N/A	

MHS 35A	Older or Disabled Adult Mental Health Services - GERO-Specialist		N/A	
MHS 35B	Older or Disabled Adult Mental Health Services - APD Residential		N/A	
MHS 37	Start-Up - Community Mental Health		N/A	
MHS 38	Supported Employment Services		N/A	
MHS 39	Projects For Assistance In Transition From Homelessness Services (PATH)		N/A	